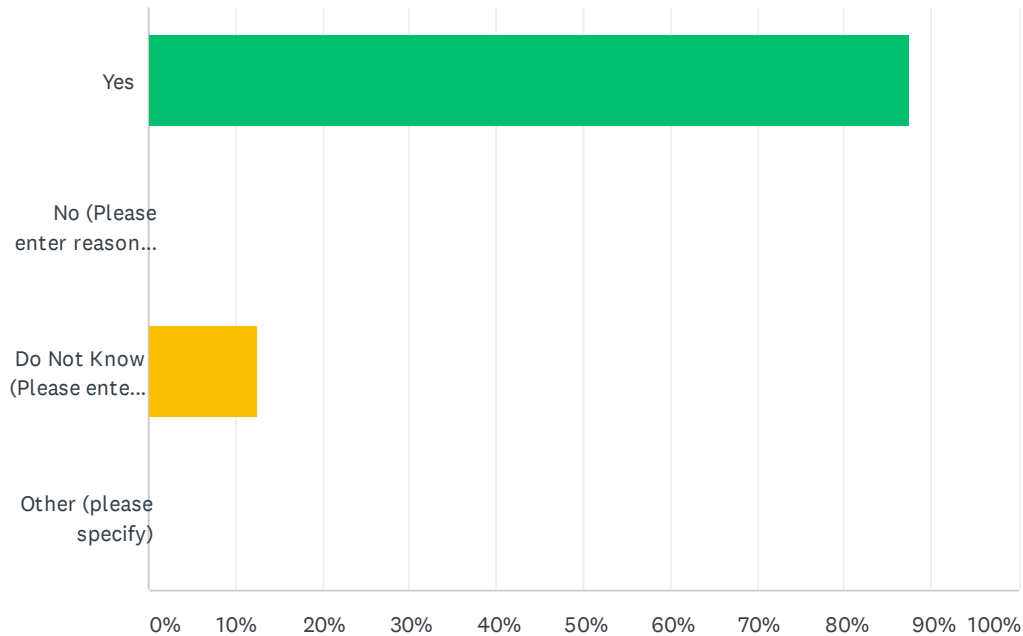


Q1 Does the Committee have written Terms of Reference, which adequately define its role in accordance with Welsh Government guidance?

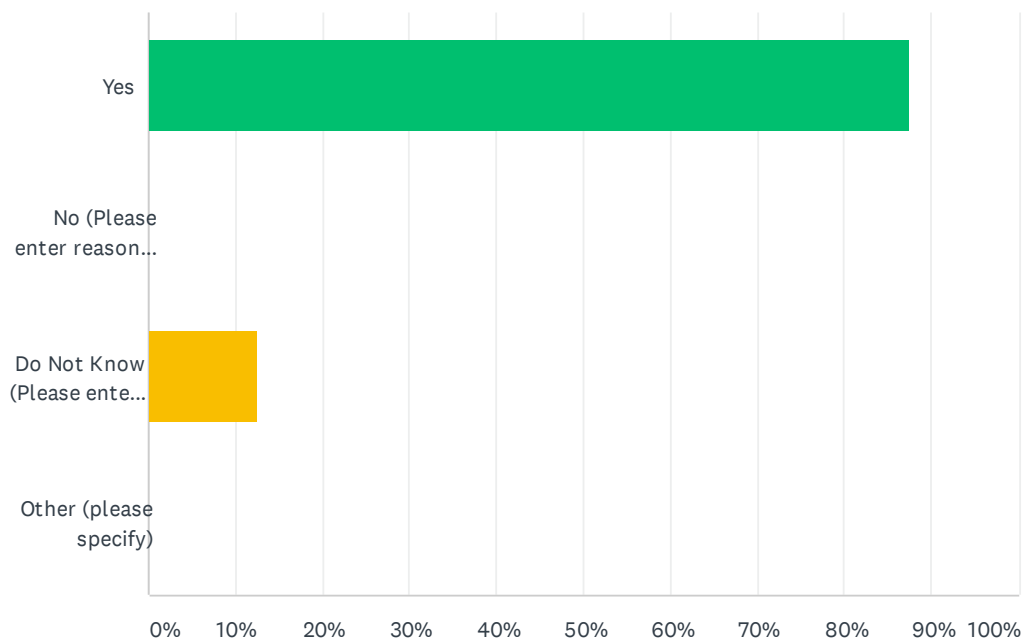
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ANSWER CHOICES	RESPONSES	
Yes	87.50%	7
No (Please enter reason in comment field below)	0.00%	0
Do Not Know (Please enter reason in comment field below)	12.50%	1
Other (please specify)	0.00%	0
TOTAL		8

Q2 Are the Terms of Reference reviewed annually to take into account governance developments and the remit of other Committees within the organisation?

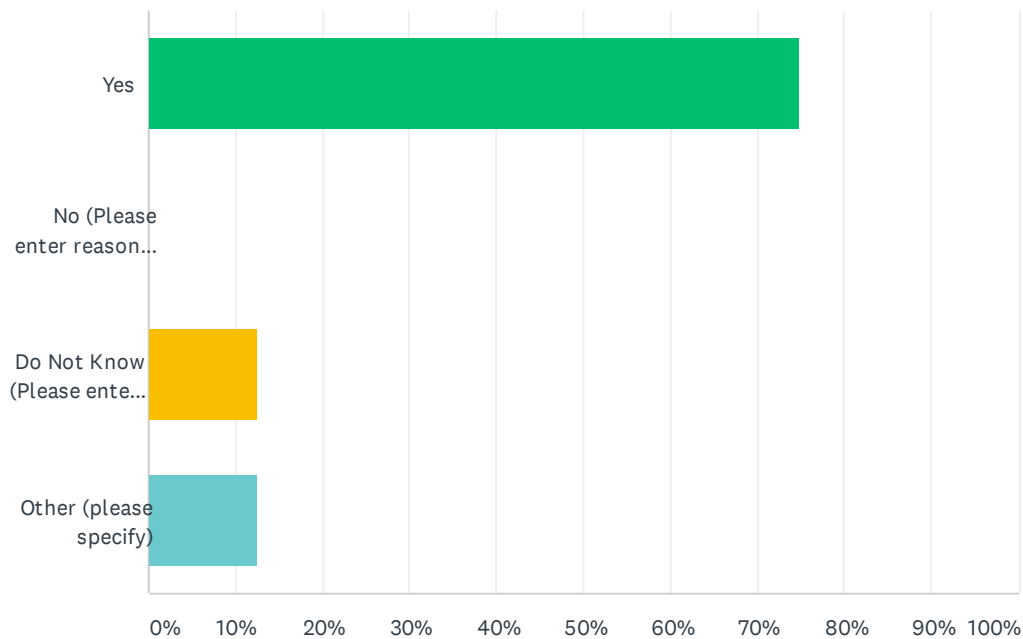
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ANSWER CHOICES	RESPONSES	
Yes	87.50%	7
No (Please enter reason in comment field below)	0.00%	0
Do Not Know (Please enter reason in comment field below)	12.50%	1
Other (please specify)	0.00%	0
TOTAL		8

Q3 Has the Committee been provided with sufficient authority and resources to perform its role effectively?

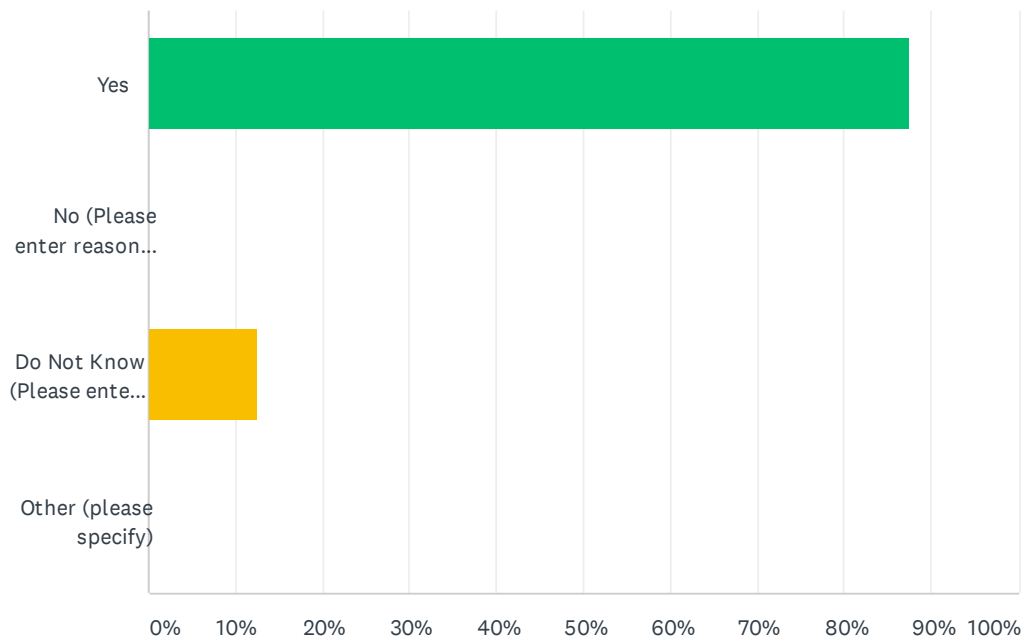
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ANSWER CHOICES	RESPONSES	
Yes	75.00%	6
No (Please enter reason in comment field below)	0.00%	0
Do Not Know (Please enter reason in comment field below)	12.50%	1
Other (please specify)	12.50%	1
TOTAL		8

Q4 Does the Committee prepare an Annual Report on its work and performance in preceding year, for consideration by the Health Board?

