

AGENDA ITEM

4.1.a

PLANNING, PERFORMANCE & FINANCE COMMITTEE

ORGANISATIONAL RISK REGISTER

| | |
|------------------------|-----------------------------|
| Date of meeting | 26 th April 2022 |
|------------------------|-----------------------------|

| | |
|-------------------|------|
| FOI Status | Open |
|-------------------|------|

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|---|---------------------------------|
| If closed please indicate reason | Not Applicable - Public Meeting |
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| Prepared by | Cally Hamblyn, Assistant Director of Governance & Risk |
| Presented by | Georgina Galletly, Director of Corporate Governance |
| Approving Executive Sponsor | Director of Corporate Governance |

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|-----------------------|-----------------------|
| Report purpose | FOR REVIEW & APPROVAL |
|-----------------------|-----------------------|

| Engagement (internal/external) undertaken to date (including receipt/consideration at Committee/group) | | |
|---|-----------------------------|------------------------------|
| Committee/Group/Individuals | Date | Outcome |
| Service, Function and Executive Formal Review | February/March 2022 | RISKS REVIEWED |
| Strategic Leadership Group | 16 th March 2022 | MANAGEMENT SIGN OFF RECEIVED |
| Health Board Meeting | 31 st March 2022 | RISKS REVIEWED AND APPROVED |

| ACRONYMS | |
|-----------------|--|
| | |

1. SITUATION/BACKGROUND

- 1.1 The purpose of this report is for the Committee to review and discuss the organisational risk register and consider whether the assigned risks

escalated to the Organisational Risk Register have been appropriately assessed.

2. SPECIFIC MATTERS FOR CONSIDERATION BY THIS MEETING (ASSESSMENT)

2.2 The following progress has been made since the last report:

- Monthly Risk Management Awareness Sessions (Virtually via Teams) were implemented from January 2021 with increasing engagement and attendance growing month on month. The monthly sessions are set in the calendar until the end of 2022 and will continue beyond that date if required. 307 members of staff trained from January 2021 to date.
- Risks on the organisational risk register have been updated as indicated in red.
- The entries on the Organisational Risk Register have been aligned to the new Strategic Goals.
- The revised Board Assurance Framework, Risk Appetite Statement and Risk Domain Matrix was received at the Board Development Session on the 23rd February 2022 and approved by the Board on the 31st March 2022. The Strategic Risks assigned to the Planning, Performance & Finance Committee will be received at future meetings.

3 KEY RISKS/MATTERS FOR ESCALATION TO BOARD/COMMITTEE

3.1 NEW RISKS

No New Risks assigned to the Committee this period.

3.2 CHANGES TO RISKS

a) Risks where the risk rating INCREASED during the period Bridgend Locality Group

- Datix ID 4149 – Failure to sustain Child and Adolescent Mental Health Services. Risk rating decreased from a 20 to a 16.

3.3 CLOSED RISKS FROM THE ORGANISATIONAL RISK REGISTER Facilities / Digital Risk

- Datix ID 4768 - Replacement of press tank on the 13 stage CBW Press. Risk Closed as target score met.

The rationale for closure is captured in Appendix 1.

3.4 Organisational Risk Register - Visual Heat Map by Datix Risk ID (Risks rated 15 and above):

| | | | | | | |
|-------------|---|--|--|------|--------------|------|
| Consequence | 5 | | | 4772 | | |
| | 4 | | | | 4149 4458 | 4629 |
| | 3 | | | | | |
| | 2 | | | | | |

| | | | | | | |
|-----|------------|---|---|---|---|--|
| | 1 | | | | | |
| CxL | 1 | 2 | 3 | 4 | 5 | |
| | Likelihood | | | | | |

4. IMPACT ASSESSMENT

| | |
|---|---|
| Quality/Safety/Patient Experience implications | Yes (Please see detail below) |
| | Aim to mitigate risks to patients and staff |
| Related Health and Care standard(s) | Governance, Leadership and Accountability |
| | All Health and Care Standards are included |
| Equality impact assessment completed | No (Include further detail below) |
| | |
| Legal implications / impact | There are no specific legal implications related to the activity outlined in this report. |
| | |
| Resource (Capital/Revenue £/Workforce) implications / Impact | There is no direct impact on resources as a result of the activity outlined in this report. |
| | |
| Link to Strategic Well-being Objectives | Provide high quality, evidence based, and accessible care. |

5. RECOMMENDATION

5.1 The Committee are asked to:

- **Review** the risks escalated to the Organisational Risk Register at Appendix 1.
- **Consider** whether the Committee can seek assurance from the report that all that can be done is being done to mitigate the risks.