



AGENDA ITEM

5

QUALITY & SAFETY COMMITTEE

ORGANISATIONAL RISK REGISTER

Date of meeting	24 May 2022
------------------------	-------------

FOI Status	Open
-------------------	------

If closed please indicate reason	Not applicable
---	----------------

Prepared by	Wendy Penrhyn-Jones, Head of Corporate Governance & Board Business
--------------------	--

Presented by	Georgina Galletly, Director of Corporate Governance
---------------------	---

Approving Executive Sponsor	Director of Corporate Governance
------------------------------------	----------------------------------

Report purpose	FOR REVIEW & APPROVAL
-----------------------	-----------------------

Engagement (internal/external) undertaken to date (including receipt/consideration at Committee/group)		
Committee/Group/Individuals	Date	Outcome
Service, Function and Executive Formal Review	April /May 2022	RISKS REVIEWED
Strategic Leadership Group	May 2022	MANAGEMENT SIGN OFF RECEIVED

ACRONYMS	

1. SITUATION/BACKGROUND

1.1 The purpose of this report is for the Quality & Safety Committee to review and discuss the organisational risk register and consider whether the risks escalated to the Organisational Risk Register, assigned to this Committee, have been appropriately assessed and endorse onward reporting to Board.

- 1.2 The report should be considered in the context that risks within the organisation are still undergoing a robust review and therefore the organisational risk register remains a work in progress and activity continues to ensure a consistency of approach to the quantification of risk across the Health Board.

2. SPECIFIC MATTERS FOR CONSIDERATION BY THIS MEETING (ASSESSMENT)

- 2.2 The following progress has been made since the last report:

- Monthly Risk Management Awareness Sessions (Virtually via Teams) were implemented from January 2021 with increasing engagement and attendance growing month on month. The monthly sessions are set in the calendar until the end of 2022 and will continue beyond that date if required. 320 members of staff trained from January 2021 to date.
- Targeted training session undertaken with District/Community Nursing Team and the Patient, Care and Safety Function.
- Risks on the organisational risk register have been updated as indicated in red.
- The entries on the Organisational Risk Register have been aligned to the new Strategic Goals.
- The revised Board Assurance Framework, Risk Appetite Statement and Risk Domain Matrix was received at the Board Development Session on the 23rd February 2022 and progressed through to Board on the 31st March 2022 where it was approved.

3 KEY RISKS/MATTERS FOR ESCALATION TO BOARD/COMMITTEE

3.1 NEW RISKS

Patient Care & Safety

- Datix ID 4315 – Fire Training Provision. Risk rated as a 16.

Patient Care & Safety

- Datix ID 4417 – Management of Security Doors. Risk rated as a 16.

Patient Care & Safety

- Datix ID 4780 – Patient Handling Training. Risk rated as a 16.

Patient Care & Safety

- Datix ID 4809 – Violence & Aggression Training. Risk rated as a 16.

Patient Care & Safety

- Datix ID 4827 – Face-fit Training and Trainers. Risk rated as a 16.



Patient Care & Safety

- Datix ID 4971 – Adult Special Care Dentistry. Risk rated as a 15.

Patient Care & Safety

- Datix ID 4975 – Repatriation of patients following vascular surgery. Rated as a 15.

Patient Care & Safety

- Datix ID 5036 – Ability of Pathology Services to meet workload demands. Rated as a 20.

3.2 CHANGES TO RISKS

a) Risks where the risk rating INCREASED during the period

Nil assigned to the Quality & Safety Committee.

b) Risks where the risk rating DECREASED during the period

Improving Care – Medical Staffing

- Datix ID 4997 – Medical Workforce Shortfall – Risk Reduced from a 12 to a 8. This risk will now be managed locally by the ILG.

3.3 CLOSED RISKS

Nil assigned to the Quality & Safety Committee.

3.4 EMERGING RISKS

- The Executive Medical Director is exploring the escalation of a risk relating to Clinical Policies.
- Rhondda Taf Ely Locality are developing a cancer site specific risk around breast.
- Merthyr Cynon Locality are due to escalate risk ID 4728 Neurology Service PCH which currently has a risk rating of 20, currently in discussion with counterparts in RTE Locality to consider if this has wider Health Board implications.
- A risk in relation to Diagnostics is being considered.
- A pan-Health Board Risk in relation Delayed Discharge.
- The Chief Operating Officer is exploring the escalation of a risk in relation to the backlog in children receiving screening in Bridgend schools.
- The Chief Operating Officer is exploring the escalation of a risk in relation to therapy input for stroke patients.
- The Director of Digital is exploring the escalation of a risk in relation to Medical Records.



3.5 Visual Heat Map by Datix Risk ID (Risks rated 15 and above):

Consequence	5			4253 3337 3993	4080 3826		
	4				3742 4106 4157 4458 4148 4294 4500 816 4706 4743 4798 4906	4152 3585 3654 3133 1133 4679 4873 4922 4907 3267 4479 4940 5017 4753	4491 4071 4688 4203 4721 4722 4103 4841 4217 4652 4866 4699
	3					5031 4512 3698 4590 4833 4691 4920	
	2						
	1						
CxL	1	2	3	4	5		
	Likelihood						

4. IMPACT ASSESSMENT

Quality/Safety/Patient Experience implications	Yes (Please see detail below)
	Aim to mitigate risks to patients and staff
Related Health and Care standard(s)	Governance, Leadership and Accountability
	All Health and Care Standards are included
Equality impact assessment completed	No (Include further detail below)
Legal implications / impact	There are no specific legal implications related to the activity outlined in this report.
Resource (Capital/Revenue £/Workforce) implications / Impact	There is no direct impact on resources as a result of the activity outlined in this report.
Link to Strategic Well-being Objectives	Provide high quality, evidence based, and accessible care.

5. RECOMMENDATION

5.1 The Committee are asked to:

5.2

- **Review** the risks escalated to the Organisational Risk Register at Appendix 1.
- **Consider** whether the Committee can seek assurance from the report that all that can be done is being done to mitigate the risks.