



**AGENDA ITEM**

3.2.1

**DIGITAL & DATA COMMITTEE**

**ORGANISATIONAL RISK REGISTER**

<b>Date of meeting</b>	23/03/2022
<b>FOI Status</b>	Open
<b>If closed please indicate reason</b>	Not Applicable
<b>Prepared by</b>	Cally Hamblyn, Assistant Director of Governance & Risk
<b>Presented by</b>	Georgina Galletly, Director of Corporate Governance
<b>Approving Executive Sponsor</b>	Director of Corporate Governance

<b>Report purpose</b>	FOR REVIEW
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<b>Engagement (internal/external) undertaken to date (including receipt/consideration at Committee/group)</b>		
<b>Committee/Group/Individuals</b>	<b>Date</b>	<b>Outcome</b>
Service, Function and Executive Formal Review	February/March 2022	RISKS REVIEWED
Strategic Leadership Group	16 <sup>th</sup> March 2022	MANAGEMENT SIGN OFF RECEIVED

<b>ACRONYMS</b>	

**1. SITUATION/BACKGROUND**

1.1 The purpose of this report is for the Digital & Data Committee to review and discuss the organisational risk register and consider whether the risks escalated to the Organisational Risk Register, assigned to this Committee, have been appropriately assessed.



- 1.2 The report should be considered in the context that risks within the organisation are still undergoing a robust review and therefore the organisational risk register remains a work in progress and activity continues to ensure a consistency of approach to the quantification of risk across the Health Board.

## 2. **SPECIFIC MATTERS FOR CONSIDERATION BY THIS MEETING (ASSESSMENT)**

- 2.2 The following progress has been made since the last report:
- Monthly Risk Management Awareness Sessions (Virtually via Teams) were implemented from January 2021 with increasing engagement and attendance growing month on month. The monthly sessions are set in the calendar until the end of 2022 and will continue beyond that date if required. 307 members of staff trained from January 2021 to date.
  - Risks on the organisational risk register have been updated as indicated in red.
  - The entries on the Organisational Risk Register have been aligned to the new Strategic Goals.
  - The revised Board Assurance Framework, Risk Appetite Statement and Risk Domain Matrix was received at the Board Development Session on the 23<sup>rd</sup> February 2022 and will now progress through to Board for approval on the 31<sup>st</sup> March 2022.

## 3 **KEY RISKS/MATTERS FOR ESCALATION TO BOARD/COMMITTEE**

### 3.1 **NEW RISKS**

#### **Information Governance**

- Datix ID 4339 – Failure to complete a timely Data Protection Impact Assessment (DPIA). Risk rated as a 16. Highlighted as a new risk to the Organisational Risk Register as risk rating increased from a 12 to a 16.

#### **Digital & Data Risks**

- Datix ID 5040 – DHCW Interdependencies. Risk rated as a 15.

### 3.2 **CHANGES TO RISKS**

#### **a) Risks where the risk rating INCREASED during the period**

#### **Information Governance**

- Datix ID 4699 - Failure to deliver a robust and sustainable Information Governance Function. Risk rating increased from a 16 to a 20.

#### **b) Risks where the risk rating DECREASED during the period**

Nil in terms of assigned risks to the Digital & Data Committee.



### 3.3 CLOSED RISKS FROM THE ORGANISATIONAL RISK REGISTER

#### Facilities / Digital Risk

- Datix ID 4693 - Electrocardiogram (ECG) carts not connecting to hospital network. Risk closed as target score met.

The rationale for closure is captured in Appendix 1.

### 3.5 Organisational Risk Register - Visual Heat Map by Datix Risk ID (Risks rated 15 and above):

Consequence	5				4664		
	4				4337 4282	4339	4699
	3						4672 4671 5040
	2						
	1						
CxL	1	2	3	4	Likelihood		5

## 4. IMPACT ASSESSMENT

<b>Quality/Safety/Patient Experience implications</b>	Yes (Please see detail below)
	Aim to mitigate risks to patients and staff
<b>Related Health and Care standard(s)</b>	Governance, Leadership and Accountability
	All Health and Care Standards are included
<b>Equality impact assessment completed</b>	No (Include further detail below)
<b>Legal implications / impact</b>	There are no specific legal implications related to the activity outlined in this report.
<b>Resource (Capital/Revenue £/Workforce) implications / Impact</b>	There is no direct impact on resources as a result of the activity outlined in this report.
<b>Link to Strategic Well-being Objectives</b>	Provide high quality, evidence based, and accessible care.

## 5. RECOMMENDATION

5.1 The Committee are asked to:

- Review** the risks escalated to the Organisational Risk Register at Appendix 1.
- Consider** whether the Committee can seek assurance from the report that all that can be done is being done to mitigate the risks.