

# Clinical Audit Forward Plan 2023-24



**Standard 3.1:**  
Safe and Clinically Effective Care  
**Effective Care**

Version 1.0, 24 February 2023



## NHS Wales National Clinical Audit and Outcome Review Plan Annual Rolling Programme

### The following key criteria will also be used for judging success:

- 100% participation, appropriate levels of case ascertainment and submission of complete data sets by all health boards and trusts (where applicable) in the full programme of National Clinical Audits and Clinical Outcome Reviews.
- Improvements in the quality and safety of patient outcomes and experience brought about by learning and action arising from the findings of National Clinical Audit and Clinical Outcome Review reports.

**The findings and recommendations from national clinical audit, outcome reviews and all other forms of reviews and assessments will be one of the principal mechanisms for assessing the quality and effectiveness of healthcare services provided by health boards and trusts in Wales.**

A Welsh Health Circular and Annual Plan is due to be published in June 2023 to clarify the mandatory audit list. The Cwm Taf Morgannwg University Health Board (CTMUHB) Clinical Audit Forward Plan has been developed based on the HQIP audit directory, but may need to be updated following the final release of the Welsh Health Circular by WG.

### Compliance Key

<b>RED</b>	Cause for concern. Full compliance not achieved by audit deadline.
<b>AMBER</b>	Tier 1: <b>National audit</b> delayed, backlog exists but plan in place to comply with national audit deadline.  Tier 2: <b>Organisation priority audit</b> delayed by one quarter, but plan in place to comply with revised audit deadline.
<b>GREEN</b>	Audit on track at 31/03/2023 or completed, evidence of audit compliance documented on AMaT system.
<b>BLUE</b>	Audit and action plan completed by clinical audit leads and signed off on AMaT system.

Due to COVID submission deadlines and planned report release deadlines are constantly changing and in most cases being delayed.

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National Audit	Audit Period	Submission Deadline	Planned Report Publication	Specialty	Compliance Position 2022/23	RAG Status 2022/23
<b>Acute</b>						
National Joint Registry ( <a href="#">NJR</a> )	operates continuous data capture	<b>N/A</b>	September 2023	Trauma and Orthopaedics	Fully compliant RGH and PCH, PoWH compliance affected by clinical pressures, work ongoing to ensure full compliance	<b>AMBER</b>
National Emergency Laparotomy Audit ( <a href="#">NELA</a> )	operates continuous data capture	<b>N/A</b>	November 2024	Surgery / Anaesthetics	Organisation wide compliance	<b>GREEN</b>
Case Mix Programme ( <a href="#">CMP</a> ) ICNARC	operates continuous data capture	<b>Monthly</b>	March 2024	Anaesthetics	Organisation wide compliance	<b>GREEN</b>
Major Trauma Audit # ( <a href="#">TARN</a> )	operates continuous data capture	<b>Quarterly in line with dashboard publication</b>	Quarterly Dashboards From Apr 2023	Emergency Medicine	Support arrangements under review to ensure compliance by end of March 2023	<b>AMBER</b>
<b>Long Term Conditions</b>						
National Diabetes Audit *	operates continuous data capture	<b>N/A</b>	June 2023	Therapies	Organisation wide compliance	<b>GREEN</b>
<b>Note this covers the following areas :</b> <ul style="list-style-type: none"> <li>National Diabetes Foot Care Audit (<a href="#">NDFCA</a>)</li> </ul>						

