



AGENDA ITEM

5.2

AUDIT & RISK COMMITTEE

ORGANISATIONAL RISK REGISTER

Date of meeting	23 rd June 2022
FOI Status	Open
If closed please indicate reason	Not Applicable – Public meeting
Prepared by	Cally Hamblyn, Assistant Director of Governance & Risk
Presented by	Georgina Galletly, Director of Corporate Governance
Approving Executive Sponsor	Director of Corporate Governance
Report purpose	FOR REVIEW

Engagement (internal/external) undertaken to date (including receipt/consideration at Committee/group)

Committee/Group/Individuals	Date	Outcome
Service, Function and Executive Formal Review	April/May 2022	RISKS REVIEWED
Strategic Leadership Group	18 th May 2022	RISKS REVIEWED AND MANAGEMENT SIGN OFF RECEIVED
Health Board	26 th May 2022	APPROVED

ACRONYMS

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1. SITUATION/BACKGROUND

- 1.1 The purpose of this report is for the Audit & Risk Committee to review and discuss the organisational risk register and consider whether the risks escalated to the Organisational Risk Register have been appropriately assessed.

2. SPECIFIC MATTERS FOR CONSIDERATION BY THIS MEETING (ASSESSMENT)

- 2.2 The following progress has been made since the last report:
- Monthly Risk Management Awareness Sessions (Virtually via Teams) were implemented from January 2021 with increasing engagement and attendance growing month on month. The monthly sessions are set in the calendar until the end of 2022 and will continue beyond that date if required. 320 members of staff trained from January 2021 to date.
 - Risks on the organisational risk register have been updated as indicated in red.

3 KEY RISKS/MATTERS FOR ESCALATION TO BOARD/COMMITTEE

3.1 NEW RISKS

Primary Care

- Datix ID 4971 – Adult Special Care Dentistry. Risk rated as a 15.

Therapies

- Datix ID 4975 - Safe and appropriate repatriation of patients following vascular surgery and participation in the regional Multi Disciplinary Team. Risk rated as a 15.

Health, Safety & Fire

- Datix ID 4315 - Non Compliance of Fire Training – Provision. Risk rated as a 16.
- Datix ID 4827 – Lack of lead for Face Fit Training along with Face Fit Trainers. Risk rated as a 16.
- Datix ID 4780 – Patient Handling Training. Risk rated as a 16.
- Datix ID 4417 - Management of Security Doors in All Hospital Settings. Risk rated as a 16.
- Datix ID 4809 - Non Compliance with Mandatory Violence and Aggression Training. Risk rated as a 16.

Rhondda Taf Ely Locality

- Datix ID 5036 - Pathology services unable to meet current workload demands. Risk rated as a 20.



3.2 **CHANGES TO RISKS**

a) Risks where the risk rating **INCREASED** during the period
Nil.

b) Risks where the risk rating **DECREASED** during the period

Therapies & Health Sciences

- Datix ID 4866 - Lack of dedicated dietetic provision to Upper GI Oncology patients across CTMUHB. Risk reduced from a 20 to a 12.

Rhondda Taf Ely Locality

- Datix ID 4722 – Senior Medical Workforce Shortfall. Risk Reduced from a 20 to a 12.
- Datix ID 4873 – The implementation of the TRAK 2016 LIMS within Blood Transfusion. Reduced from a 16 to a 12.

Patient Care & Safety – Clinical Education

- Datix ID 5031 - Clinical Education & Training Accommodation. Risk Reduced from a 15 to a 12.

Merthyr & Cyonn Locality

- Datix ID 4997 – Consultant Physician in Ysbyty Cwm Cynon (YCC). Reduced to a score of 8.

3.3 **CLOSED RISKS FROM THE ORGANISATIONAL RISK REGISTER**

Bridgend Locality Group

- Datix ID 4841 – Sustainability of Mental Health Services in CTM. Risk now closed.

Merthyr & Cynon Locality Group

- Datix ID 4294 – Long waiting times and large backlog of patients awaiting Cardiac Echo.

The rationale for closure is captured in Appendix 1.

3.4 **DISCUSSION POINTS**

Emerging risks:

- The Executive Medical Director is exploring the escalation of a risk relating to Clinical Policies.
- Rhondda Taf Ely Locality are developing a cancer site specific risk around breast.



- Merthyr Cynon Locality are due to escalate risk ID 4728 Neurology Service PCH which currently has a risk rating of 20, currently in discussion with counterparts in RTE Locality to consider if this has wider Health Board implications.
- A risk in relation to Diagnostics is being considered.
- Pan Health Board Risk in relation Delayed Discharge.
- The Chief Operating Officer is exploring the escalation of a risk in relation to the backlog in children receiving screening in Bridgend schools.
- The Chief Operating Officer is exploring the escalation of a risk in relation to therapy input for stroke patients.
- The Director of Digital is exploring the escalation of a risk in relation to Medical Records.

3.5 Organisational Risk Register - Visual Heat Map by Datix Risk ID (Risks rated 15 and above):

Consequence	5			4253 3337 4768 4772	4080 3826 4664		
	4				4149 3742 4106 4157 4458 4148 4337 2987 3008 4500 816 4706 4282 4743 4798 4906 4908 4780 4809	4152 3585 3654 3133 2787 1133 4752 4679 4356 4922 4907 3267 4339 4479 4940 5017 4315 4827 4417	4491 4629 4632 4071 4688 4203 4721 4103 4841 4217 4652 4699 5036
	3					3638 4672 3698 4671 4691 4512 4888 4590 4732 4691 4833 4923 4920 2808 4975 5040 4971	
	2						
	1						
CxL	1	2	3	4	5		
	Likelihood						



4. IMPACT ASSESSMENT

Quality/Safety/Patient Experience implications	Yes (Please see detail below)
	Aim to mitigate risks to patients and staff
Related Health and Care standard(s)	Governance, Leadership and Accountability
	All Health and Care Standards are included
Equality impact assessment completed	No (Include further detail below)
Legal implications / impact	There are no specific legal implications related to the activity outlined in this report.
Resource (Capital/Revenue £/Workforce) implications / Impact	There is no direct impact on resources as a result of the activity outlined in this report.
Link to Strategic Well-being Objectives	Provide high quality, evidence based, and accessible care.

5. RECOMMENDATION

5.1 The Committee are asked to:

- **Review** the risks escalated to the Organisational Risk Register at Appendix 1.
- **Consider** whether the Committee can seek assurance from the report that all that can be done is being done to mitigate the risks.