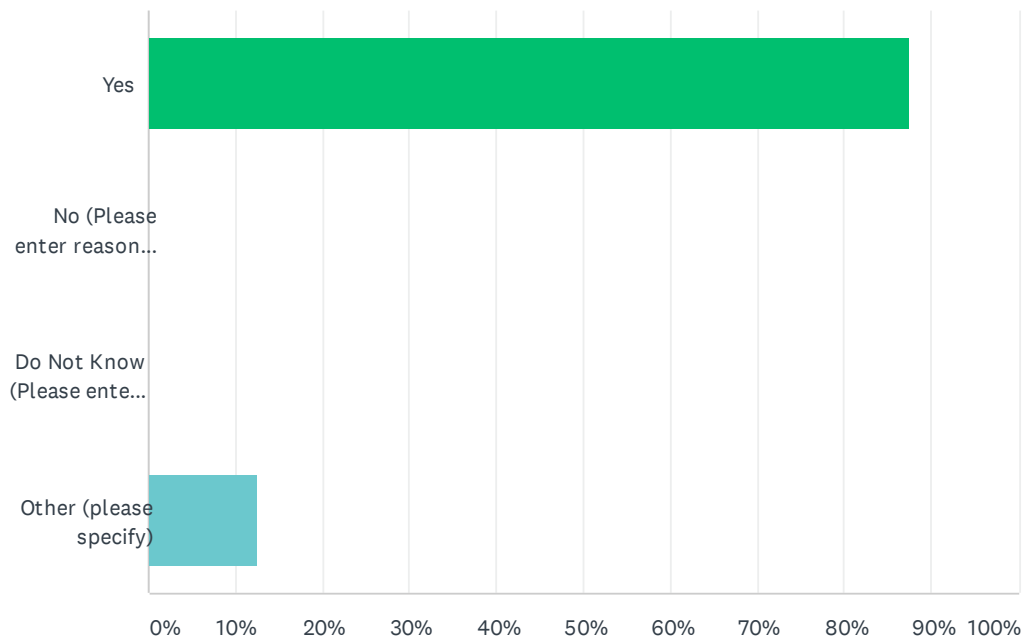
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ANSWER CHOICES	RESPONSES	
Yes	87.50%	7
No (Please enter reason in comment field below)	0.00%	0
Do Not Know (Please enter reason in comment field below)	12.50%	1
Other (please specify)	0.00%	0
TOTAL		8

Q5 Has the Committee established a cycle of business to be dealt with across the year?

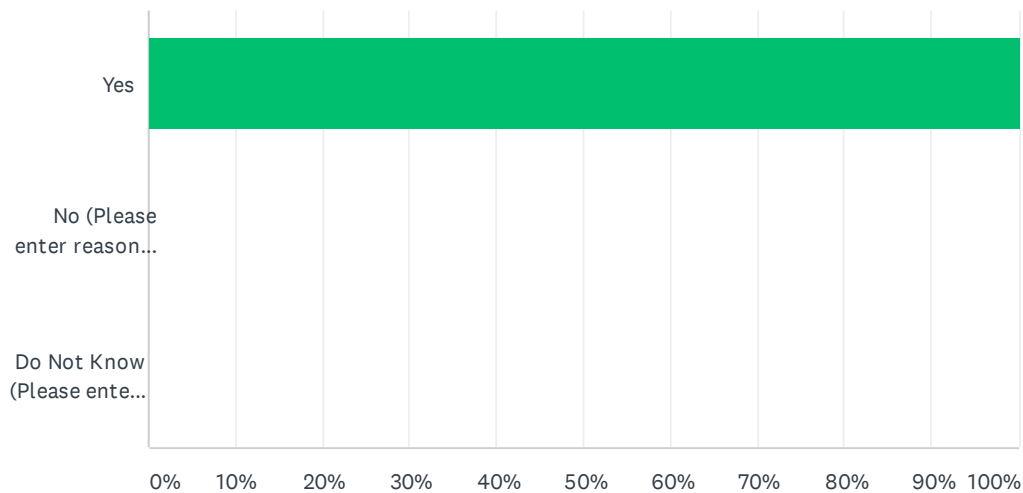
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ANSWER CHOICES	RESPONSES	
Yes	87.50%	7
No (Please enter reason comment in field below)	0.00%	0
Do Not Know (Please enter reason in comment in field below)	0.00%	0
Other (please specify)	12.50%	1
TOTAL		8

Q6 Does the Committee meet sufficiently frequently to deal with planned matters and is enough time allowed for questions and discussions?

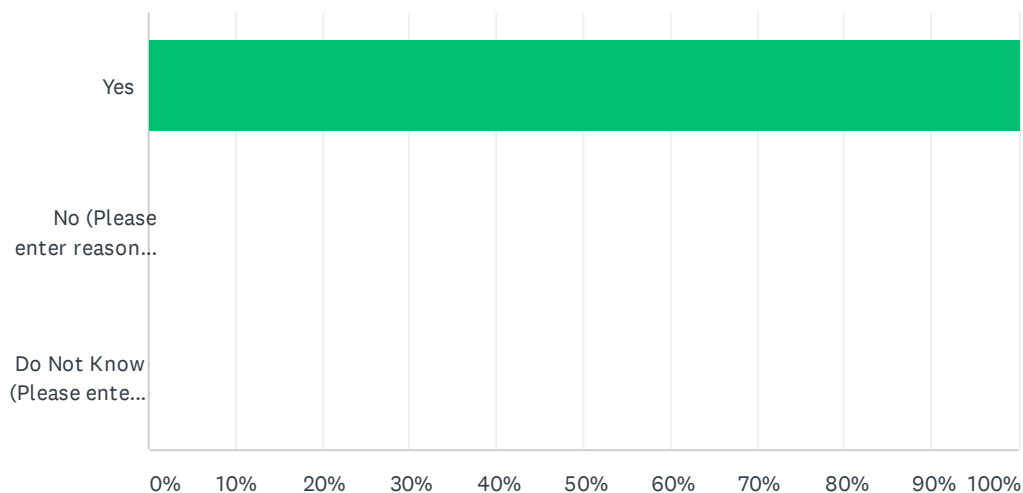
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ANSWER CHOICES	RESPONSES	
Yes	100.00%	8
No (Please enter reason in comment field below)	0.00%	0
Do Not Know (Please enter reason in comment field below)	0.00%	0
TOTAL		8

Q7 Is the atmosphere at the Committee meetings conducive to open and productive debate?

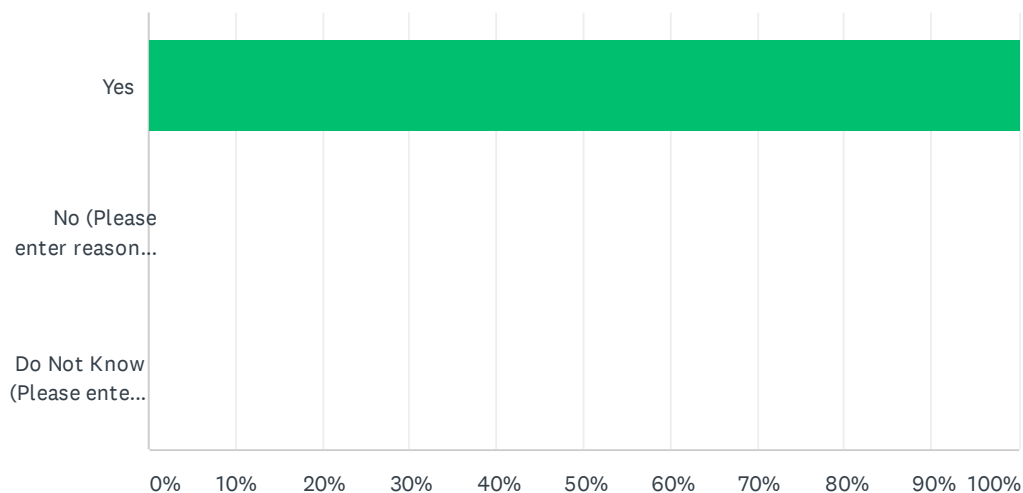
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ANSWER CHOICES	RESPONSES	
Yes	100.00%	8
No (Please enter reason in comment field below)	0.00%	0
Do Not Know (Please enter reason in comment field below)	0.00%	0
TOTAL		8

Q8 Is the behaviour of all members/attendees courteous and professional?

