



**CERTIFICATION**

# **AUDIT REPORT: CWM TAF MORGANNWG UNIVERSITY HEALTH BOARD**

**VISIT TYPE:**

**EXTENSION TO SCOPE AUDIT - 2A**

**CONTRACT NUMBER:**

**GB/WW/231339**

**BE THE BENCHMARK**



**EXECUTIVE SUMMARY**

The audit was carried out partially by remote auditing and a site visit using the ICT tools indicated in the audit plan and the use of ICT contributed to, the effectiveness of the audit in achieving stated objectives. The audit was conducted using a process-based audit focusing on aspects, risks and objectives required by the standards.

A sampling process, was used based on the information available at the time of the audit. The audit methods used were interviews and reviews of documents and records.

This was a V2 Surveillance audit that reviewed both management and operational activities for ISO 14001/ 2015.

This included, interviews with Senior Management including Paul Mears, the CEO who was very supportive and committed to the future of the EMS and Sustainability within the Health Board as a whole.

Overall the processes in place were seen to be comprehensive and robust with staff showing an excellent awareness of their roles and responsibilities in line with the overall Business Strategy and Policy.

Based upon the evidence viewed the auditor's recommendation is that certification is continued with continuous improvement shown towards the next visit (V3).

Congratulations!

<b>SGS DELIVERING OFFICE:</b>	SGS United Kingdom Ltd.
<b>ORGANIZATION NAME:</b>	Cwm Taf Morgannwg University Health Board
<b>HEAD OFFICE:</b>	Prince Charles Hospital Mountain Ash, Merthyr Tydfil, CF47 9DT, United Kingdom
<b>REPRESENTATIVE:</b>	Russell Hoare

**AUDIT CRITERIA**

STANDARD	ACCREDITATION	ACCREDITED SGS OFFICE	NO. OF EFFECTIVE EMPLOYEES /STANDARD
ISO 14001:2015	UKAS	SGS United Kingdom Ltd.	13035

**CERTIFICATION SCOPE**

The management of environmental aspects associated with the provision of healthcare and clinical services at the following sites:

- Prince Charles Hospital
- Royal Glamorgan Hospital
- Community Hospitals, Health Centres & Clinics

Rheoli agweddau amgylcheddol mewn perthynas â darparu gofal iechyd a gwasanaethau clinigol yn y safleoedd canlynol:

- Ysbyty'r Tywysog Siarl
- Ysbyty Brenhinol Morgannwg
- Ysbytai cymunedol, canolfannau iechyd a clinigau

**SITES COVERED BY CERTIFICATION SCOPE**

ADDRESS SITE 1	NO. OF STAFF	NO. OF SHIFTS
Aberfan Clinic Cotteral Street, Aberfan, CF48 4QU, United Kingdom	10	1
ADDRESS SITE 2	NO. OF STAFF	NO. OF SHIFTS
Ysbyty Cwm Rhondda , Partridge Road , Lwynypia, CF40 2LU, United Kingdom	600	1

ADDRESS SITE 3	NO. OF STAFF	NO. OF SHIFTS
Dewi Sant Hospital Albert Road, Pontypridd, CF37 1LB, United Kingdom	50	1
ADDRESS SITE 4	NO. OF STAFF	NO. OF SHIFTS
Pontypridd H.C. Bridge Street, Pontypridd, CF37 4PF, United Kingdom	15	1
ADDRESS SITE 5	NO. OF STAFF	NO. OF SHIFTS
Porth Dental Teaching Unit Leith House, Pontypridd Road, Porth, CF39 9PH, United Kingdom	30	1
ADDRESS SITE 6	NO. OF STAFF	NO. OF SHIFTS
Ystrad Clinic Trefalgar Terrace, Ystrad, CF41 7RG, United Kingdom	15	1
ADDRESS SITE 7	NO. OF STAFF	NO. OF SHIFTS
Princess of Wales Hospital Coity Road, Bridgend, CF31 1RQ , United Kingdom	2195	1
ADDRESS SITE 8	NO. OF STAFF	NO. OF SHIFTS
Royal Glamorgan Hospital Ynysmaerdy, Llantrisant, CF72 8XR, United Kingdom	3000	1
ADDRESS SITE 9	NO. OF STAFF	NO. OF SHIFTS
Ysbyty Cwm Cynon New Road, Mountain Ash, CF45 4BZ, United Kingdom	600	1
ADDRESS SITE 10	NO. OF STAFF	NO. OF SHIFTS
Tonypandy H.C. , DeWinton Field, CF40 2LE, United Kingdom	6	1
ADDRESS SITE 11	NO. OF STAFF	NO. OF SHIFTS
Hirwaun Medical Centre High Street, Aberdare, Hirwaun, CF44 9SL, United Kingdom	4	1
ADDRESS SITE 12	NO. OF STAFF	NO. OF SHIFTS
Central Processing Unit , Units 21-22, Caemawr Industrial Estate, Treorchy, CF42 6EJ, United Kingdom	50	1
ADDRESS SITE 13	NO. OF STAFF	NO. OF SHIFTS
Pine Wood House High Street, Treorchy, CF42 6AE, United Kingdom	20	1
ADDRESS SITE 14	NO. OF STAFF	NO. OF SHIFTS
Ynyswen Clinic Ynsywen Road, Treorchy, CF42 6ED, United Kingdom	15	1
ADDRESS SITE 15	NO. OF STAFF	NO. OF SHIFTS
Cwm Gwyrd Medical Centre , High Street, Gilfach Goch, CF39 8TJ, United Kingdom	10	1

