

## Audit and Risk Committee Update – Cwm Taf Morgannwg University Health Board

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# Audit and Risk Committee Update

## About this document

- 1 This document provides the Audit and Risk Committee with an update on current and planned Audit Wales work. Accounts and performance audit work are considered, and information is also provided on the Auditor General's wider programme of national value-for-money examinations and the work of our Good Practice Exchange (GPX).

## Financial audit update

- 2 **Exhibit 1** summarises the recent and current work.

### Exhibit 1 – Accounts audit work

Area of work	Current status
Audit of the Health Board's 2021-22 Charitable Financial Statements	The Auditor General certified the statements on 27 January, with an unqualified (positive) audit opinion. We made three recommendations for improvement.
Audit of the Health Board's 2022-23 Performance Report, Accountability Report and Financial Statements	We have recently commenced our audit planning.

## Performance audit update

- 3 The following tables set out the performance audit work included in our current and previous Audit Plans, summarising:
  - completed work since the last Audit and Risk Committee update (**Exhibit 2**);
  - work that is currently underway (**Exhibit 3**); and
  - planned work not yet started or revised (**Exhibit 4**).

## Exhibit 2 – Work completed

Area of work	Considered by Audit and Risk Committee
2022 Audit Plan	April 2022
Cwm Taf Morgannwg Health and Social Care Partnership - Transformation Leadership Programme Board	December 2022
Review of the commissioning and contracting arrangements post Bridgend boundary change	December 2022
Review of the temporary closure of the Ysbyty Cwm Cynon Minor Injuries Unit (Local work 2022)	The report and Management Response will be considered by the committee in February 2023.

## Exhibit 3 – Work currently underway

Topic and relevant Executive Lead	Focus of the work	Current status and Audit and Risk Committee consideration
Orthopaedic services – follow up  Executive Lead – Director of Operations	This review will examine the progress made in response to our 2015 recommendations. The findings from this work will inform the recovery planning discussions that are starting to take place locally and help identify where there are opportunities to do things differently as the service looks to tackle the significant elective backlog challenges.	We are preparing an all-Wales summary report, and considering preparation of a discrete Annex for each Health Board.

Topic and relevant Executive Lead	Focus of the work	Current status and Audit and Risk Committee consideration
	Our findings will be summarised into a single national report with supplementary outputs setting out the local position for each health board.	Planned date for consideration: April 2023
Structured Assessment 2022  Executive Lead – Director of Corporate Governance	The structured assessment work will build on the baseline governance review by assessing the corporate arrangements in place at the SHA in relation to: <ul style="list-style-type: none"> <li>• Governance and leadership.</li> <li>• Financial management.</li> <li>• Strategic planning, and</li> <li>• Managing the workforce, digital, resources, estates, and other physical assets.</li> </ul>	Draft report to be issued for clearance  Planned date for consideration: April 2023
Review of Unscheduled Care  Executive Lead – Director of Operations	This work will examine different aspects of the unscheduled care system and will include analysis of national data sets to present a high-level picture of how the unscheduled care system is currently working. Once completed, we will use this data analysis to determine which aspects of the unscheduled care system to review in more detail.	<u>Blog and data tool</u> published in April 2022  Project brief issued in July 2022, with fieldwork now underway

#### Exhibit 4 – Planned work not yet started or revised

Topic and relevant Executive Lead	Focus of the work	Current status and Audit and Risk Committee consideration
Joint Review Follow up (Local work 2022)	This work will be a follow-up of the recommendations made in the Joint Review Report from 2019.	<p>The updated terms of reference have been issued and agreed. We have received the Health Board's self-assessment, and will be undertaking substantive fieldwork in March 2023.</p> <p>Date for consideration to be confirmed</p>
All-Wales thematic on workforce planning arrangements	This work will examine the workforce risks that NHS bodies are experiencing currently and are likely to experience in the future. It will examine how local and national workforce planning activities are being taken forward to manage those risks and address short-, medium- and longer-term workforce needs. The work will be tailored to align to the responsibilities of individual NHS bodies in respect of workforce planning.	Date for consideration to be confirmed

## Good Practice events and products

- 4 In addition to the audit work set out above, we continue to seek opportunities for finding and sharing good practice from all-Wales audit work through our forward planning, programme design and good practice research.
- 5 The most recent events were held during October 2022, focussing on Tackling Poverty in Wales (resources are available [here](#)). Details of future events are available on the GPX website.

## NHS-related national studies and related products

- 6 The Audit Committee may also be interested in the Auditor General's wider programme of national value for money studies, some of which focus on the NHS and pan-public-sector topics. These studies are typically funded through the Welsh Consolidated Fund and are presented to the Public Administration and Public Accounts Committee to support its scrutiny of public expenditure.
- 7 **Exhibit 5** provides information on the NHS-related or relevant national studies published since our last Committee Update. It also includes all-Wales summaries of work undertaken locally in the NHS.

### Exhibit 5 – NHS-related or relevant studies and all-Wales summary reports

Title	Publication Date
<a href="#">A Missed Opportunity – Social Enterprises</a>	December 2022
<a href="#">Time for Change – Poverty in Wales</a> and <a href="#">Poverty in Wales Data Tool</a>	November 2022

- 8 The Audit and Risk Committee might also be interested to know that we have recently published our **Fee Scheme for 2023-24**. The document is available on our [website](#).







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