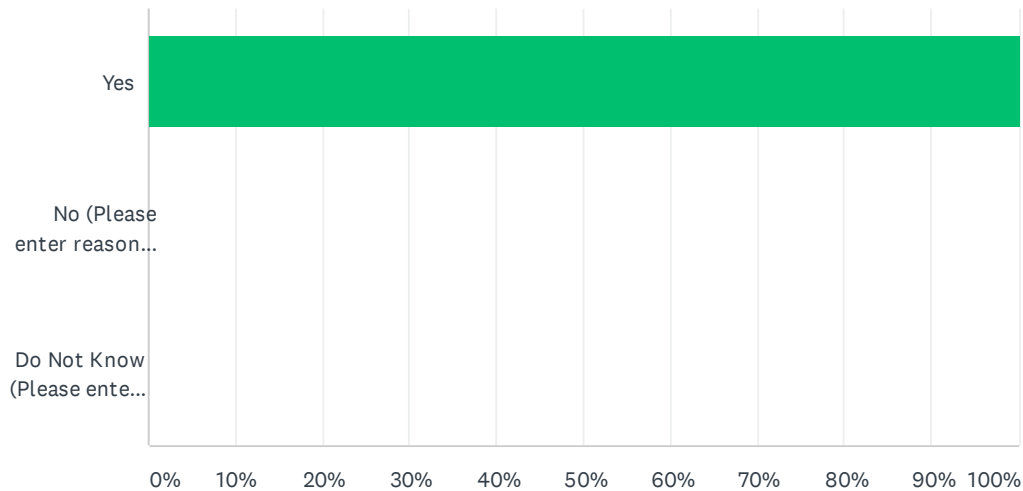
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ANSWER CHOICES	RESPONSES	
Yes	100.00%	8
No (Please enter reason in comment field below)	0.00%	0
Do Not Know (Please enter reason in comment field below)	0.00%	0
TOTAL		8

Q9 Are Committee meetings scheduled prior to important decisions being made?

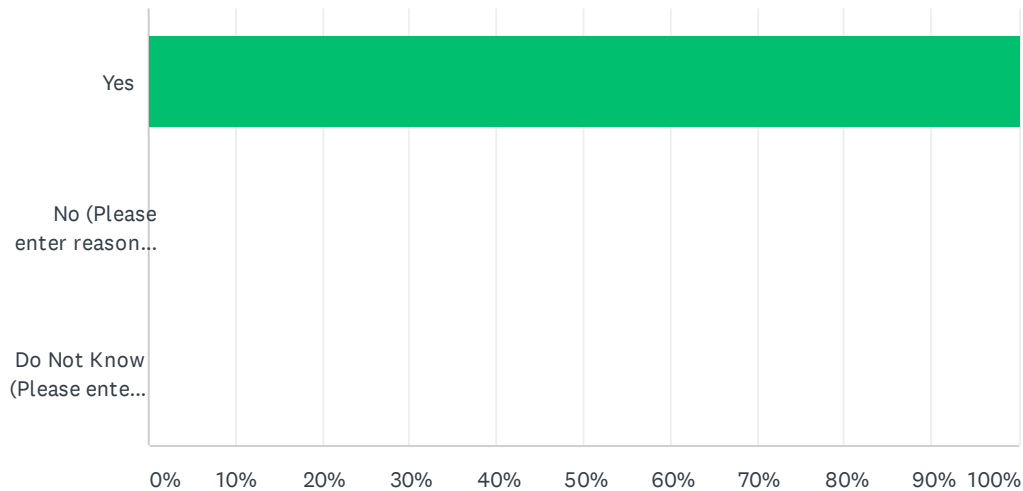
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ANSWER CHOICES	RESPONSES	
Yes	100.00%	7
No (Please enter reason in comment field below)	0.00%	0
Do Not Know (Please enter reason in comment field below)	0.00%	0
TOTAL		7

Q10 Do you consider that where private meetings of the Committee are held, that these have been used appropriately for items that should not be discussed in the public domain (i.e. commercially sensitive, identifiable information)?

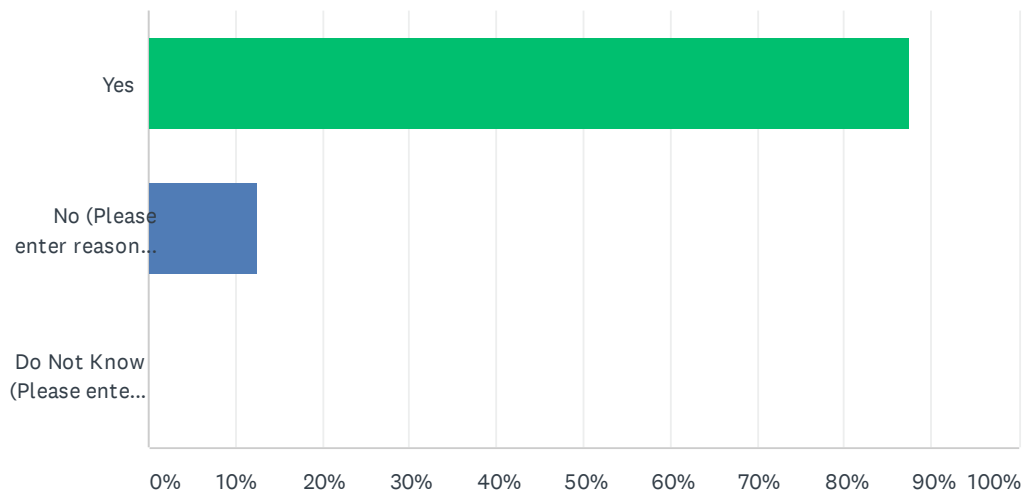
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ANSWER CHOICES	RESPONSES	
Yes	100.00%	8
No (Please enter reason in comment field below)	0.00%	0
Do Not Know (Please enter reason in comment field below)	0.00%	0
TOTAL		8

Q11 Would you agree that each agenda item is 'closed off' appropriately so it is clear what the conclusion is?

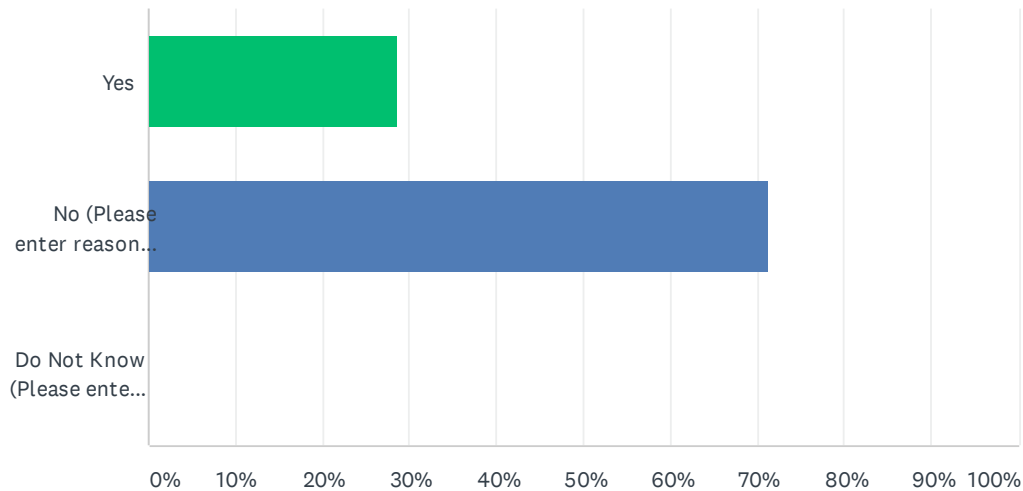
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ANSWER CHOICES	RESPONSES	
Yes	87.50%	7
No (Please enter reason in comment field below)	12.50%	1
Do Not Know (Please enter reason in comment field below)	0.00%	0
TOTAL		8

Q12 Would you welcome greater user of the Welsh Language at meetings?

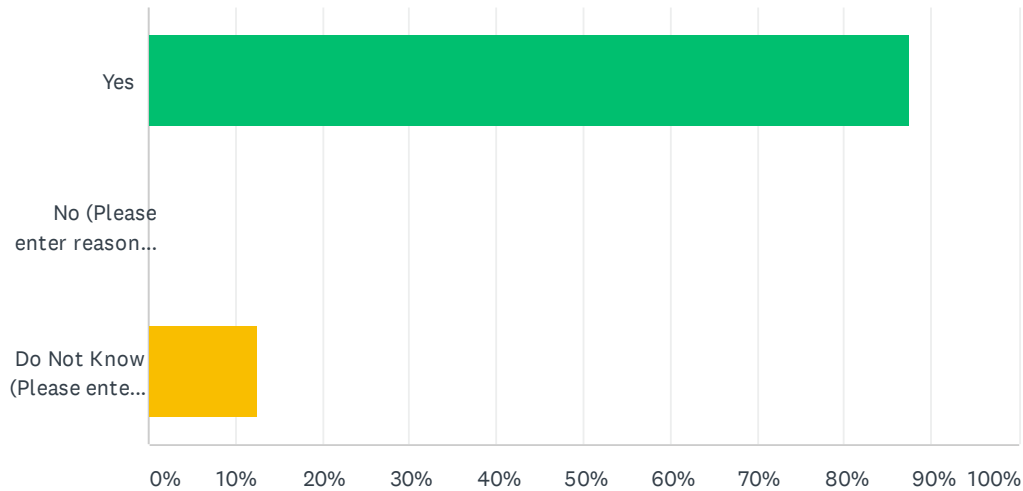
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ANSWER CHOICES	RESPONSES	
Yes	28.57%	2
No (Please enter reason in comment field below)	71.43%	5
Do Not Know (Please enter reason in comment field below)	0.00%	0
TOTAL		7

Q13 Would you agree that your experience of holding remote/virtual meetings of the Committee has been positive? Please leave a comment to detail further.