ADDRESS SITE 16	NO. OF STAFF	NO. OF SHIFTS
Rhondda Mental Health Clinical Day Services (Trealaw) Brynteg Terrace, Trealaw, CF40 2PD, United Kingdom	25	1
ADDRESS SITE 17	NO. OF STAFF	NO. OF SHIFTS
Ysbyty George Thomas Cwmparc Road, Treorchy, Rhondda, CF42 6YG, United Kingdom	300	1
ADDRESS SITE 18	NO. OF STAFF	NO. OF SHIFTS
Glanrhyd Hospital Tondu Road, Bridgend, CF31 4LN, United Kingdom	210	1
ADDRESS SITE 19	NO. OF STAFF	NO. OF SHIFTS
Aberdare Health Centre High Street, Aberdare, CF44 7DD, United Kingdom	10	1
ADDRESS SITE 20	NO. OF STAFF	NO. OF SHIFTS
Pontypridd & District Hospital Y Bwthyn, Hospital Road, Pontypridd, CF37 4AL, United Kingdom	50	1
ADDRESS SITE 21	NO. OF STAFF	NO. OF SHIFTS
Carnegie Clinic Brithwaunydd Road, Trealaw, CF40 2UH, United Kingdom	35	1
ADDRESS SITE 22	NO. OF STAFF	NO. OF SHIFTS
Treharris Primary Care Resource Centre Fox Street, Treharris, CF46 5HE, United Kingdom	10	1
ADDRESS SITE 23	NO. OF STAFF	NO. OF SHIFTS
Tonteg Church Road, Tonteg, Pontypridd, CF38 1HE, United Kingdom	50	1
ADDRESS SITE 24	NO. OF STAFF	NO. OF SHIFTS
Ynysmuerig House Unit 3 Navigation Park, Abercynon, CF45 4SN, United Kingdom	150	1
ADDRESS SITE 25	NO. OF STAFF	NO. OF SHIFTS
Pontypridd Mental Health Clinical Day Services (Maritime) Woodland Terrace, Maesycod, Pontypridd, CF37 1DZ, United Kingdom	25	1
ADDRESS SITE 26	NO. OF STAFF	NO. OF SHIFTS
Dinas Isaf Industrial Estate West Williamstown, Rhondda, CF40 1PY, United Kingdom	50	1
ADDRESS SITE 27	NO. OF STAFF	NO. OF SHIFTS
Keir Hardy Health Park , Aberdare Road, Merthyr Tydfil, CF48 1BZ, United Kingdom	100	1

ADDRESS SITE 28	NO. OF STAFF	NO. OF SHIFTS
Ferndale Medical Centre High Street, Ferndale, CF43 4XX, United Kingdom	6	1
ADDRESS SITE 29	NO. OF STAFF	NO. OF SHIFTS
Maesteg Hospital Neath Road, Maesteg, CF34 9PW, United Kingdom	83	1
ADDRESS SITE 30	NO. OF STAFF	NO. OF SHIFTS
Princess of Wales Hospital Coity Road, Bridgend, CF31 1RQ, United Kingdom	2195	1
ADDRESS SITE 31	NO. OF STAFF	NO. OF SHIFTS
Tylorstown Surgery Ferndale Road, Tylerstown, CF43 3HB, United Kingdom	10	1
ADDRESS SITE 32	NO. OF STAFF	NO. OF SHIFTS
Llwyn-Yr-Eos Clinic Main Road, Church Village, Pontypridd, CF38 1RN, United Kingdom	10	1
ADDRESS SITE 33	NO. OF STAFF	NO. OF SHIFTS
Prince Charles Hospital Mountain Ash, Merthyr Tydfil, CF47 9DT, United Kingdom	3000	1
ADDRESS SITE 34	NO. OF STAFF	NO. OF SHIFTS
Linen Services , Heol Draw, Upper Church Village, Pontypridd, CF38 1UR, United Kingdom	90	1
ADDRESS SITE 35	NO. OF STAFF	NO. OF SHIFTS
Talbot Green H.C. , Heol-y-Gyffraith, Talbot Green, CF72 8AJ, United Kingdom	6	1

AUDIT TEAM COMPOSITION AND AUDIT INFORMATION	
AUDIT TEAM LEADER	Nick Johnson
ANY OTHER ACCOMPANYING PERSON (NAMES & ROLES)	None
AUDIT DATE(S)	See audit plan

## 1. AUDIT OBJECTIVES

The objectives of this audit/visit are, for the scope of certification:

Determination of the conformity of the client's management system, or parts of it, with audit criteria;

Determination of the ability of the management system to ensure the client meets applicable statutory, regulatory and contractual requirements (NOTE: A management system certification audit is not a legal compliance audit.);

Determination of the effectiveness of the management system to ensure the client can reasonably expect to achieving its specified objectives;

As applicable, identification of areas for potential improvement of the management system.