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<ul style="list-style-type: none"> <li>National Diabetes Inpatient Audit (<a href="#">NaDia</a>)</li> </ul>	WG decided not to participate in year one of the harms collection	<b>TBC</b>		General medicine	Bedside audit discontinued / Harms audit England only	<b>N/A</b>
<ul style="list-style-type: none"> <li>National Pregnancy in Diabetes Audit (<a href="#">NPID</a>)</li> </ul>	operates continuous data capture	<b>N/A</b>	October 2023	Obstetrics and Gynaecology	Organisation wide compliance	<b>GREEN</b>
<ul style="list-style-type: none"> <li>National Core Diabetes Audit (<a href="#">NCDA</a>)</li> </ul>	Data capture from Primary Care at specific intervals	<b>N/A</b>	July 2023	Primary Care	Organisation wide compliance	<b>GREEN</b>
<ul style="list-style-type: none"> <li>National Diabetes Transition Audit (<a href="#">NCDA</a>)</li> </ul>	N/A	<b>Data will be collated from existing submissions</b>		Primary / Secondary Care	Organisation wide compliance	<b>GREEN</b>
National Diabetes Paediatric Audit ( <a href="#">NPDA</a> ) * #	01/04/2022 – 31/03/2023	<b>N/A</b>	July 2023	Paediatrics	Organisation wide compliance	<b>GREEN</b>
National Asthma and COPD Audit Programme ( <a href="#">NACAP</a> )* # Note this covers the following areas: Adult Asthma	operates continuous data capture	<b>N/A</b>	March 2024	General medicine	Organisation wide compliance	<b>GREEN</b>
COPD	operates continuous data capture	<b>N/A</b>	March 2024	General medicine	Organisation wide compliance	<b>GREEN</b>
Children and Young People Asthma	operates continuous data capture	<b>N/A</b>	March 2024	Paediatrics	Organisation wide compliance	<b>GREEN</b>

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Pulmonary Rehabilitation	N/A	N/A	TBC	General medicine / Therapies	Service not operational	N/A
National Early Inflammatory Arthritis Audit * # ( <a href="#">NEIRT</a> )	N/A	N/A	October 2023	Rheumatology	Action being taken to improve compliance	AMBER
All Wales Audiology Audit #	operates continuous data capture	N/A	TBC	Ears, Nose and Throat	Organisation wide compliance	GREEN
<b>Older People</b>						
Sentinel Stroke National Audit Programme (SSNAP) ( <a href="#">SSNAP</a> ) *	operates continuous data capture	N/A	April 2023	General medicine / Therapies	Organisation wide compliance	GREEN
Falls and Fragility Fractures Audit Programme Including: • Inpatient Falls * ( <a href="#">NIFA</a> )	operates continuous data capture	N/A	November 2023	General Medicine / Trauma & Orthopaedics	Support arrangements under review to ensure compliance by end of March 2023	AMBER
• National Hip Fracture Database ( <a href="#">NHFD</a> )	operates continuous data capture	N/A	November 2023	General Medicine / Trauma & Orthopaedics	Organisation wide compliance	GREEN
National Dementia Audit * ( <a href="#">NDA</a> )	April – July 2023	July 2023	June 2023	Mental Health / Care of the Elderly	Organisation wide compliance	GREEN



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<b>End of Life</b>						
National Audit for Care at the End of Life ( <a href="#">NACEL</a> ) *	N/A	N/A	July 2023 (Round 4)	Palliative Care / Medicine	Organisation wide compliance	GREEN
<b>Heart</b>						
National Cardiac Audit Programme ( <a href="#">NCAP</a> ) • National Heart Failure Audit * ( <a href="#">NHFA</a> )	operates continuous data capture	June 2023	June 2023	Cardiology	Action being taken to improve compliance	AMBER
• Cardiac Rhythm Management * ( <a href="#">CRM</a> )	operates continuous data capture	N/A	TBC	Cardiology	Organisation wide compliance. (excludes Bridgend)	GREEN
• Myocardial Ischaemia National Audit Project ( <a href="#">MINAP</a> ) *	operates continuous data capture	June 2023	June 2023	Cardiology	Action being taken to improve compliance	AMBER
Cardiac Rehabilitation Audit ( <a href="#">CRA</a> )	operates continuous data capture	N/A	TBC	Cardiology	Organisation wide compliance	GREEN
<b>Cancer</b>						
National Bowel Cancer Audit * ( <a href="#">NOGCA</a> )	operates continuous data capture	N/A	January 2024	Surgery	Organisation wide compliance. Managed through cancer services.	GREEN