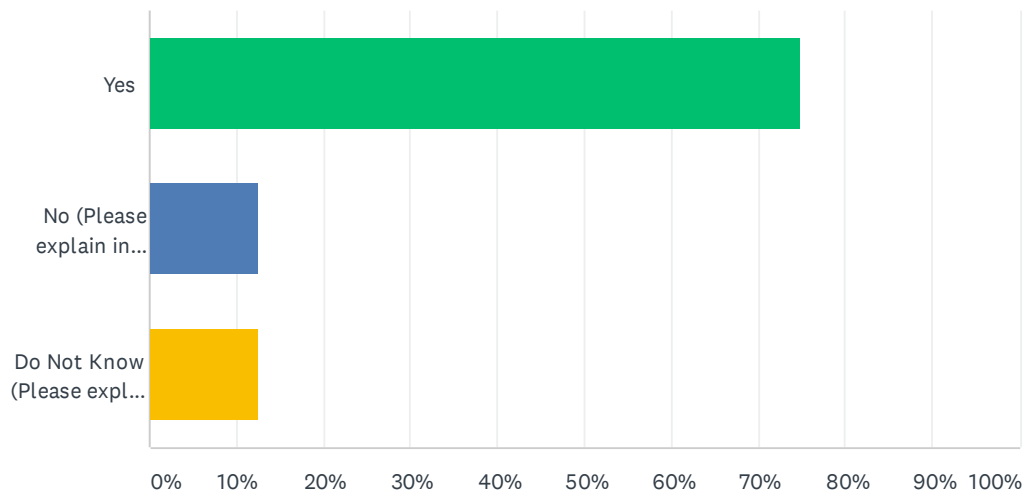
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ANSWER CHOICES	RESPONSES	
Yes	87.50%	7
No (Please enter reason in comment field below)	0.00%	0
Do Not Know (Please enter reason in comment field below)	12.50%	1
TOTAL		8

Q14 Has the Committee formally considered how it integrates with other Committees that are reviewing risk (e.g. Risk Management)?

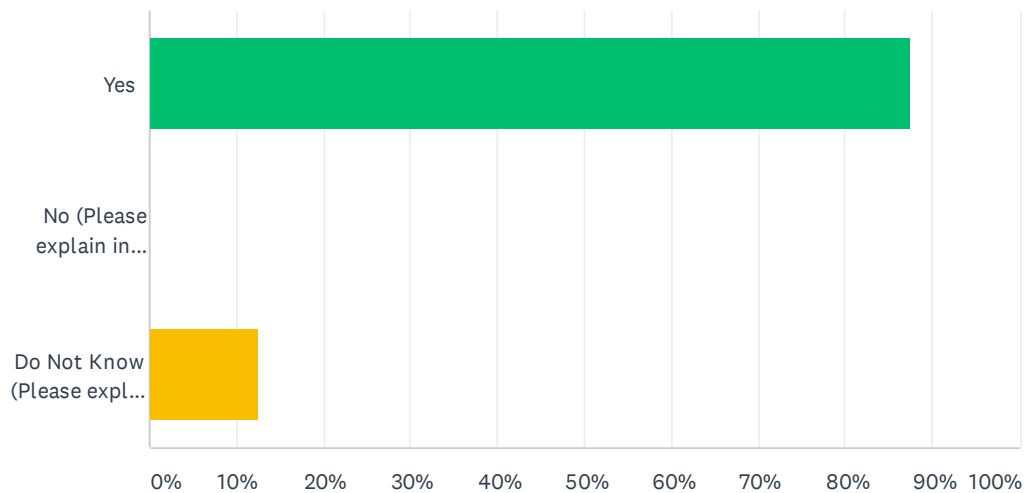
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ANSWER CHOICES	RESPONSES	
Yes	75.00%	6
No (Please explain in comment field below)	12.50%	1
Do Not Know (Please explain in comment field below)	12.50%	1
TOTAL		8

Q15 Has the Committee reviewed the robustness and effectiveness of the content of the organisation's system of assurance?

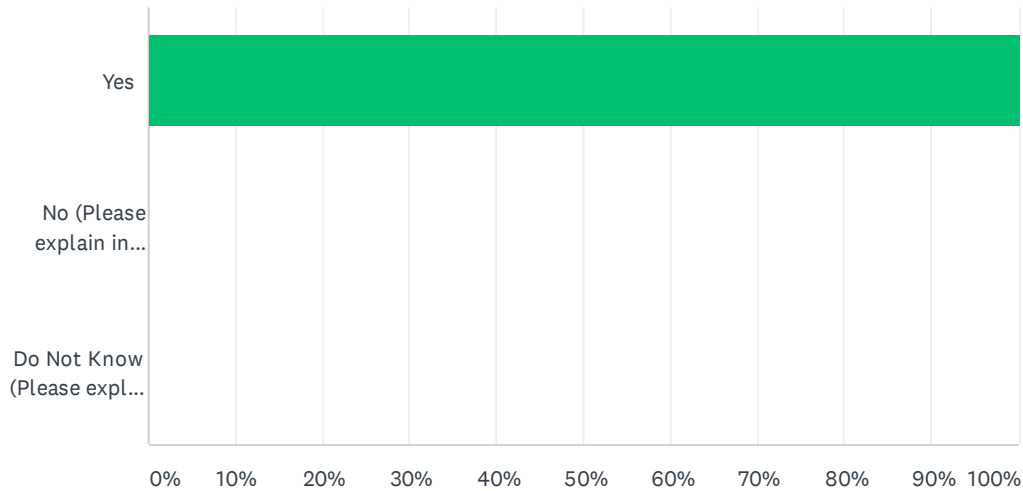
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ANSWER CHOICES	RESPONSES	
Yes	87.50%	7
No (Please explain in comment field below)	0.00%	0
Do Not Know (Please explain in comment field below)	12.50%	1
TOTAL		8

Q16 Do you consider that the reports received by the Committee are timely and have the right format/content, to enhance it to discharge its internal control and risk management responsibilities?

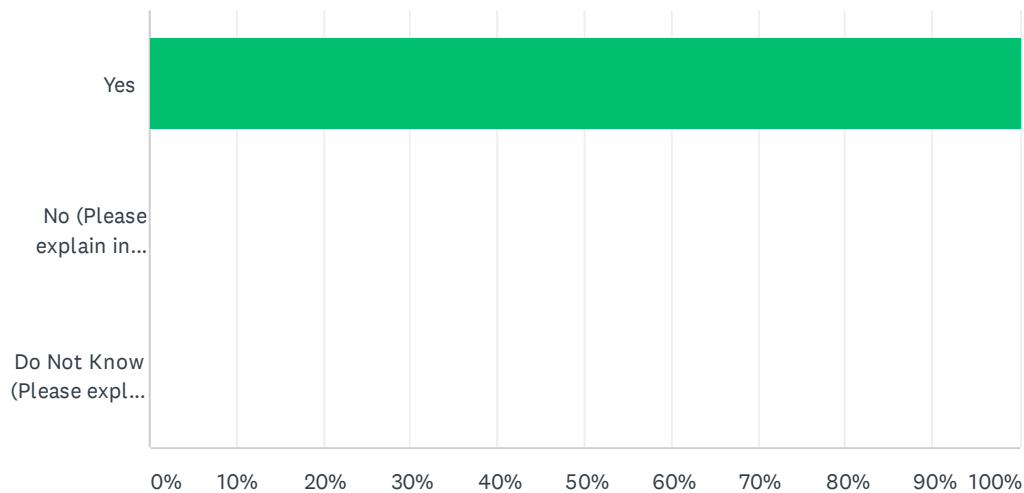
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ANSWER CHOICES	RESPONSES	
Yes	100.00%	8
No (Please explain in comment field below)	0.00%	0
Do Not Know (Please explain in comment field below)	0.00%	0
TOTAL		8

Q17 Does the Committee review and approve the Internal Audit Plan at the beginning of the financial year?

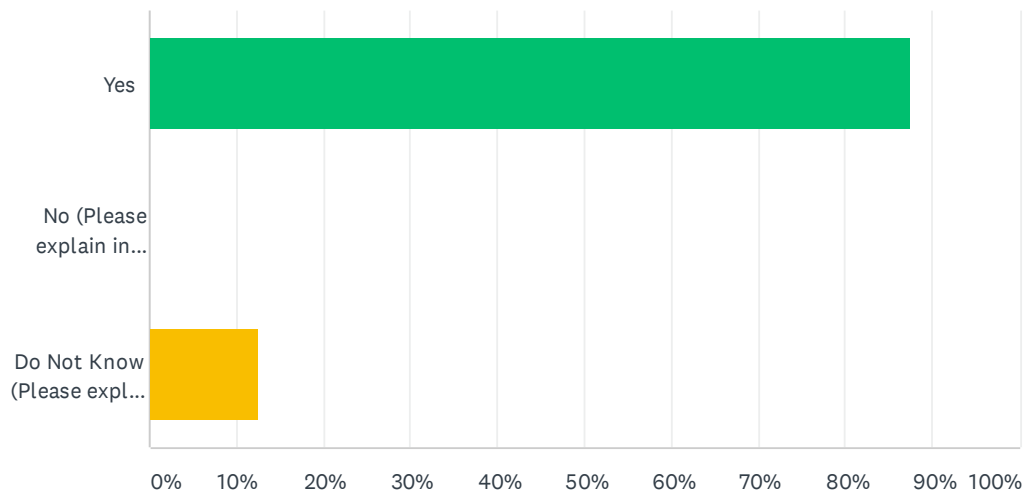
Answered: 8 Skipped: 0



ANSWER CHOICES	RESPONSES	
Yes	100.00%	8
No (Please explain in comment field below)	0.00%	0
Do Not Know (Please explain in comment field below)	0.00%	0
TOTAL		8

Q18 Does the Committee approve any material changes to the Internal Audit Plan?

