

AGENDA ITEM

2.2.3

AUDIT & RISK COMMITTEE

DECLARATIONS OF INTERESTS, GIFTS, SPONSORSHIP, HOSPITALITY & HONORARIA

Date of meeting	28/04/2022
FOI Status	Open/Public
If closed please indicate reason	Not Applicable - Public Report
Prepared by	Lynn Hudson, Corporate Services Support Officer Karl Carpenter, Digital Services Manager
Presented by	Georgina Galletly, Director of Corporate Governance
Approving Executive Sponsor	Director of Corporate Governance
Report purpose	FOR DISCUSSION / REVIEW

Engagement (internal/external) undertaken to date (including receipt/consideration at Committee/group)

Committee/Group/Individuals	Date	Outcome
Strategic Leadership Group	20.04.2022	Reviewed

ACRONYMS

DOI	Declarations of Interest
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1. SITUATION/BACKGROUND

- 1.1 In accordance with the requirements of the Health Board's Standing Orders and Standards of behaviour Framework Policy, a report is required to be received by the Audit & Risk Committee as a standing agenda item which will detail the Declarations of Interest, Gifts, Hospitality and Sponsorship etc. activities approved within each

Health Board. A similar report will also be considered by the Strategic Leadership Group.

2. SPECIFIC MATTERS FOR CONSIDERATION BY THIS MEETING (ASSESSMENT)

- 2.1 The form in the Standards of Behaviour Framework policy or online via SharePoint should be used to declare interests and/or seek approval for receiving hospitality/sponsorship/gifts and this should help or prevent the omission of crucial information that the authorising officer requires making an informed decision on approval or rejection. The authorised signatories should also be scrutinising the declarations prior to authorisation, in order to ensure the correct information is captured on the form before it is submitted to the Corporate Governance Team.
- 2.2 An email to all Board Members requesting the annual Declarations of Interest was sent on the 10 May 2021. All returns, with the exception of one, have been finalised and signed. Board Members compliance – 99.9%.
- 2.3 An email was issued to 1,341 members of staff (included all Consultants and Workforce – Bands 8a and above). To date we have received 502 returns equalling a 37.43% return rate. It should be noted that a further 29 returns have been received in this period, however, they are awaiting manager approval which is currently being followed up by the Corporate Governance Team.
- 2.4 In summary there have been:
- **46** new declarations of interest received and entered on the Declarations of Interest Register for the period 1 November 2021 – 31 March 2022.
 - **5** new entries on the Gifts, Hospitality and Sponsorship Register.
- 2.5 The appendices to this report include the new entries received up to the 31 March 2022 as follows:
- Appendix 1 – Declarations received 1.11.2021 – 31.3.2022
 - Appendix 2 – Nil declarations received 1.11.2021 – 31.3.2022
 - Appendix 3– Gifts, Hospitality and Sponsorship Declarations received 1.11.21 – 31.3.2022.

3. KEY RISKS/MATTERS FOR ESCALATION TO BOARD/COMMITTEE

- 3.1 Please refer to the register for the Declarations of Interest Register Declaration included at Appendix 1 and the Gifts, Hospitality and Sponsorship at Appendix 2.

4. IMPACT ASSESSMENT

Quality/Safety/Patient Experience implications	Yes (Please see detail below)
	<p>The Register and Declaration of Interests is the method by which the Health Board safeguards against conflict or potential conflict of interest where private interests and public duties of members of staff do not concur.</p> <p>The Health Board must be impartial and honest in the conduct of its business and must ensure that employees remain beyond suspicion at all times.</p>
Related Health and Care standard(s)	Governance, Leadership and Accountability
	If more than one Healthcare Standard applies please list below:
Equality Impact Assessment (EIA) completed - Please note EIAs are required for <u>all</u> new, changed or withdrawn policies and services.	No (Include further detail below)
	<p>If yes, please provide a hyperlink to the location of the completed EIA or who it would be available from in the box below.</p> <p>If no, please provide reasons why an EIA was not considered to be required in the box below.</p>
Legal implications / impact	There are no specific legal implications related to the activity outlined in this report.
Resource (Capital/Revenue £/Workforce) implications / Impact	There is no direct impact on resources as a result of the activity outlined in this report.
Link to Strategic Goals	Improving Care

5. RECOMMENDATION

- 5.1 The report is open to the Audit & Risk Committee for **REVIEW** and to examine any entries on the register in full.