

Audit and Risk Committee Update – Cwm Taf Morgannwg University Health Board

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About this document

- 1 This document provides the Audit and Risk Committee with an update on our current and planned accounts and performance audit work at Cwm Taf Morgannwg University Health Board. We will present an Outline Audit Plan to the committee on 19 April 2023; and present a detailed Audit Plan at the subsequent meeting.
- 2 We also provide additional information on:
 - Other relevant examinations and studies published by the Audit General.
 - Relevant corporate documents published by Audit Wales (e.g. fee schemes, annual plans, annual reports), as well as details of any consultations underway.
- 3 Details of future and past Good Practice Exchange (GPX) events are available on our [website](#).

Accounts audit update

4 Exhibit 1 summarises the status of our current and planned accounts audit work.

Exhibit 1 – Accounts audit work

Area of work and	Executive Lead	Focus of the work	Current status	Planned date for consideration
2022-23 Performance Report, Accountability Report and Financial Statements	Executive Director of Finance and Director of Corporate Governance	The statutory audit of the Health Board's 2022-23 Performance Report, Accountability Report and Financial Statements, which are prepared and audited in accordance with the Welsh Government's 2022-23 'Manual for Accounts' guidance.	We have commenced audit planning.	To be considered by the Audit and Risk Committee on 26 July 2023 and by the Board on 27 July 2023; with the Auditor General certifying on 28 July.

2022-23 Charity Account.	Executive Director of Finance	The statutory audit of the Health Board's Charitable Funds Accounts.	Audit work not yet started	December 2023 / January 2024
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Performance audit update

5 Exhibit 2 summarises the status of our current and planned performance audit work.

Exhibit 2 – Performance audit work

Area of work	Executive Lead	Focus of the Work	Current Status	Planned Date for consideration
Review of the commissioning and contracting arrangements post Bridgend boundary change	Executive Director of Strategy and Transformation	This work examined the robustness of the arrangements for overseeing and managing the contractual agreements established following the Bridgend service transition in 2019. The work also considered the programme for service disaggregation (for relevant services), and whether the arrangements support future regional service models currently being explored by the organisations.	Completed	Considered December 2022

Area of work	Executive Lead	Focus of the Work	Current Status	Planned Date for consideration
Cwm Taf Morgannwg Health and Social Care Partnership - Transformation Leadership Programme Board	Executive Director of Strategy and Transformation	The review examined how the Transformation Leadership Programme Board arrangements are supporting the four bodies (Cwm Taf Morgannwg University Health Board, Rhondda Cynon Taf County Borough Council, Merthyr Tydfil County Borough Council and Bridgend County Borough Council) to develop effective and sustainable approaches to regional working.	Completed	Considered December 2022
Review of the temporary closure of the Ysbyty Cwm Cynon Minor Injuries Unit (Local work 2022)	Executive Director of Nursing, Midwifery, and Patient Care	The high-level review examined the issues surrounding the temporary closure of the Minor Injuries Unit at Ysbyty Cwm Cynon.	Completed	Considered February 2023
Structured Assessment 2022	Executive Director of Corporate Governance	The review assessed the corporate arrangements in place at the Health Board in relation to: <ul style="list-style-type: none"> • Governance and leadership; 	Completed	To be considered in April 2023

Area of work	Executive Lead	Focus of the Work	Current Status	Planned Date for consideration
		<ul style="list-style-type: none"> • Financial management; • Strategic planning; and • Managing the workforce, digital, resources, estates, and other physical assets. 		
Orthopaedic services – follow up	Chief Operating Officer	This review examined the progress made in response to our 2015 recommendations. The findings from this work inform the recovery planning discussions that are starting to take place locally and help identify where there are opportunities to do things differently as the service looks to tackle the significant elective backlog challenges. Our findings have been summarised into a single national report with supplementary outputs setting out the local position for each health board.	Completed	To be considered in April 2023
Review of Unscheduled Care	Chief Operating Officer	This work will examine different aspects of the unscheduled care system and will include analysis of national data sets to present a high-level picture of how the	Fieldwork	To be confirmed

Area of work	Executive Lead	Focus of the Work	Current Status	Planned Date for consideration
		<p>unscheduled care system is currently working. The work will include an examination of the actions being taken by NHS bodies, local government, and Regional Partnership Boards to secure timely and safe discharge of patients from hospital to help improve patient flow. We also plan to review progress being made in managing unscheduled care demand by helping patients access services which are most appropriate for their unscheduled care needs.</p>		
<p>Joint Review Follow up (Local work 2022)</p>	<p>Chief Executive</p>	<p>This work will examine the Health Board's progress in implementing the recommendations made in the Joint Review Report from 2019.</p>	<p>Fieldwork</p>	<p>To be confirmed</p>

Area of work	Executive Lead	Focus of the Work	Current Status	Planned Date for consideration
All-Wales thematic on workforce planning arrangements	Executive Director of People	This review will assess the workforce risks that NHS bodies are experiencing currently and are likely to experience in the future. It will examine how local and national workforce planning activities are being taken forward to manage those risks and address short-, medium- and longer-term workforce needs.	Fieldwork	To be confirmed
Primary Care Services - Follow-up Review (Local Work 2023)	Chief Operating Officer	In 2018, we conducted a review of primary care services, specifically considering whether the Health Board was well placed to deliver the national vision for primary care as set out in the national plan. We made several recommendations to the Health Board. This work will follow-up progress against these recommendations.	Planning	To be confirmed

Other relevant publications

- 6 **Exhibit 3** provides information on other relevant examinations and studies published by the Auditor General in the last six months. The links to the reports on our website are provided. The reports highlighted in **bold** have been published since the last committee update.

Exhibit 3 – Relevant examinations and studies published by the Auditor General

Title	Publication Date
<u>Digital Inclusion in Wales</u> and <u>Key questions for public bodies</u>	March 2023
<u>Orthopaedics Services in Wales – Tacking the Waiting list backlog</u>	March 2023
<u>Betsi Cadwaladr University Health Board – Review of Board Effectiveness</u>	February 2023
<u>Together we can – Community resilience and self-reliance</u>	January 2023
<u>A picture of Flood Risk Management</u>	December 2022
<u>‘A Missed Opportunity’ – Social Enterprises</u>	December 2022
<u>Poverty in Wales Data Tool</u>	November 2022
<u>Time for change – Poverty in Wales</u>	November 2022

Additional information

- 7 **Exhibit 4** provides information on corporate documents published by Audit Wales since the last committee update. Links to the documents on our website are provided.
- 8 There are no relevant Audit Wales consultations currently underway.

Exhibit 4 – Audit Wales corporate documents

Title	Publication Date
<u>Audit Wales Annual Plan 2023-24</u>	April 2023
<u>Fee Scheme 2023-24</u>	January 2023



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Rydym yn croesawu gohebiaeth a galwadau ffôn yn Gymraeg a Saesneg.