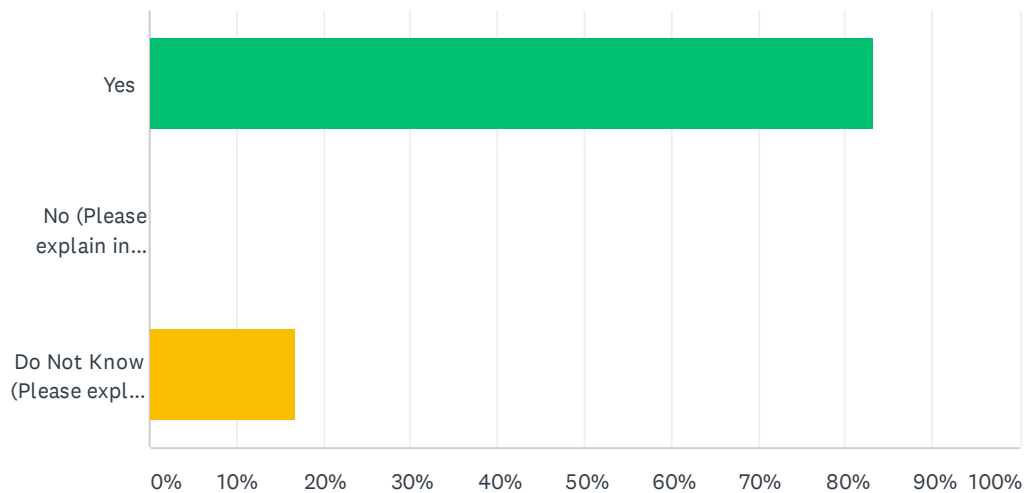
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ANSWER CHOICES	RESPONSES	
Yes	87.50%	7
No (Please explain in comment field below)	0.00%	0
Do Not Know (Please explain in comment field below)	12.50%	1
TOTAL		8

Q19 Are Audit Plans derived from clear processes based on risk assessment with clear links to the system of assurance?

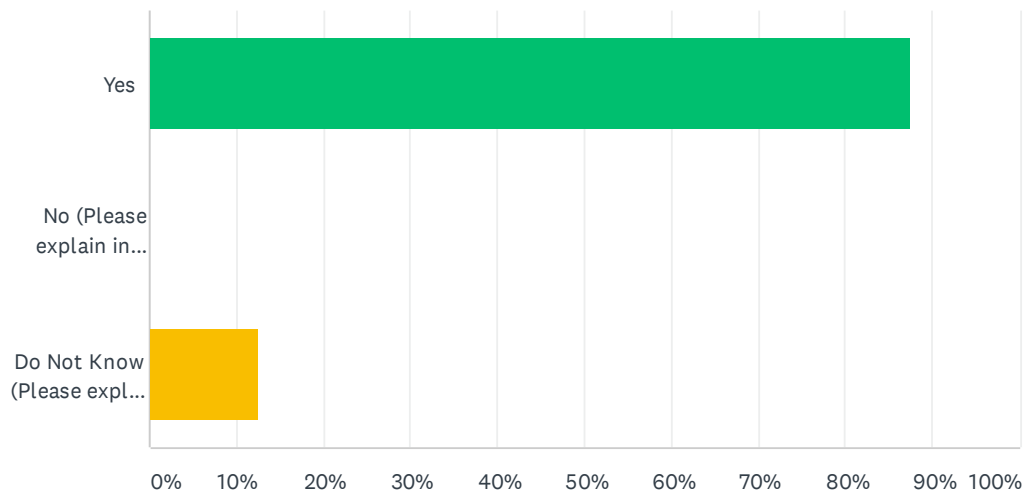
Answered: 6 Skipped: 2



ANSWER CHOICES	RESPONSES	
Yes	83.33%	5
No (Please explain in comment field below)	0.00%	0
Do Not Know (Please explain in comment field below)	16.67%	1
TOTAL		6

Q20 Does the Committee receive periodic progress reports from the Head of Internal Audit?

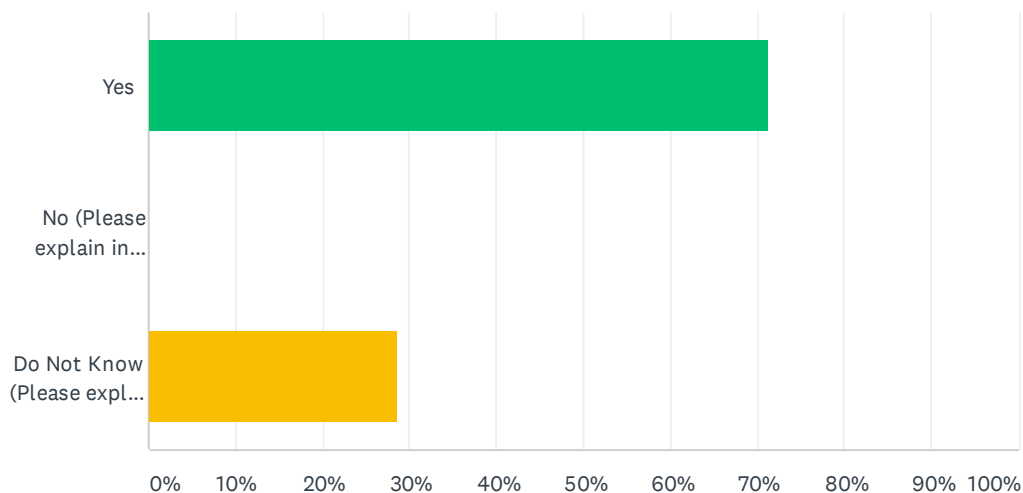
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ANSWER CHOICES	RESPONSES	
Yes	87.50%	7
No (Please explain in comment field below)	0.00%	0
Do Not Know (Please explain in comment field below)	12.50%	1
TOTAL		8

Q21 Does the Committee investigate the reason for management refusal to accept audit recommendations?

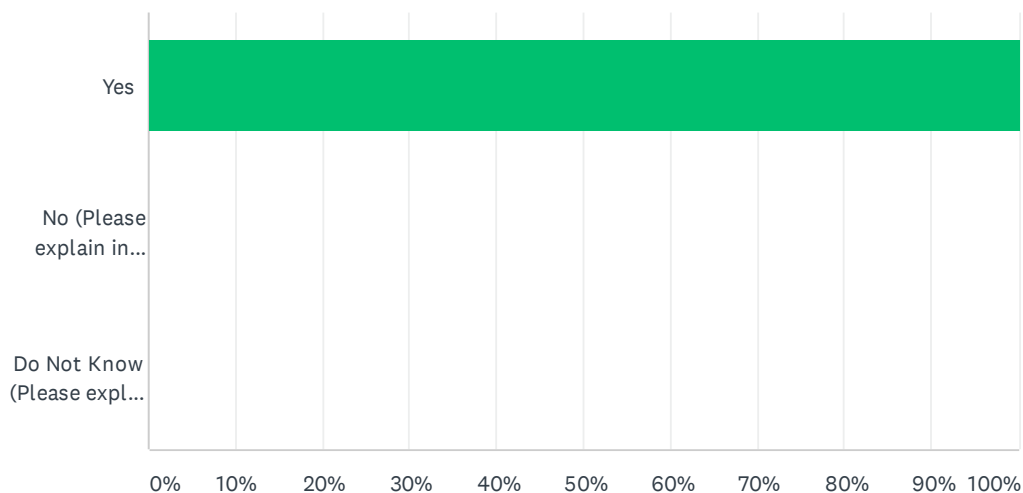
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ANSWER CHOICES	RESPONSES	
Yes	71.43%	5
No (Please explain in comment field below)	0.00%	0
Do Not Know (Please explain in comment field below)	28.57%	2
TOTAL		7

Q22 Does the Committee effectively monitor the implementation of management actions from Audit Reports?

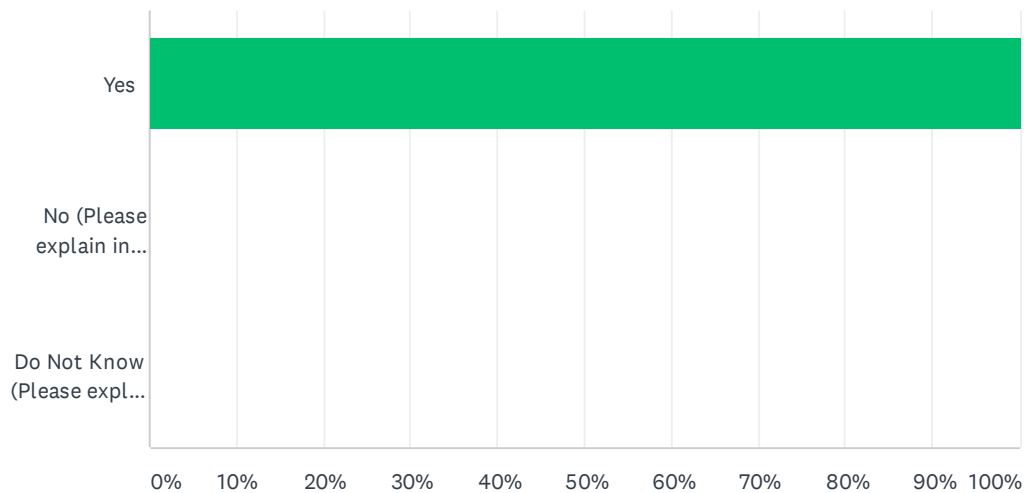
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ANSWER CHOICES	RESPONSES	
Yes	100.00%	7
No (Please explain in comment field below)	0.00%	0
Do Not Know (Please explain in comment field below)	0.00%	0
TOTAL		7

Q23 Does the Head of Internal Audit have a direct line of reporting to the Committee and its Chair?

