

**AGENDA ITEM**

4.1a

**DIGITAL & DATA COMMITTEE**

**ORGANISATIONAL RISK REGISTER**

<b>Date of meeting</b>	19 <sup>th</sup> December 2022
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<b>FOI Status</b>	Open
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<b>If closed please indicate reason</b>	Not applicable – Public Meeting
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<b>Prepared by</b>	Cally Hamblyn, Assistant Director of Governance & Risk
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<b>Presented by</b>	Cally Hamblyn, Assistant Director of Governance & Risk
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<b>Approving Executive Sponsor</b>	Chief Executive
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<b>Report purpose</b>	FOR REVIEW
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<b>Engagement (internal/external) undertaken to date (including receipt/consideration at Committee/group)</b>		
<b>Committee/Group/Individuals</b>	<b>Date</b>	<b>Outcome</b>
Service, Function and Executive Formal Review	October 2022	RISKS REVIEWED
Executive Leadership Group	7 <sup>th</sup> November 2022	RISKS REVIEWED AND MANAGEMENT SIGN OFF RECEIVED
Audit & Risk Committee	12 <sup>th</sup> November 2022	RISKS REVIEWED

<b>ACRONYMS</b>	

## 1. SITUATION/BACKGROUND

- 1.1 The purpose of this report is for the Digital & Data Committee to review and discuss the assigned risks on the organisational risk register and consider whether the risks escalated to the Organisational Risk Register are in accordance with the Risk Management Strategy.

## 2. SPECIFIC MATTERS FOR CONSIDERATION BY THIS MEETING (ASSESSMENT)

- 2.1 The risk updates for this period has been impacted by the implementation of the new Care Group Model. The Executive Leadership Group supported "Guiding Principles: Quality Governance & Accountability during the Operating Model Transition" where the following transitional arrangements have been agreed:

- **Organisational Risk Register:** Workshop approach to realign risks on the Organisational Risk Register led by Nurse Directors. Timeframe: Workshop Sept/Oct 22. Realignment to complete by 31.1.2023.
- Central Quality Governance Team to provide a report to Care Groups which will contain all **Datix Legacy Information** for Risk, Incidents, Claims, Complaints etc. The Nurse Directors to then undertake an exercise to align activity/data to Care Group Model – Timeframe for alignment 31.1.2023.

The Assistant Director of Governance & Risk, along with the Chief Operating Officer and/or Deputy Chief Operating Officers, has started to meet with Care Groups during October and November to review risks in terms of alignment to the new Care Group Model. The Organisational Risk Register will continue to be updated to reflect the changes being made as a result of this activity.

- 2.2 The following progress has been made since the last report:
- Monthly Risk Management Awareness Sessions (Virtually via Teams). The monthly sessions are set in the calendar until the end of 2022 and will continue beyond that date if required. 344 members of staff trained to date.
  - Risks on the organisational risk register have been updated as indicated in **red**.

## 3 KEY RISKS/MATTERS FOR ESCALATION TO BOARD/COMMITTEE

### 3.1 NEW RISKS

#### Digital & Data

- Datix ID 5276 - Failure to deliver replacement Laboratory Information Management System, LINC Programme, by summer 2025. Risk rated as a 20.



### 3.2 CHANGES TO RISKS

#### a) Risks where the risk rating **INCREASED** during the period

Nil this period.

#### b) Risks where the risk rating **DECREASED** during the period

Nil this period.

### 3.3 CLOSED RISKS FROM THE ORGANISATIONAL RISK REGISTER

Nil this period.

### 3.4 DISCUSSION POINTS

#### 3.4.1 Emerging Risks

The Assistant Director of Governance & Risk has been made aware of the following emerging risks in the service that are likely to be escalated to a future Organisational Risk Register return:

Central Support Functions:

- **Digital & Data** - MS Sustainability, Unsupported server operating systems, Safe transition from paper to digital record.

#### 3.4.2 Updates Received since the Executive Leadership Group

Since the Executive Leadership Group reviewed the Organisational Risk Register at its meeting on the 7<sup>th</sup> November 2022, updates have been received from the Digital & Data Function which have been captured in this update.

### 3.5 Organisational Risk Register - Visual Heat Map by Datix Risk ID (Risks rated 15 and above):

Consequence	5				4664 4887	
	4				4337	5276
	3					4699 4672 4671 5040
	2					
	1					
CXL		1	2	3	4	5
		Likelihood				



#### 4. IMPACT ASSESSMENT

<b>Quality/Safety/Patient Experience implications</b>	Yes (Please see detail below)
<b>Related Health and Care standard(s)</b>	Governance, Leadership and Accountability If more than one Healthcare Standard applies please list below:
<b>Equality Impact Assessment (EIA) completed - Please note EIAs are required for <u>all</u> new, changed or withdrawn policies and services.</b>	No (Include further detail below) If no, please provide reasons why an EIA was not considered to be required in the box below. Not applicable for the Risk Register item.
<b>Legal implications / impact</b>	There are no specific legal implications related to the activity outlined in this report.
<b>Resource (Capital/Revenue £/Workforce) implications / Impact</b>	There is no direct impact on resources as a result of the activity outlined in this report.
<b>Link to Strategic Goals</b>	Improving Care

#### 5. RECOMMENDATION

5.1 The Committee are asked to:

- **Review** the risks assigned to the Committee as escalated to the Organisational Risk Register at Appendix 1.
- **Consider** whether the Committee can seek assurance from the report that all that can be done is being done to mitigate the risks.