



**AGENDA ITEM**

3.2.5

**QUALITY & SAFETY COMMITTEE**

**REGULATORY REVIEW RECOMMENDATIONS AND PROGRESS  
UPDATE**

**HEALTHCARE INSPECTORATE WALES (HIW) ROUTINE QUALITY CHECKS**

**Date of meeting**

15<sup>th</sup> November 2022

**FOI Status**

Open/Public

**If closed please indicate  
reason**

Not Applicable - Public Report

**Prepared by**

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**Presented by**

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**Approving Executive Sponsor**

Executive Director of Nursing

**Report purpose**

FOR NOTING

**Engagement (internal/external) undertaken to date (including  
receipt/consideration at Committee/group)**

**Committee/Group/Individuals**

**Date**

**Outcome**

(Insert Name)

(DD/MM/YYYY)

Choose an item.

**ACRONYMS**

HIW

Healthcare Inspectorate Wales

IRMER

Ionising Radiation (Medical Exposure) Regulations

CMHT

Community Mental Health Team

CIW

Care Inspectorate Wales

ED

Emergency Department

## 1. SITUATION/BACKGROUND

- 1.1 This report is based on Healthcare Inspectorate Wales activity and correspondence since the last report for committee in September 2022. Due to the bi-monthly nature of these meetings, this report will cover the 6 week period from the previous report.
- 1.2 An overview table has been included below in 2.1 to provide a 'summarised snapshot' of most recent activity.

## 2. SPECIFIC MATTERS FOR CONSIDERATION BY THIS MEETING (ASSESSMENT)

- 2.1 Quarter 3 (2<sup>nd</sup> September- 25<sup>th</sup> October 2022) HIW activity across Cwm Taf Morgannwg University Health Board included:

Number of Unannounced	<b>2</b>
Number of Announced	<b>0</b>
Number of patient/staff concerns via HIW	<b>0</b>
Number of concerns raised through Fieldwork	<b>0</b>
Number of ongoing improvement plans	<b>3</b>

### 2.2 Unannounced Inspections:

**There has been 2 unannounced inspections in this quarter.**

#### **1. Princess of Wales Hospital Emergency Department (17<sup>th</sup>- 19<sup>th</sup> October)**

Immediate assurance was requested following gaps being identified in September and October 2022 in the checking of resuscitation equipment trollies in both major and minor departments.

The Health Board ED senior teams are currently formulating a response outlining the actions to be put in place to ensure robust checking is logged within the department consistently and timely.

The final HIW report is expected to be published 18<sup>th</sup> January 2023.

## **2. Maternity Service: Prince Charles Hospital (PCH) 27th-28th September 2022**

An unannounced inspection took place within PCH maternity service. Formal feedback has yet to be received. Verbal feedback has established the inspection was satisfactory, however further analysis is taking place with regards to the staff survey.

It is anticipated that the full report will be published 29<sup>th</sup> December 2022.

### **2.3 Announced Inspections:**

#### **There has been 1 announced inspection in quarter 2 of the Princess of Wales Diagnostic Unit (IRMER) 27<sup>th</sup> & 28<sup>th</sup> September 2022.**

Overall the inspection found that even though the ED department is a continual challenging environment, the challenges were managed well, with a hard working workforce with mitigating risks being managed appropriately. Some concerns were raised in respect of mandatory and statutory training compliance.

The final HIW report is expected to be published 29<sup>th</sup> December 2022.

### **2.4 Future Planned HIW activity**

#### **i. Surgery Governance Arrangements**

A review of the governance arrangements within Surgery is anticipated within the next few months. A date has not yet been provided, however this is anticipated to be February 2023. This is part of the joint review into governance arrangements by HIW & Audit Wales. A vast amount of evidence has been collated to be sent to HIW. An extension was given for the evidence being submitted from end of September to mid-October. Evidence has been provided by the senior teams on all hospital sites and is being collated by the Corporate Governance Team.