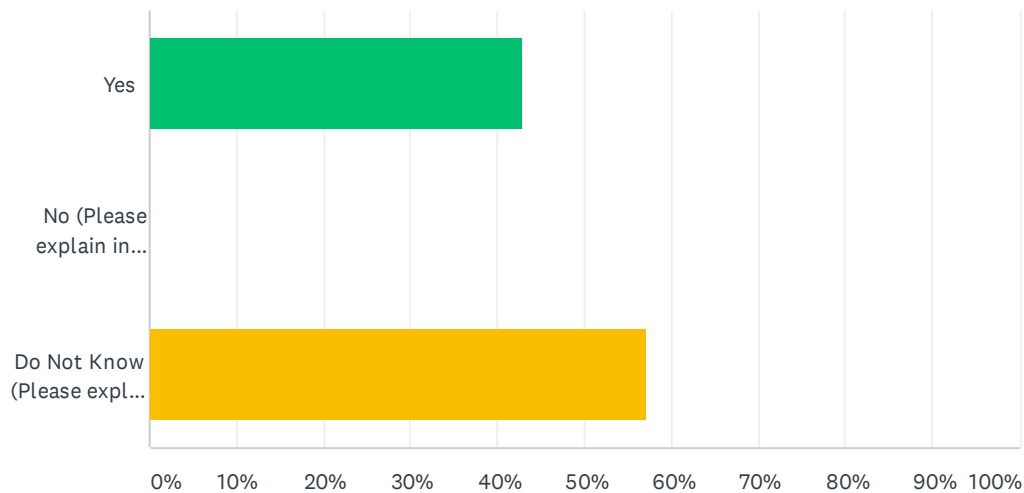
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ANSWER CHOICES	RESPONSES	
Yes	100.00%	8
No (Please explain in comment field below)	0.00%	0
Do Not Know (Please explain in comment field below)	0.00%	0
TOTAL		8

Q24 Does the Committee review the effectiveness of Internal Audit and the adequacy of staffing and resources within Internal Audit?

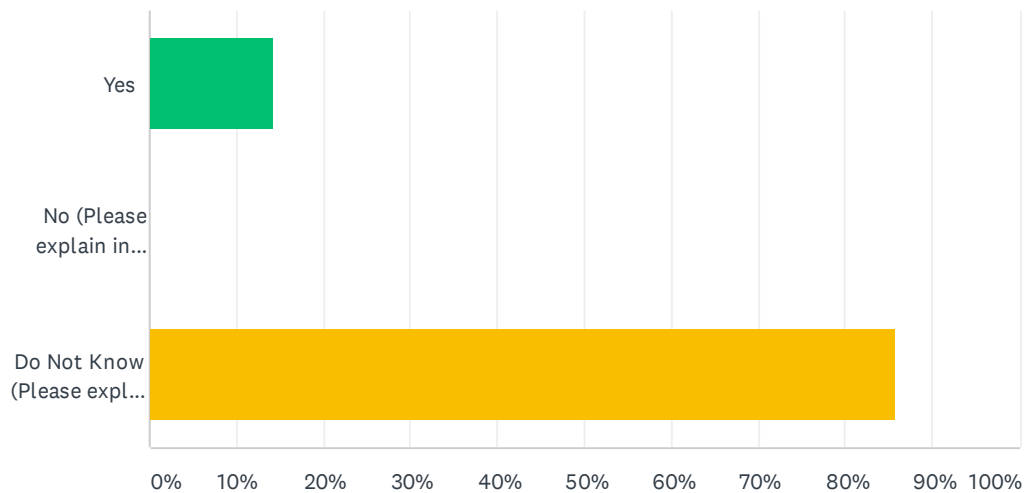
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ANSWER CHOICES	RESPONSES	
Yes	42.86%	3
No (Please explain in comment field below)	0.00%	0
Do Not Know (Please explain in comment field below)	57.14%	4
TOTAL		7

Q25 Has the Committee evaluated whether Internal Audit complies with the Public Sector Internal Audit Standards (PSIAS)?

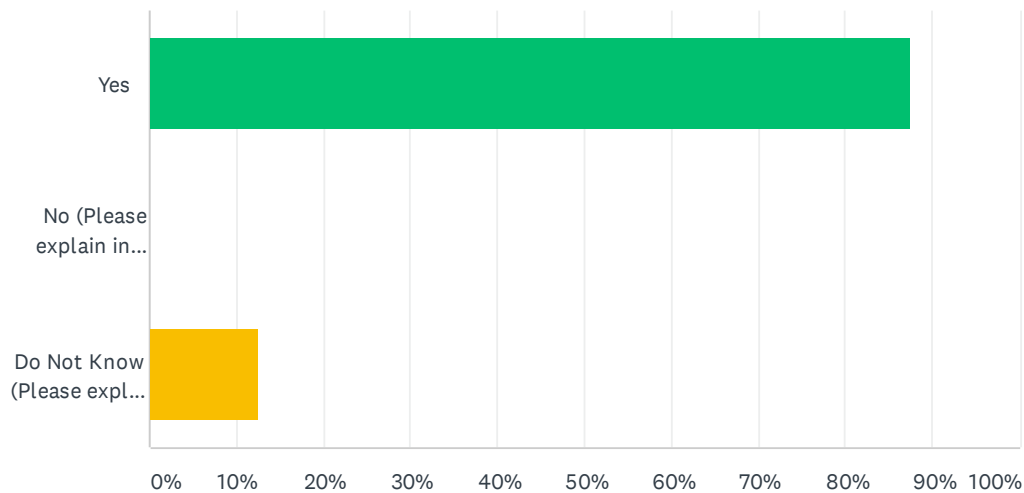
Answered: 7 Skipped: 1



ANSWER CHOICES	RESPONSES	
Yes	14.29%	1
No (Please explain in comment field below)	0.00%	0
Do Not Know (Please explain in comment field below)	85.71%	6
TOTAL		7

Q26 Has the Committee agreed a range of Internal Audit performance measures to be reported on a routine basis?

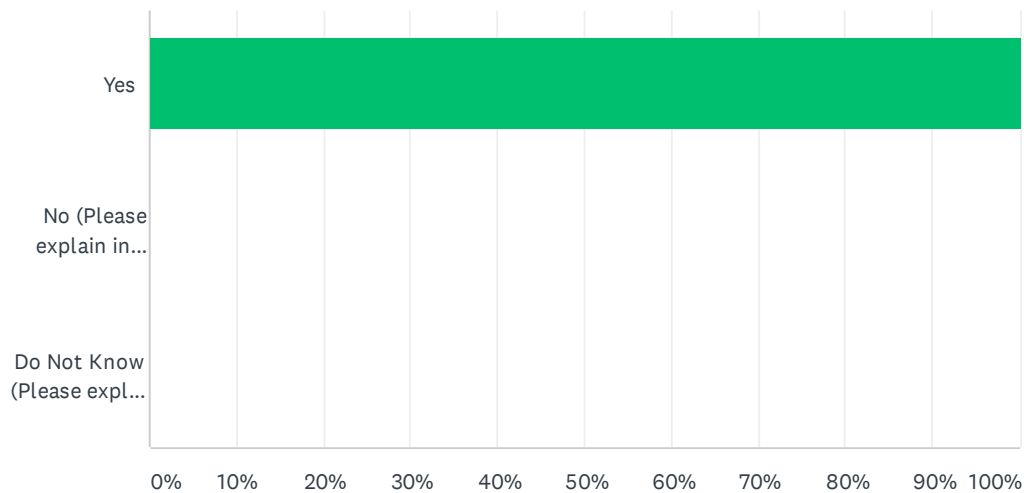
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ANSWER CHOICES	RESPONSES	
Yes	87.50%	7
No (Please explain in comment field below)	0.00%	0
Do Not Know (Please explain in comment field below)	12.50%	1
TOTAL		8

Q27 Does the Committee receive and review the Head of Internal Audit's Annual Report and Opinion?

