

**AGENDA ITEM**

3.2

**DIGITAL & DATA COMMITTEE**

**ORGANISATIONAL RISK REGISTER**

<b>Date of meeting</b>	12/07/2021
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<b>FOI Status</b>	PUBLIC
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<b>If closed please indicate reason</b>	Not applicable Public Meeting
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<b>Prepared by</b>	Cally Hamblyn, Assistant Director of Governance & Risk
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<b>Presented by</b>	Georgina Galletly, Director of Corporate Governance
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<b>Approving Executive Sponsor</b>	Director of Corporate Governance
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<b>Report purpose</b>	FOR REVIEW & APPROVAL
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<b>Engagement (internal/external) undertaken to date (including receipt/consideration at Committee/group)</b>		
<b>Committee/Group/Individuals</b>	<b>Date</b>	<b>Outcome</b>
Service, Function and Executive Formal Review	June 2021	RISKS REVIEWED
Management Board	16.06.2021	RISKS REVIEWED AND MANAGEMENT SIGN OFF RECEIVED

<b>ACRONYMS</b>	
CSGs	Clinical Service Groups
ILG's	Integrated Locality Groups



## 1. SITUATION/BACKGROUND

- 1.1 The purpose of this report is to present the high level organisational risks included on the Organisational Risk Register which have been assigned to the Committee, and highlight the management actions being taken to manage or mitigate these high level risks.
- 1.2 The report should be considered in the context that risks within the organisation are still undergoing a robust review and therefore the organisational risk register remains a work in progress and activity continues to ensure a consistency of approach to the quantification of risk across the Health Board.

## 2. SPECIFIC MATTERS FOR CONSIDERATION BY THIS MEETING (ASSESSMENT)

- 2.2 The following progress has been made since the last report:
  - The ILGs are continuing to work to both rationalise and standardise the Clinical Service Group risk registers, the pace of this activity has been impacted by the operational pressures in response to Covid-19, however, activity has resumed with the target of October 2021 for all risks held on the Datix system to have been reviewed.
  - The monthly risk management awareness sessions held virtually via Teams are being well received, 34 colleagues joined the session on the 13<sup>th</sup> May 2021 and a large number already booked on the forthcoming sessions in June and July 2021.
  - Risks on the organisational risk register have been updated as indicated in **red**.
  - A schedule outlining the risk review dates has been shared with Business Managers and ILG Heads of Quality & Safety to aid the timely review of risks reviewed monthly by the Management Board for escalation/de-escalation for the Organisational Risk Register. This has been mapped to align with the request to report to Committee meetings prior to Board.
  - Risk Management Milestones updated to align with the Targeted Intervention programme.

## 3 KEY RISKS/MATTERS FOR ESCALATION TO BOARD/COMMITTEE

### 3.1 NEW RISKS

1. Datix ID 4664 – Ransomware Attack resulting in loss of critical services and possible extortion. Risk rated as a 20.
2. Datix ID 4671 - NHS Computer Network Infrastructure unable to meet demand. Risk rated as a 15.
3. Datix ID 4672 - Absence of coded structured data & inability to improve our delivery of the national clinical coding targets and standards. Risk rated as a 15.

### 3.2 **CHANGES TO RISK RATING**

#### **a) Risks where the risk rating INCREASED during the period**

Nil this period.

#### **b) Risks where the risk rating DECREASED during the period**

1. Datix ID 4565 – Security at the Health Board’s main Medical Records and Information hub. Risk rating decreased from a 20 to a 12 and will now be removed from the Organisational Risk Register and monitored via the local ICT/Digital risk register.
2. Datix ID 632 – Shortage of IT Storage Space. Risk rating decreased from a 15 to a 9 and will now be removed from the Organisational Risk Register and monitored via the local ICT/Digital risk register.
3. Datix ID 4286 – Telecommunications upgrade required with operational components for cardiac arrest and emergency fire numbers. Risk rating decreased from a 15 to a 12 and will now be removed from the Organisational Risk Register and monitored via the local Facilities risk register.
4. Datix ID 4306 – Potential Cyber Security risk relating to a brand of medical device monitoring system. Risk rating decreased from a 15 to a 12 and will now be removed from the Organisational Risk Register and monitored via the local Facilities risk register.

The rationale for de-escalation is captured in the Organisational Risk Register – Appendix 1.

### 3.3 **CLOSED RISKS**

#### **Information Communication Technology / Digital Risks & Rhondda Taf Ely Locality Group**

1. Datix ID 4109 – Increase requirement to store the paper patient record for longer due to delay in the DPN Project and the increased retention period due to the Infected Blood Inquiry. This risk has been closed as the target score has been met.

The rationale for closure is captured in Appendix 1.



### 3.4 Organisational Risk Register - Visual Heat Map by Datix Risk ID (Risks rated 15 and above):

Consequence	5				4664	
	4				4337	
	3					4672 4671
	2					
	1					
CxL		1	2	3	4	5
		Likelihood				

## 4. IMPACT ASSESSMENT

<b>Quality/Safety/Patient Experience implications</b>	Yes (Please see detail below)
	Aim to mitigate risks to patients and staff
<b>Related Health and Care standard(s)</b>	Governance, Leadership and Accountability
	All Health and Care Standards are included
<b>Equality impact assessment completed</b>	No (Include further detail below)
<b>Legal implications / impact</b>	There are no specific legal implications related to the activity outlined in this report.
<b>Resource (Capital/Revenue £/Workforce) implications / Impact</b>	There is no direct impact on resources as a result of the activity outlined in this report.
<b>Link to Strategic Well-being Objectives</b>	Provide high quality, evidence based, and accessible care

## 5. RECOMMENDATION

5.1 The Committee are asked to:

- **Review** the Digital & Data risks escalated to the Organisational Risk Register at Appendix 1.
- **Consider** whether the Committee can seek assurance from the report that all that can be done is being done to mitigate the risks.