

**AGENDA ITEM**

4.1

**PLANNING, PERFORMANCE & FINANCE COMMITTEE**
**ORGANISATIONAL RISK REGISTER**
**Date of meeting**

22/02/2022

**FOI Status**

Public

**If closed please indicate reason**

Not applicable – Public Meeting

**Prepared by**

Cally Hamblyn, Assistant Director of Governance &amp; Risk

**Presented by**

Georgina Galletly, Director of Corporate Governance

**Approving Executive Sponsor**

Director of Corporate Governance

**Report purpose**

FOR REVIEW &amp; APPROVAL

**Engagement (internal/external) undertaken to date (including receipt/consideration at Committee/group)**
**Committee/Group/Individuals**
**Date**
**Outcome**

Service, Function and Executive Formal Review

December 2021 / January 2022

RISKS REVIEWED

Strategic Leadership Group

19<sup>th</sup> January 2022

RISKS REVIEWED AND MANAGEMENT SIGN OFF RECEIVED

**ACRONYMS**
**1. SITUATION/BACKGROUND**

- 1.1 The purpose of this report is for the Committee to review and discuss the organisational risk register and consider whether the assigned risks escalated to the Organisational Risk Register have been appropriately assessed.

## 2. SPECIFIC MATTERS FOR CONSIDERATION BY THIS MEETING (ASSESSMENT)

- 2.1 It should be noted that the review of risks has been impacted during this period as a result of the increased efforts to maximise the booster vaccination programme, coupled with the significant rise in infection rates affecting staffing levels across the Health Board that has involved the engagement of staff involved in risk updates and submissions.
- 2.2 The following progress has been made since the last report:
- Monthly Risk Management Awareness Sessions (Virtually via Teams) were implemented from January 2021 with increasing engagement and attendance growing month on month. The monthly sessions are set in the calendar until the end of 2021 and will continue beyond that date if required. 280 members of staff trained from January 2021 to date.
  - Risks on the organisational risk register have been updated as indicated in red.
- 2.3 In readiness for the next submission the risk entries will be reviewed to align with the new Strategic Goals. Column 2 of the Organisational Risk Register at Appendix 1.

## 3 KEY RISKS/MATTERS FOR ESCALATION TO BOARD/COMMITTEE

### 3.1 NEW RISKS

Nil in terms of risks assigned to the Planning, Performance & Finance Committee.

### 3.2 CHANGES TO RISKS

#### a) Risks where the risk rating **INCREASED** during the period

Nil in terms of risks assigned to the Planning, Performance & Finance Committee.

#### b) Risks where the risk rating **DECREASED** during the period

Nil in terms of risks assigned to the Planning, Performance & Finance Committee.

### 3.3 CLOSED RISKS FROM THE ORGANISATIONAL RISK REGISTER

Nil in terms of risks assigned to the Planning, Performance & Finance Committee.

### 3.4 POINTS TO NOTE

- A specific risk in relation to **Pathology Waiting Times** is currently being assessed following discussion at the recent Quality & Safety Committee.

### 3.5 Organisational Risk Register - Visual Heat Map by Datix Risk ID (Risks rated 15 and above):

Consequence	5			4768 4772	4149	
	4				4458	4629
	3					
	2					
	1					
CxL		1	2	3	4	5
Likelihood						

## 4. IMPACT ASSESSMENT

<b>Quality/Safety/Patient Experience implications</b>	Yes (Please see detail below)
	Aim to mitigate risks to patients and staff
<b>Related Health and Care standard(s)</b>	Governance, Leadership and Accountability
	All Health and Care Standards are included
<b>Equality impact assessment completed</b>	No (Include further detail below)
<b>Legal implications / impact</b>	There are no specific legal implications related to the activity outlined in this report.
<b>Resource (Capital/Revenue £/Workforce) implications / Impact</b>	There is no direct impact on resources as a result of the activity outlined in this report.
<b>Link to Strategic Well-being Objectives</b>	Provide high quality, evidence based, and accessible care.

## 5. RECOMMENDATION

5.1 The Committee are asked to:

- **Review** the risks escalated to the Organisational Risk Register at Appendix 1.
- **Consider** whether the Committee can seek assurance from the report that all that can be done is being done to mitigate the risks.