



**AGENDA ITEM**

2.1.2

**AUDIT & RISK COMMITTEE**

**AMENDMENT TO THE STANDARDS OF BEHAVIOUR  
FRAMEWORK POLICY – DECLARATIONS OF INTEREST  
FORM**

**Date of meeting**

28<sup>th</sup> April 2022

**FOI Status**

Open/Public

**If closed please indicate  
reason**

Not Applicable - Public Report

**Prepared by**

Cally Hamblyn, Assistant Director of  
Governance & Risk

**Presented by**

Georgina Galletly, Director of Corporate  
Governance

**Approving Executive Sponsor**

Director of Corporate Governance

**Report purpose**

ENDORSE FOR BOARD APPROVAL

**Engagement (internal/external) undertaken to date (including  
receipt/consideration at Committee/group)**

**Committee/Group/Individuals**

**Date**

**Outcome**

**ACRONYMS**

DOI

Declarations of Interest

**1. SITUATION/BACKGROUND**

- 1.1 The purpose of the Standards of Behaviour Framework Policy is to set out the Cwm Taf Morgannwg University Health Boards (CTMUHB) commitment to ensuring that its Employees and Independent Board Members practice the highest standards of conduct and behaviour.



- 1.2 The Director of Corporate Governance is responsible for ensuring that a Register of Interests is established and maintained as a formal record of interests declared by Employees and Independent Board Members and that there are arrangements in place to prompt specific groups within the Health Board to complete a Declaration of Interest Form on initial employment with the Health Board and at periodic intervals thereafter.
- 1.3 The purpose of this report is to put forward proposed changes to strengthen the DOI Form which is enclosed at Appendix 1.

## **2. SPECIFIC MATTERS FOR CONSIDERATION BY THIS MEETING (ASSESSMENT)**

- 2.1 Please refer to Appendix 1. Following recent feedback the DOI form has been amended and these changes are reflected in red.
- 2.2 These changes are intended to provide greater clarity in what requires reporting through the DOI process.
- 2.3 The full policy has not been included as an appendix to this report, however, it is available via this [link](#).
- 2.4 Once approved by the Board the relevant section of the policy will be updated to reflect the agreed changes and it will be published on SharePoint and the Health Board's website.

## **3. KEY RISKS/MATTERS FOR ESCALATION TO BOARD/COMMITTEE**

- 3.1. None identified, it is considered that the changes proposed will further strengthen the process for capturing DOIs.

## **4. IMPACT ASSESSMENT**

<b>Quality/Safety/Patient Experience implications</b>	Yes (Please see detail below)
	The Register and Declaration of Interests is the method by which the Health Board safeguards against conflict or potential conflict of interest where private interests and public duties of members of staff do not concur. The Health Board must be impartial and honest in the conduct of its business and must ensure that employees remain beyond suspicion at all times.
	Governance, Leadership and Accountability



<b>Related Health and Care standard(s)</b>	If more than one Healthcare Standard applies please list below:
<b>Equality Impact Assessment (EIA) completed - Please note EIAs are required for <u>all</u> new, changed or withdrawn policies and services.</b>	No (Include further detail below)
	An EIA will be completed on the policy when reviewed in its entirety.
<b>Legal implications / impact</b>	There are no specific legal implications related to the activity outlined in this report.
<b>Resource (Capital/Revenue £/Workforce) implications / Impact</b>	There is no direct impact on resources as a result of the activity outlined in this report.
<b>Link to Strategic Goals</b>	Improving Care

## 5. RECOMMENDATION

- 5.1 The Audit and Risk Committee is asked to **Endorse Approval** of the amendment to the Standards of Behaviour Framework Policy for onward submission to the Health Board meeting for final ratification.