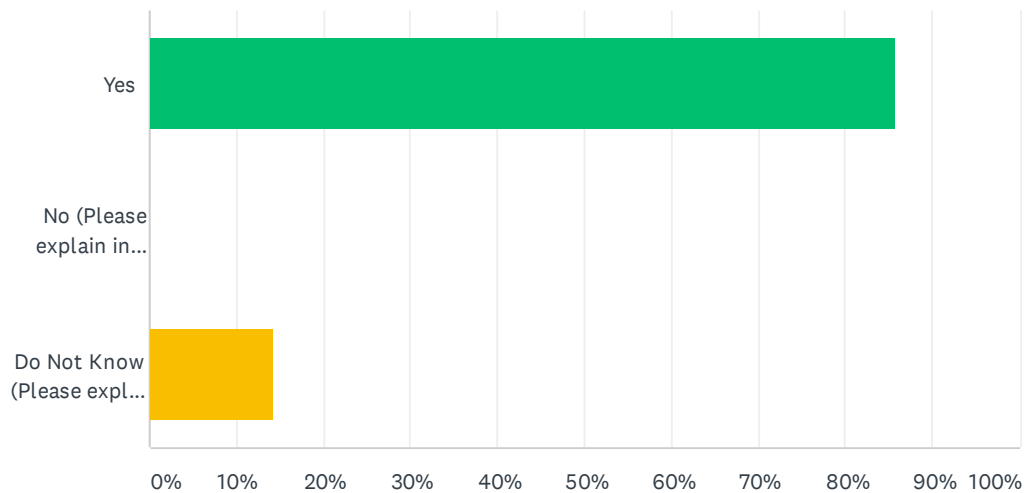
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ANSWER CHOICES	RESPONSES	
Yes	100.00%	8
No (Please explain in Comment field below)	0.00%	0
Do Not Know (Please explain in comment field below)	0.00%	0
TOTAL		8

Q28 Do the Auditor General's representatives present their Audit Plans and Strategy to the Audit & Risk Committee, for consideration?

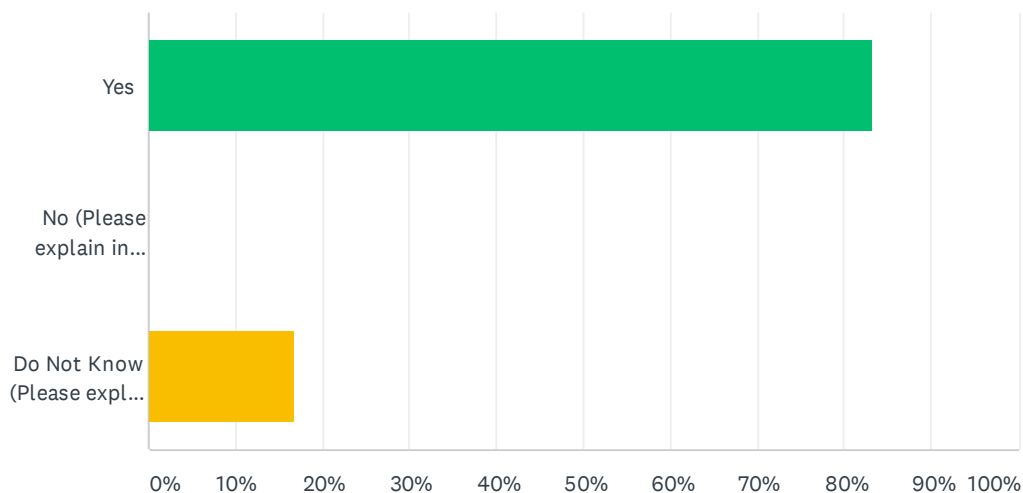
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ANSWER CHOICES	RESPONSES	
Yes	85.71%	6
No (Please explain in comment field below)	0.00%	0
Do Not Know (Please explain in comment field below)	14.29%	1
TOTAL		7

Q29 Does the Committee receive and monitor actions taken in respect of prior years' reviews?

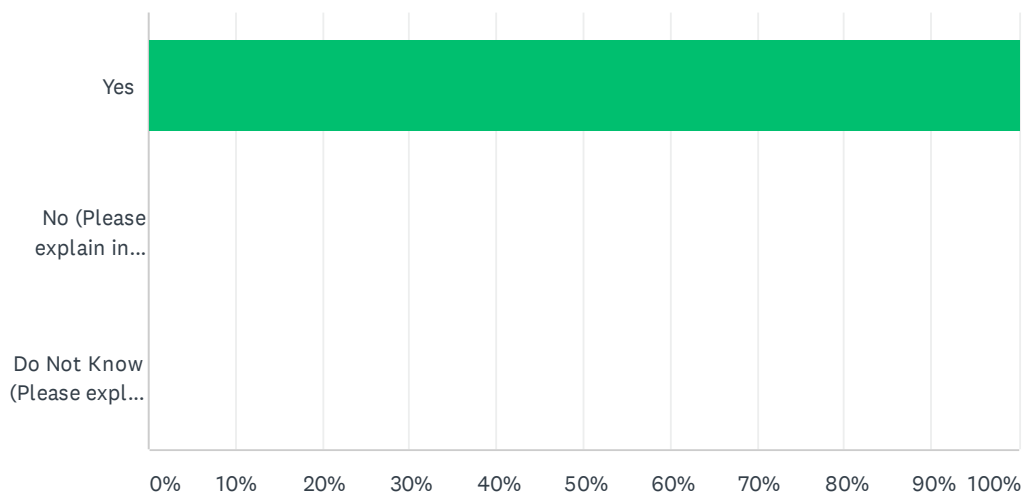
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ANSWER CHOICES	RESPONSES	
Yes	83.33%	5
No (Please explain in comment field below)	0.00%	0
Do Not Know (Please explain in comment field below)	16.67%	1
TOTAL		6

Q30 Does the Committee consider the Auditor General's Annual Audit Letter?

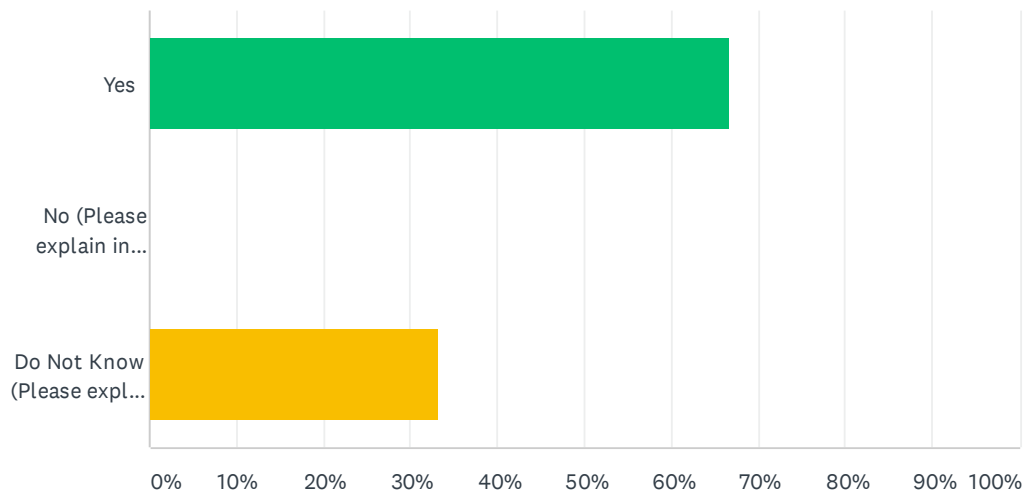
Answered: 7 Skipped: 1



ANSWER CHOICES	RESPONSES	
Yes	100.00%	7
No (Please explain in comment field below)	0.00%	0
Do Not Know (Please explain comment field below)	0.00%	0
TOTAL		7

Q31 Does the Committee assess the quality and effectiveness of External Audit work (both financial and non-financial audit)?

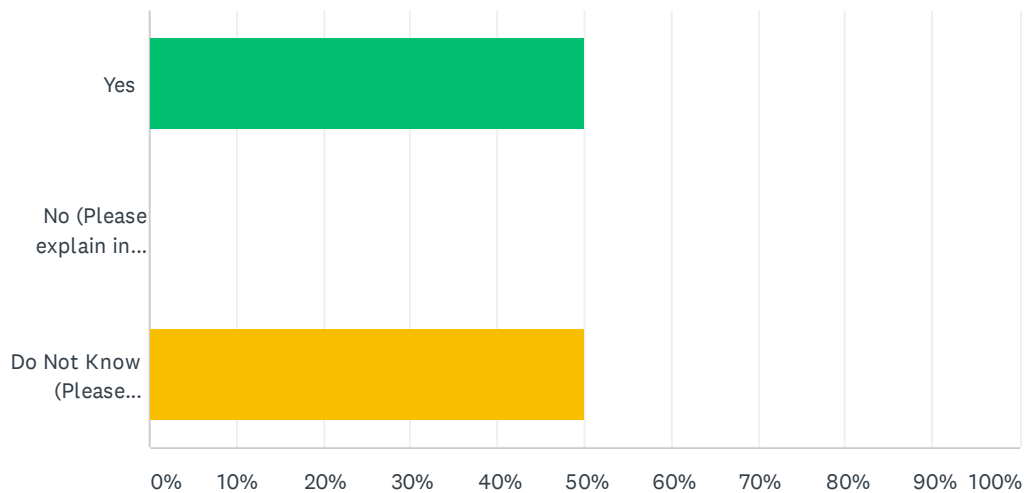
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ANSWER CHOICES	RESPONSES	
Yes	66.67%	4
No (Please explain in comment field below)	0.00%	0
Do Not Know (Please explain in comment field below)	33.33%	2
TOTAL		6

Q32 Does the Committee review the nature and value of non-statutory work commissioned by organisation from the Auditor General?