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National Oesophago-Gastric Cancer Audit * ( <a href="#">NOGCA</a> )	operates continuous data capture	N/A	August 2024	Surgery	Organisation wide compliance. Managed through cancer services.	GREEN
National Audit of Breast Cancer in Older People ( <a href="#">NABCOP</a> )	operates continuous data capture	N/A	May 2023	Surgery	Organisation wide compliance. Managed through cancer services.	GREEN
National Lung Cancer Audit * ( <a href="#">NLCA</a> )	operates continuous data capture	N/A	TBC	Respiratory Medicine	Organisation wide compliance. Managed through cancer services.	GREEN
National Prostate Cancer Audit * ( <a href="#">NPCA</a> )	operates continuous data capture	N/A	January 2024	Surgery	Organisation wide compliance. Managed through cancer services.	GREEN
<b>Women's and Children's Health</b>						
National Neonatal Audit Programme Audit * # ( <a href="#">NNAPA</a> )	operates continuous data capture	N/A	November 2023	Paediatrics	Organisation wide compliance.	GREEN
National Maternity and Perinatal Audit * # ( <a href="#">NMPA</a> )	operates continuous data capture	N/A	June 2023	Obstetrics / Midwifery	Organisation wide compliance.	GREEN
National Perinatal Mortality Review Tool	operates continuous data capture	N/A	October 2023	Obstetrics / Midwifery	Organisation wide compliance.	GREEN

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<b>Other</b>						
National Audit of Seizures and Epilepsies in Children and Young People (Epilepsy12) *# ( <a href="#">NASECYP</a> )	Series of data collection cohorts within the audit	Various deadlines for cohorts	July 2023	Paediatrics	Organisation wide compliance.	<b>GREEN</b>
National Clinical Audit of Psychosis * ( <a href="#">NCAP</a> ) EIP Audit	TBC	<b>N/A</b>	TBC	Mental Health	Organisation wide compliance.	<b>GREEN</b>

(\* denotes NCAPOP Audits)

(# denotes reports likely to include information on children and / or maternity services)

National Vascular Registry Audit (includes Carotid Endarterectomy Audit) \* ([NVRA](#)) no longer included on the annual rolling programme due to vascular services moved to tertiary centre, combined outcomes now reported under Cardiff & Vale UHB.



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### Clinical Outcomes Review Programme (2022/23)

The Clinical Outcome Review Programme (CORP) is designed to help assess the quality of healthcare, and stimulate improvement in safety and effectiveness by enabling learning from adverse events and other relevant data. It aims to complement and contribute to the work of other agencies such as NICE, the Royal Colleges and academic research studies which support changes to improve NHS healthcare.

<b>The Clinical Outcome Review Programme (Tier 1)</b>	<b>Audit Period</b>	<b>Completion Deadline</b>	<b>Report Publication</b>	<b>Programme</b>	<b>Compliance Position 2022/23</b>	<b>RAG Status 2022/23</b>
<ul style="list-style-type: none"> <li>National Confidential Inquiry into Suicide and Safety in Mental Health</li> </ul>	operates continuous data capture	<b>N/A</b>	April 2023	Mental Health Programme	Organisation wide compliance.	<b>GREEN</b>
<ul style="list-style-type: none"> <li>NCEPOD – Transition from Child to Adult Services</li> </ul>	01/04/2022 – 30/06/2022	<b>March 2023</b>	June 2023	Child Health Clinical Outcome Review Programme	Organisation wide compliance.	In progress at the time of publication
<ul style="list-style-type: none"> <li>NCEPOD – Crohn's Disease</li> </ul>	2022	<b>March 2023</b>	TBC	Medical & Surgical programme	Organisation wide compliance.	In progress at the time of publication
<ul style="list-style-type: none"> <li>NCEPOD – Epilepsy</li> </ul>	01/02/2022 – 30/06/2022	<b>June 2022</b>	December 2022	Medical & Surgical programme	<b>Incomplete</b>	<b>RED</b>
<ul style="list-style-type: none"> <li>NCEPOD – Testicular Torsion</li> </ul>	2022	<b>March 2023</b>	February 2024	Medical & Surgical programme	Organisation wide compliance.	In progress at the time of publication
<ul style="list-style-type: none"> <li>NCEPOD – Community Acquired Pneumonia</li> </ul>	2022	<b>March 2023</b>	TBC	Medical & Surgical programme	Organisation wide compliance.	In progress at the time of publication
<ul style="list-style-type: none"> <li>NCEPOD - Endometriosis</li> </ul>	2022/23	<b>February 2024</b>	April 2023	Medical & Surgical programme	<b>N/A</b> (new for 2023/24)	<b>N/A</b>