**CONSIDERATIONS:**

The scope of the audit, dates and places where audit activities were conducted are identified in the audit plan (any changes are identified in the audit report).

This audit report contains a summary of the capability of the management system to meet applicable requirements and expected outcomes.

This report is confidential, and distribution is limited to the audit team, audit attendees, client representative, the SGS office and may be subject to Accreditation Body, Certification Scheme owners or any other Regulatory Body sampling in line with our online Privacy Statement which can be accessed at [www.sgs.com/en/privacy-at-sgs](http://www.sgs.com/en/privacy-at-sgs).

Audits use a sampling process, based on the information available at the time of the audit. The audit methods shall include, but are not limited to, interviews, observation of activities and review of documentation and records.

**2. SUMMARY AND CONCLUSIONS****CONCLUSIONS**

The audit team recommends that, based on the results of this audit, the management system certification be :

**Continued.**

Continued certification is conditional to satisfactory processing of non conformities.

**AUDIT SUMMARY**

- The organization has demonstrated effective implementation of a management system and documentation that conforms with audit criteria.
- The management system is effective with regard to achieving the organization's objectives and the intended results of the applicable standard(s).

Number of nonconformities identified:0

- Corrective actions regarding previously identified Non Conformities are effective.
- Certification scope is appropriate.
- Audit objectives have been fulfilled.
- Audit plan was followed.
- Audit programme is adequate.
- Any issues resolved.

N/A

**3. PREVIOUS FINDINGS**

The results of the last audit of this system have been reviewed, in particular to assure appropriate correction and corrective action has been implemented when non-conformities (or Stage 1 findings) were identified. When the management system has not adequately addressed non-conformity (or Stage 1 finding) identified during previous audit activities, the specific issue has been raised in the non-conformity section of this report.

**4. NON-CONFORMITIES**

Not applicable

**5. OBSERVATIONS AND IMPROVEMENT OPPORTUNITIES**

Not applicable

## 6. SPECIFIC REQUIREMENTS

Any significant changes?

Yes

There have been team changes and some new personnel joining the EMS team. The pandemic has seen major operational and logistical changes but the EMS has still functioned effectively throughout.

Are certification claims accurate and in accordance with SGS guidance and is the organisation effectively controlling the use of certification documents and marks?

N/A

Logo not used

## 7. AUDIT TRAILS

SITE 33		
PROCESS	PROCESS OWNER	AUDITOR
Leadership/Policy	R Hoare	Nick Johnson
SUMMARY		
There was commitment shown during the audit by Senior Management within elements of the audit criteria and closing meetings. Leadership are driving the Environmental strategy through the EMS which was again evident within interviews and documented evidence including current Policy and revised draft Policy.		
PROCESS	PROCESS OWNER	AUDITOR
Operational Control-Prince Charles Hospital	D Williams	Nick Johnson
SUMMARY		
Operational Control at Prince Charles Hospital was seen to be effective and compliant with all standard requirements. All documents adequately protected and of correct version.		
PROCESS	PROCESS OWNER	AUDITOR
Management Review-Corporate	D Williams	Nick Johnson
SUMMARY		
The Management Review was a Comprehensive and defined document that covered all requirements of the standard in great detail.		
SITE 12		
PROCESS	PROCESS OWNER	AUDITOR
Operational Control-Central Processing Unit	D Williams	Nick Johnson
SUMMARY		
Operational Control was seen to be effective with all processes well controlled		

SITE 25		
PROCESS	PROCESS OWNER	AUDITOR
Operational Control-Pontypridd Mental Health Clinic	D Williams	Nick Johnson
SUMMARY		
Site not visited or documents sampled.All documents and records contained in audit trails of other sites included for this site.		

SITE 27		
PROCESS	PROCESS OWNER	AUDITOR
Operational Control-Keir Hardy Merthyr	D Williams	Nick Johnson
SUMMARY		
Operational Control was seen to be effective and all processes controlled		

SITE 2		
PROCESS	PROCESS OWNER	AUDITOR
Operational Control-Ysbyty Cwm Rhondda	D Williams	Nick Johnson
SUMMARY		
Operational Control was seen to be effective for all processes audited		

SITE 7		
PROCESS	PROCESS OWNER	AUDITOR
Operational Control-Princess of Wales	P Harries	Nick Johnson
SUMMARY		
All operational documentation and processes sampled were effectively controlled. This incorporated the IT Hub which showed some excellent initiatives to align to ISO14001 monitoring and measurement.		

## 8. ADDITIONAL COMMENTS

Not applicable

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**WHEN YOU NEED TO BE SURE**