

**AGENDA ITEM**

4.3

**DIGITAL AND DATA COMMITTEE**
**ORGANISATIONAL RISK REGISTER**
**Date of meeting**

11/03/2021

**FOI Status**

OPEN

**If closed please indicate reason**

Not Applicable – Public Meeting

**Prepared by**

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**Presented by**

Georgina Galletly, Director of Corporate Governance

**Approving Executive Sponsor**

Director of Corporate Governance

**Report purpose**

FOR REVIEW &amp; APPROVAL

**Engagement (internal/external) undertaken to date (including receipt/consideration at Committee/group)**
**Committee/Group/Individuals**
**Date**
**Outcome**

Service, Function and Executive Review

December 2020

RISKS REVIEWED

Management Board

January 2021

RISKS REVIEWED

Health Board

 28<sup>th</sup> January 2021

RISKS APPROVED

**ACRONYMS**

ILG's

Integrated Locality Groups

IMTP

Integrated Medium Term Plan

## 1. SITUATION/BACKGROUND

- 1.1 The purpose of this report is to present the high level organisational risks included on the Organisational Risk Register which have been assigned to the Committee, and highlight the management actions being taken to manage or mitigate these high level risks.

## 2. SPECIFIC MATTERS FOR CONSIDERATION BY THIS MEETING (ASSESSMENT)

- 2.1 **Gold Command – Covid-19 Risks** - As Gold Command was re-established in September 2020 in response to a rise in infection rates in the CTM communities, a COVID-19 Gold Command Risk Log has been developed and monitored weekly based on the risks to delivery of the CTMUHB COVID-19 Strategic Aims: 1) Prevent deaths from COVID-19 (2) Protect the health and people in CTM communities (3) Protect the health and wellbeing of staff in our public service. This risk log is being held separately to the Organisational Risk Register due to the evolving position. The Covid-19 Risk log is updated weekly following Gold meetings and shared with Board Members through the Admincontrol portal. As with the previously established Covid-19 Risk Log, when Gold Command is stood down, any relevant legacy risks will be transferred to the Organisational Risk Register as appropriate.
- 2.2 The Covid-19 Gold Risk Log includes a Datix ID which cross-references to risks that have remained on the Organisational Risk Register since their transfer in July 2020 from the previous Gold Command Risk Log. Integrated Locality Groups have considered the risks on the current Covid-19 Gold Command Risk Log and have only escalated risks specific to their localities to avoid duplication.
- 2.3 Further progress has been made since the last report to include:
- A comprehensive review of the CTMUHB Risk Management Strategy, Risk Management Policy and Risk Assessment Procedure which were approved by the Health Board on the 28<sup>th</sup> January 2021.
  - A peer review of Estates Risks in accordance with the Risk Management Strategy.
  - During December 2020, risk leads were asked to undertake a review of all risks to ensure action plans are reviewed particularly where trends have remained stagnant. It is important to note that some risks have been updated (indicated in red in Appendix 1), however, this task has been impacted by the Covid-19 resurgence as staff were of course prioritising the clinical/operational focus needed at this time.
  - The heat map in previous reports was not presented in its clearest form and therefore this has been updated in this report to include existing and new risks.
  - A review of the assignment of risks to Board Committees has been reviewed and proposed changes indicating in red in Appendix 1.



- 2.4 An Internal Audit on Risk Management was undertaken in November/December 2020. The final report presented to the Audit & Risk Committee in February 2021 concluded an assessment of Reasonable Assurance.

### 3 KEY RISKS/MATTERS FOR ESCALATION TO BOARD/COMMITTEE

#### 3.1 NEW RISKS

No new risks escalated that fall within the assignment to the Digital & Data Committee.

#### 3.2 CHANGES TO RISK RATING

##### a) Risks where the risk rating **INCREASED** during the period

No risks were increased in terms of the risk rating.

##### b) Risks where the risk rating **DECREASED** during the period

No risks were decreased in terms of the risk rating.

#### 3.3 CLOSED RISKS

No risks were closed.

#### 3.4 Organisational Risk Register - Visual Heat Map by Datix Risk ID:

Consequence	5			2725 3856 3858		
	4				4109 3368 588 2796	
	3					632 4418
	2					
	1					
		1	2	3	4	5
		Likelihood				



#### 4. IMPACT ASSESSMENT

<b>Quality/Safety/Patient Experience implications</b>	Yes (Please see detail below)
	Aim to mitigate risks to patients and staff
<b>Related Health and Care standard(s)</b>	Governance, Leadership and Accountability
	All Health and Care Standards are included
<b>Equality impact assessment completed</b>	No (Include further detail below)
<b>Legal implications / impact</b>	There are no specific legal implications related to the activity outlined in this report.
<b>Resource (Capital/Revenue £/Workforce) implications / Impact</b>	There is no direct impact on resources as a result of the activity outlined in this report.
<b>Link to Main Strategic Objective</b>	To provide strong governance and assurance
<b>Link to Main WBFG Act Objective</b>	Service delivery will be innovative, reflect the principles of prudent health care and promote better value for users

#### 5. RECOMMENDATION

5.1 The Committee are asked to:

- **REVIEW** the Digital & Data risks escalated to the Organisational Risk Register at Appendix 1.
- **CONSIDER** whether the Committee can seek assurance from the report that all that can be done is being done to mitigate the risks.