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The Clinical Outcome Review Programme (Tier 1)	Audit Period	Completion Deadline	Report Publication	Programme	Compliance Position 2022/23	RAG Status 2022/23
<ul style="list-style-type: none"> <li>End of Life</li> </ul>	2023/24	<b>TBC</b>	TBC	Medical & Surgical programme	<b>N/A</b> (new for 2023/24)	<b>N/A</b>
<ul style="list-style-type: none"> <li>Rehabilitation after Critical Illness</li> </ul>	2023/24	<b>TBC</b>	TBC	Medical & Surgical programme	<b>N/A</b> (new for 2023/24)	<b>N/A</b>
<ul style="list-style-type: none"> <li>Juvenile Idiopathic Arthritis</li> </ul>	2023/24	<b>TBC</b>	TBC	Child Health Clinical Outcome Review Programme	<b>N/A</b> (new for 2023/24)	<b>N/A</b>
<ul style="list-style-type: none"> <li>MBRRACE – Perinatal Mortality Surveillance</li> </ul>	operates continuous data capture	<b>TBC</b>	October 2023	Maternal, Newborn and Infant Clinical Outcome Review Programme	Organisation wide compliance.	<b>GREEN</b>
<ul style="list-style-type: none"> <li>MBRRACE – Saving Lives Improving Mothers Care</li> </ul>	operates continuous data capture	<b>TBC</b>	November 2023	Maternal, Newborn and Infant Clinical Outcome Review Programme	Organisation wide compliance.	<b>GREEN</b>

## Cwm Taf Morgannwg University Health Board Organisation (Tier 2) Priority Annual Audit Programme 2023/24

Organisation Priority Audits (Tier 2)	Audit Period	Completion Deadline	Report Publication	Specialty	Compliance Position 2022/23	RAG Status 2022/2023
Case Note Documentation Audits: <ul style="list-style-type: none"> <li>Acute Hospital Documentation Audit</li> <li>Community Hospital Documentation Audit</li> <li>A&amp;E Documentation Audit</li> </ul>	Revised methodology TBC	TBC	TBC	Acute inpatient activity  Community hospital inpatient activity  Emergency Medicine	All tier 2 audits affected by requirement to divert resources to underpin priority COVID Mortality Review cases	
					Compliance significantly affected by closure of department and demands on clinical audit staff December 2021 / February 2022	AMBER
					Compliance significantly affected by closure of department and demands on clinical audit staff December 2021 / February 2022	AMBER
					Organisation wide compliance.	GREEN
Consent to Treat Audit	Revised methodology TBC	TBC	TBC	Surgery	N/A	N/A
Do Not Attempt Cardiopulmonary Resuscitation Audit	Autumn / Winter 2022	March 2023	March 2023	Critical Care	Organisation wide compliance.	GREEN
National Ophthalmology Audit (Adult Cataract surgery) * ( <a href="#">NOD</a> )	Under Review	TBC	TBC	Ophthalmology	CTM agreed as an organisation priority audit, Bridgend inclusion delayed	AMBER

## Cwm Taf Morgannwg University Health Board Organisation (Tier 2) Priority Annual Audit Programme 2023/24

Organisation Priority Audits (Tier 2)	Audit Period	Completion Deadline	Report Publication	Specialty	Compliance Position 2022/23	RAG Status 2022/2023
Appendectomy Audit	Prospective audit methodology TBC	<b>TBC</b>	TBC	Surgery	Organisation wide compliance.	<b>GREEN</b>
Tracheostomy Care Audit	<b>TBC</b>	<b>TBC</b>	TBC	Surgery	Limited Clinical Audit resources focused on national audit compliance so audit rolled over to 2022/23	<b>RED</b>