#### **ii. Community Mental Health Team (CMHT) - Maesteg Hospital**

CMHT inspection visit by HIW and Care Inspectorate Wales (CIW) to the Health Board and Bridgend Council will be undertaken on 13<sup>th</sup> and 14<sup>th</sup> December 2022. The selected CMHT is Bridgend North CMHT, Maesteg Hospital. The inspection will be conducted over two days, and will include discussions with CMHT staff, service users and carers, as well as examining documentation including service user records, policies, staff records and system reviews. Senior leads have been identified to facilitate the

inspection and contact details have been provided to HIW. A self-assessment is currently being undertaken by the team and evidence being collated for submission to HIW by 11<sup>th</sup> November 2022.

## 2.5 **No Public Concerns raised via HIW**

## 2.6 **Local Reviews:**

### i. Discharge Arrangements for Adult Mental Health Patients:

HIW are conducting a local review of mental health services across CTM UHB as part of HIW's annual review programme for 2021-22 to assess *'Do the current arrangements for the discharge of patients from inpatient mental health services into the community support the delivery of safe, effective and timely care?'*

It was highlighted in July's report a summary of concerns were raised during the fieldwork. Written assurance was provided by the Director of Mental Health and an improvement plan is in progress and was submitted and accepted by HIW. A full report from HIW was expected in August 2022. A verbal update received from HIW states the report is currently in progress and the health board can expect the report for factual accuracy checking mid November.

## 2.7 **National Reviews:**

### i. **National Review Patient Flow (Stroke Pathway)**

A National Review is underway, reviewing patient flow with a focus to gain a greater understanding of the challenges that health care services face in relation to how patients flow through healthcare systems. In addition, it will test if arrangements for patient flow are robust. As part of the test process, HIW will focus on patients travelling through the stroke pathway. HIW had an onsite visit to The Princess of Wales Hospital for 3 days from 23-25 May 2022. No immediate concerns were raised or escalated. A verbal update from HIW reports the field work is still ongoing across the health boards. An overarching report of findings from all health boards will be published in one report. The health board will not receive an individual feedback report. It is expected that the report will be published between January and February 2023.

2.8 Further work is still being scoped to use the AMAT system to capture the actions arising from HIW activity to allow themes and trends to be identified and allow one dedicated space to capture oversight of HIW actions/ recommendations across the Health Board. This is also part of the HIW/HEIW improvement plan.

All HIW Summary Findings can be accessed via the following link:  
<https://hiw.org.uk/>

### 3. KEY RISKS/MATTERS FOR ESCALATION TO BOARD/COMMITTEE

That governance, monitoring, scrutiny and oversight of ongoing action plans in relation to HIW service reviews is maintained without interruption within the new Care Group Model.

### 4. IMPACT ASSESSMENT

<b>Quality/Safety/Patient Experience implications</b>	Yes (Please see detail below)
	Subject to the findings and outcomes of the HIW reviews.
<b>Related Health and Care standard(s)</b>	Staff and Resources
	All of the Healthcare Standards Governance, Leadership & Accountability Staff & Resources Staying Healthy Safe Care Individual Care Timely Care Dignified Care Effective Care

<p><b>Equality Impact Assessment (EIA) completed - Please note EIAs are required for <u>all</u> new, changed or withdrawn policies and services.</b></p>	<p>No (Include further detail below)</p> <p>If yes, please provide a hyperlink to the location of the completed EIA or who it would be available from in the box below.</p> <p>If no, please provide reasons why an EIA was not considered to be required in the box below.</p> <ul style="list-style-type: none"> <li>• Report for information on HIW activity</li> <li>• No service or staff impact in direct response from report, this is considered through the improvement action plans</li> <li>• Report not requesting proposal for any changes to services or staff</li> </ul>
<p><b>Legal implications / impact</b></p>	<p>There are no specific legal implications related to the activity outlined in this report.</p>
<p><b>Resource (Capital/Revenue £/Workforce) implications / Impact</b></p>	<p>Yes (Include further detail below)</p> <p>Subject to the findings and outcomes of the HIW reviews</p>
<p><b>Link to Strategic Well-being Objectives</b></p>	<p>Provide high quality, evidence based, and accessible care</p>

## 5. RECOMMENDATION

- 5.1 There are no specific recommendations or requirement for endorsing in this report.
- 5.2 The Committee are asked to **NOTE** this report.