



AGENDA ITEM

4.1

AUDIT & RISK COMMITTEE

CTMUHB ANNUAL REPORT 2020-2021

Date of meeting	09/06/2021
FOI Status	Open/Public
If closed please indicate reason	Not Applicable - Public Report
Prepared by	C.Hamblyn, Assistant Director of Governance & Risk W. Penrhyn-Jones, Head of Administration
Presented by	G. Galletly, Director of Corporate Governance
Approving Executive Sponsor	G. Galletly, Director of Corporate Governance
Report purpose	ENDORSE FOR APPROVAL

Engagement (internal/external) undertaken to date (including receipt/consideration at Committee/group)

Committee/Group/Individuals	Date	Outcome
Management Board (Draft Annual Report)	16/04/2021	COMMENTS INCORPORATED
Audit & Risk Committee & Planning & Performance Committee (Draft Annual Report)	26/04/2021	COMMENTS INCORPORATED
Audit – Internal Audit & Audit Wales (Draft Annual Report)	26/04/2021	COMMENTS INCORPORATED
Welsh Government (WG) and Audit Wales (AW) Formal Draft Submission (Draft Annual Report and Remuneration Tables)	4/05/2021	COMMENTS INCORPORATED FROM WG REMAINING AW COMMENTS EXPECTED CIRCA 14 TH MAY 2021
Full Board Members	4/05/2021	COMMENTS INCORPORATED



Audit & Risk Committee (Draft Annual Report and Accounts)	17/05/2021	COMMENTS INCORPORATED
<i>Audit & Risk Committee / Health Board Meeting (Final for Approval)</i>	9/06/2021	PENDING

ACRONYMS	
AGM	Annual General Meeting
AW	Audit Wales
CTMUHB	Cwm Taf Morgannwg University Health Board
EASC	Emergency Ambulance Services Committee
NIA	National Imaging Academy
WHSSC	Welsh Health Specialised Services Committee

1. SITUATION/BACKGROUND

- 1.1 In accordance with Welsh Government and HM Treasury Guidance, the Health Board has produced the Annual Report and Annual Accounts for the financial reporting period 2020-2021.
- 1.2 The Annual Report incorporating the Accountability Report at Chapter 2, (Incorporating the Governance Statement, Statement of Directors Responsibilities and the Remuneration Report), in conjunction with the Annual Accounts will be submitted to Welsh Government by midday on the 11th June 2021 as one unified document.
- 1.3 The Annual Report will be formally presented at the Health Boards Annual General Meeting on the 29th July 2021.

2. SPECIFIC MATTERS FOR CONSIDERATION BY THIS MEETING (ASSESSMENT)

- 2.1 The Committee is asked to specifically consider the Accountability Section of the Annual Report, the purpose of which is to report to the National Assembly for Wales in respect of the key accountability requirements and captures the following key areas:



- Corporate Governance Report
- Remuneration and Staff Report
- Financial Accountability Report (will follow in the final Accountability Report due in August 2020)
- National Assembly for Wales Accountability & Audit Report

2.2 The Health Board's Annual Governance Statement, which is contained within the Accountability Report, is supported by separate Annual Governance Statements from its hosted organisations attached as agenda items, 4.1.1, 4.1.2 and 4.1.3.

- WHSSC
- EASC
- NIA

2.3 Further to the report received by the Committee on the 8th February, it was noted that with timescales permitting the Health Board would develop a summarised annual report targeted more to the public. This has been achieved and will be delivered in the form of a short video reflecting on the year 2020-2021 that will be shared at the AGM with the presentation of the Annual Report.

2.4 The Annual Accounts outline the financial performance up to year end 31st March 2021, these are captured within the Annual Report and at Agenda item 5.

3. KEY RISKS/MATTERS FOR ESCALATION TO BOARD/COMMITTEE

3.1 The approach required for the Annual Report, and in particular the Performance Chapter, changed significantly for 2020-2021, with a predominant focus on how the Health Board performed in relation to the need to adapt and respond to the Covid-19 pandemic.

3.2 The Annual Report has been reviewed at each stage of its development as outlined on page 1. Following further revision the document was then subject to comment by External Audit (Audit Wales) and Welsh Government and circulated to the full Board.

3.3 The responses received were most welcome given the need to reflect the various challenges the organisation faced during 2020-2021. The comments enabled the document to be further refined.



- 3.4 The following key changes have been made to the Annual Report since the Audit & Risk Committee met on the 17th May 2021:
- Elements of the Public Health section within the report were rephrased to ensure that they are accessible to the lay reader.
 - Ambulance Handover target was amended to reference the national target of 15 minutes.
 - Finance summary wording amended to align with changes to the accounts.
 - Grading key added to the Putting Things Right section.
 - Head of Internal Audit section updated to reflect the end of year position. The full report from Internal Audit is captured at Agenda Item 6.
 - Updated figures as at March 2021 in relation to Information Governance Training were added to the report.
 - Auditor General for Wales audit report and certificate incorporated.
 - Off Payroll Engagement figures incorporated.
 - Salary and Pension tables incorporated.
 - Key resources tables removed from the Accountability Report as captured in the Accounts and therefore duplication removed.
 - Minor typographical and grammar amendments were also made.

4. IMPACT ASSESSMENT

Quality Impact (Quality, Safety, Patient Experience Implications)	The suite of Annual Report Documents are intended for the public, and provide information in an honest and transparent way about the services provided by the Health Board.
Related Health and Care standard(s)	Governance, Leadership and Accountability
	If more than one Healthcare Standard applies please list below:
Equality impact assessment completed	Not required
Legal implications / impact	There are no specific legal implications related to the activity outlined in this report.
Resource (Capital/Revenue £/Workforce) implications / Impact	There is no direct impact on resources as a result of the activity outlined in this report.



Link to Strategic Well-being Objectives

(The Strategic objectives of the organisation have been developed in such a way that they can also be considered our well-being objectives)

Provide high quality, evidence based, and accessible care

5. RECOMMENDATION

5.1 The Audit & Risk Committee is asked to:

- **ENDORSE APPROVAL** of the CTMUHB Annual Report for 2020-2021 - Appendix 1, for approval by the Board.
- **ENDORSE APPROVAL** of the Letter of Representation (contained within the ISA 260 at Agenda item 5.3)
- **NOTE** the Governance Statements received by the Health Board's Hosted Organisations (Agenda items 4.1.1, 4.1.2 and 4.1.3).

NEXT STEPS:

- Formal approval Health Board on the 9th June 2021.
- Submission to Welsh Government by midday on the 11th June 2021.