# Freedom of Information Request: Our Reference CTHB\_167\_19

#### You asked:

Please provide a copy of serious incident forms submitted to the Welsh Government in 2018-19 and to date in 2019-20.

#### **Our response:**

I can confirm that the Health Board does hold the information requested. However, after consideration, we are withholding the detail of these reports. Our justification for this is as follows:

Serious incident forms contain information of a sensitive nature, and there is the potential to identify a patient based on the information it contains if this was to be released within the public domain.

Under the General Data Protection Regulation (GDPR) and Data Protection Act 2018, information that is captured, is classed as personal data as defined under this legislation. Its disclosure would be contrary to the data protection principles and constitute as unfair and unlawful processing in regard to Articles 5, 6, and 9 of GDPR. We are therefore withholding this detail under Section 40(2) of the Freedom of Information Act 2000. This exemption is absolute and therefore there is no requirement to apply the public interest test.

In addition to this, we have also considered that disclosure of the serious incident forms would potentially have an adverse effect.

Serious incident reporting, and its effectiveness, relies upon Health Board staff, when reporting incidents, to provide an open, honest and detailed account of events. In providing such detail, there is a reasonable expectation of confidentiality to our staff.

The forms that are used when reporting serious incidents clearly state 'restricted when completed'. Whilst there is an expectation that information they supply when reporting a serious incident will be used only for the purpose of investigating and managing the incident and for future learning to mitigate risk, they would not reasonably expect it to be disclosed to the public at large. Therefore we are withholding this information under Section 41. Section 41 sets out an exemption from the right to know where the information was provided to the public authority in confidence.

However, in order to fulfil our obligation under Section 16 of the Act to provide advice and assistance, please find below a summary of serious incidents reported to Welsh Government, during the time period requested.

Serious Incidents Reported to Welsh Government 01/04/2018 - 31/03/2018	Total
Pressure Damage	168
Slip, Trip or Fall	59
Absconding	32
Unexpected or Trauma Related Death	16
Maternal Event	15
Neo-Natal Event	15
Delays	12
Radiological Investigations	11
Infection	10
Admission / Transfer / Discharge	8
Self Harm	7
Treatment Error	6
Communication	5
Medication	3
Laboratory / Pathology Investigations	2
Patient injury	2
Physical Assault	2
Unexpected Complications	2
Blood Transfusion	1
Consent for Treatment	1
Organisational - Staffing Levels	1
Sexual Harassment/Assault	1
Total	379

Serious Incidents Reported to Welsh Government 01/04/2019-20/06/2019	Total
Slip, Trip or Fall	16
Admission / Transfer / Discharge	10
Unexpected or Trauma Related Death	7
Infection	5
Self Harm	5
Delays	3
Neo-Natal Event	3
Absconding	2
Maternal Event	2
Treatment Error	2
Absconding - attempted	1
Communication	1
Equipment	1
Health Records / Documentation	1
Laboratory / Pathology Investigations	1
Medication	1
Patient injury	1
Pressure Damage	1
Total	63

# Freedom of Information Request: Our Reference CTHB\_168\_19

# You asked:

FASD includes Foetal Alcohol Syndrome (FAS), partial Foetal Alcohol Syndrome (pFAS), Alcohol-Related Birth Defects (ARBD) and Alcohol-related Neurodevelopmental Disorder (ARND).

I would like to make this Freedom of Information request:

# **1.** What is the pathway for diagnosis of FASD in the Cwm Taf Morgannwg Health Board?

There is no specific pathway for diagnosis of FASD within the Health Board. Cases are assessed and managed by Community Paediatricians.

### 2. Who are your specialists in diagnosing FASD?

Community Paediatricians. No specific dedicated specialists

#### 3. Where are they based?

Our Community Paediatricians are based in the Children Centres at Royal Glamorgan hospital, Ysbyty Cwm Cynon and Princess of Wales Hospital.