



Bwrdd Iechyd Prifysgol
Cwm Taf
University Health Board

Item 4.1

Counter Fraud Investigations Update Report

23 June 2022

Summary

The information presented covers the current caseload of the Counter Fraud Team.

Cases being actively investigated by the Counter Fraud Team are listed in the [Open Cases](#) table.

Cases in which Counter Fraud Team have concluded their investigation but have third party involvement, such as ongoing internal investigation or investigation by professional body, are listed within the [Pending Cases](#) table. These cases remain open on the Counter Fraud Case Management system only for the purposes of recording these outcomes for intelligence purposes.

As cases are closed on the Counter Fraud Case Management system a separate table for [Closed Cases](#) will be presented to the Committee to allow review of final outcome of cases.

Case Status

**Cases Under
Investigation**

5

**Cases Pending 3rd
Party Outcome**

1

Cases Closed 2022/23

6

Case Rates

**Referrals Received
2022/03**

3

**Cases Under Investigation for
Over 12 Months**

2

Sanctions/Outcomes

Criminal Sanctions

1

**Civil Sanctions (Inc.
Financial Recovery)**

1

Disciplinary Sanctions

0

Open Cases

Reference Number	Date Opened	Allegation	Status
WARO/20/00032	24/01/2020	Alleged theft of petty cash/False representation of employment history and qualifications	<p>A full committal file has been submitted to CPS for consideration for prosecution. Based on assessment of evidence charges are anticipated.</p> <p>The subject resigned their Health Board position whilst disciplinary proceedings were underway.</p> <p>NMC are investigating potential criminal fraud offences committed against that organisation and also assessing professional registration concerns.</p>
INV/21/00041	12/04/2021	Overpayment of Salary	<p>After termination date was entered incorrectly by inputting 2020 instead of 2019 resulting in error in inputting termination information on the system. The subject continued to be paid for 12 months as a result with overpayment totalling Net £8336.70.</p> <p>Subject has failed to attend interview. Subject has denied receiving payments and has given bank mandate authority for Financial Investigator to access account information to assess.</p>

Open Cases

Reference Number	Date Opened	Allegation	Status
INV/21/00449	23/12/2021	Computer Misuse	<p>Allegation that a staff member has downloaded TOR software onto Health Board laptop. TOR is used to access 'dark web' sites. Cyber Security have dealt with immediate risk. Investigation centred around Computer Misuse Act offences.</p> <p>An invite to interview letter was hand delivered to the subject and arrangements made to undertake interview. However interview did not go ahead and concerns raised around fitness for interview after disclosure that subject is self-medicating.</p> <p>Evidence established that subject was in court for a separate drugs offence matter and failed to appear.</p> <p>This has been shared with disciplinary investigation which the LCFS is supporting on.</p>
INV/22/00056	13/01/2022	Working Whilst Sick	<p>Allegation that staff member working privately whilst on sick leave. Enquiries ongoing to establish extent of work and assess requirement for interview.</p>
INV/22/00563	19/05/2022	Overpayment of Salary	<p>The subject has been over paid in error for an extensive period following their employment on a temporary contract on the CTM UHB Covid vaccination program. Information collated so far suggests 2 posts were being paid for the same role, 1 fixed term contract and 1 bank.</p>

Pending Closure

Reference Number	Date Opened	Allegation	Status
WARO/17/00114	08/06/2017	Alleged submission of false or misleading overtime claims	<p>Criminal investigations into this matter concluded in August 2019. The CPS concluded that there was insufficient evidence of the required standard to progress to prosecution.</p> <p>The subject was dismissed from employment with the Health Board following internal process.</p> <p>NMC investigations remain ongoing. The LCFS continue to support this process as required.</p> <p>This case will remain open until the NMC investigation has been concluded.</p>

Closed Cases

Reference Number	Date Opened	Allegation	Outcome
WARO/20/00084	11/09/2020	Overpayment of salary	<p>Overpayment of salary of £8879.31. Financial Investigative support has resulted in money being unable to be located in bank accounts. A file of evidence was sent to CPS for consideration for prosecution. A conditional caution was authorised by CPS, this was conditional on repayment of the overpayment.</p> <p>Repayment has been received from the subject and subject attended a Victim Awareness Course organised by South Wales Police.</p>
INV/21/00231	20/09/2021	<p>Allegation of inappropriate use of donations made to a Ward by one of the Ward Managers.</p> <p>Investigation has gathered evidence of financial records linked to the Charitable Funds and donations made to the Ward.</p> <p>Assessment of records resulted in no criminal concerns of personal use of charitable funds. Evidence was established of failure to follow any procedure in use of funds however and this has been referred to Managers for consideration.</p>	<p>No evidence to support a criminal prosecution established.</p> <p>Disciplinary action resulted in a final written warning and a redeployment to a new role at lower banding.</p>
INV/21/00313	01/11/2021	Overpayment of Salary	Subject established to have made contact to manager regarding overpayment of salary.
INV/22/00560	19/05/2022	Overpayment of Salary	Evidence established via intelligence that money was in account and had not been spent therefore no offence

			committed. This was passed back to Payroll for normal recovery procedure to take effect.
INV/22/00562	19/05/2022	Overpayment of Salary	Initial enquiries established that subject had spoken to manager about overpayment of salary therefore lacked dishonesty - a prerequisite for criminal offence of theft or fraud.