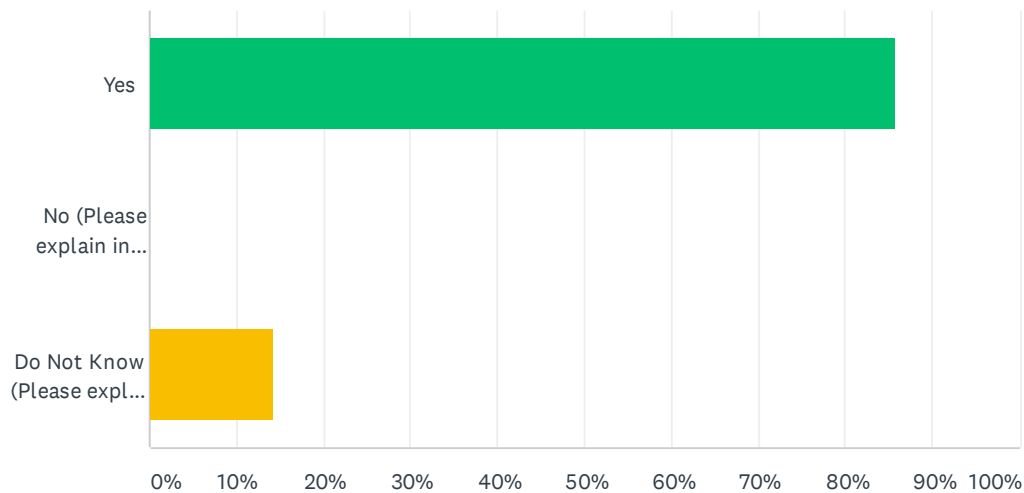
Answered: 6 Skipped: 2



ANSWER CHOICES	RESPONSES	
Yes	50.00%	3
No (Please explain in comment field below)	0.00%	0
Do Not Know (Please explaining in comment field below)	50.00%	3
TOTAL		6

Q33 Does the Committee review and approve the Counter Fraud Work Plan at the beginning of the financial year?

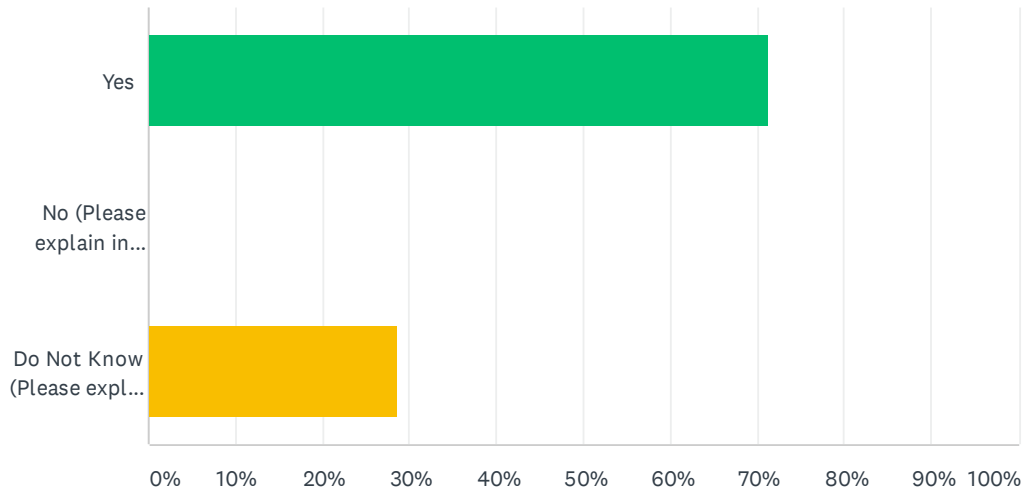
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ANSWER CHOICES	RESPONSES	
Yes	85.71%	6
No (Please explain in comment field below)	0.00%	0
Do Not Know (Please explain in comment field below)	14.29%	1
TOTAL		7

Q34 Does the Committee satisfy itself that the Work Plan adequately covers each of the seven generic areas defined in the NHS Counter Fraud Policy?

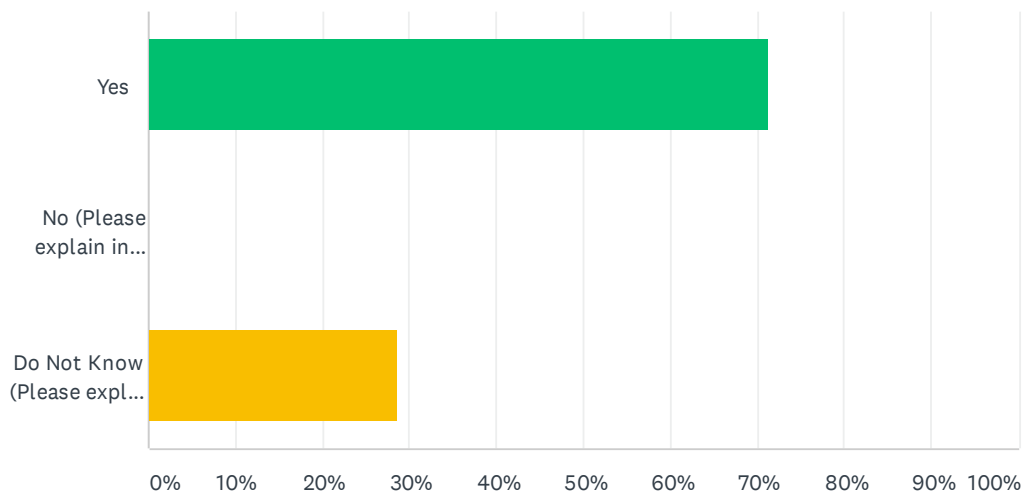
Answered: 7 Skipped: 1



ANSWER CHOICES	RESPONSES	
Yes	71.43%	5
No (Please explain in comment field below)	0.00%	0
Do Not Know (Please explain in comment field below)	28.57%	2
TOTAL		7

Q35 Does the Committee approve any material changes to the Plan?

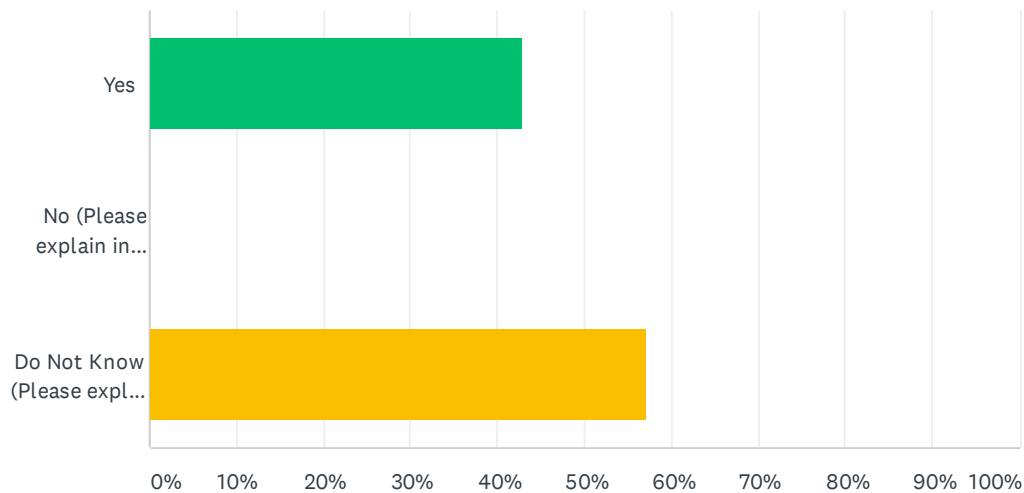
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ANSWER CHOICES	RESPONSES	
Yes	71.43%	5
No (Please explain in comment field below)	0.00%	0
Do Not Know (Please explain in comment field below)	28.57%	2
TOTAL		7

Q36 Are Counter Fraud Plans derived from clear processes based on Risk Assessment?

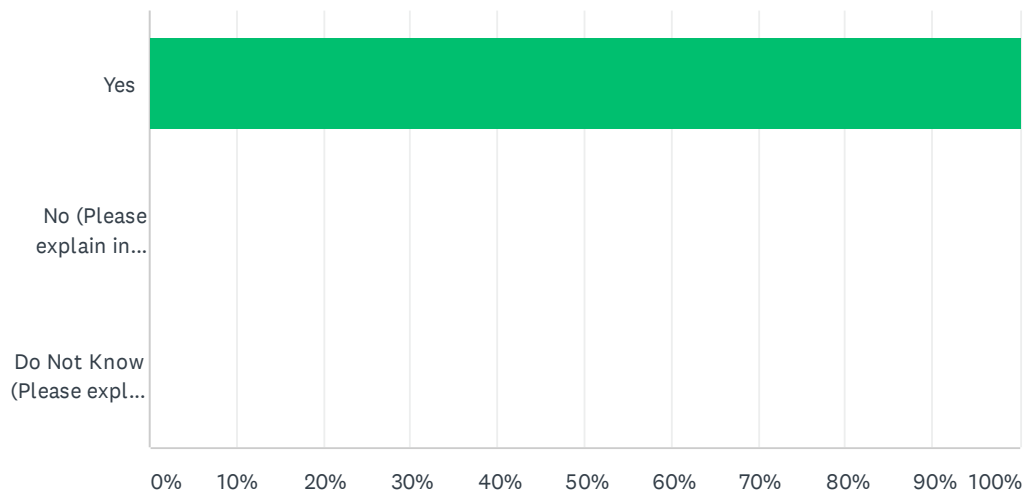
Answered: 7 Skipped: 1



ANSWER CHOICES	RESPONSES	
Yes	42.86%	3
No (Please explain in comment field below)	0.00%	0
Do Not Know (Please explain in comment field below)	57.14%	4
TOTAL		7

Q37 Does the Committee receive periodic reports from the Local Counter Fraud Specialist?

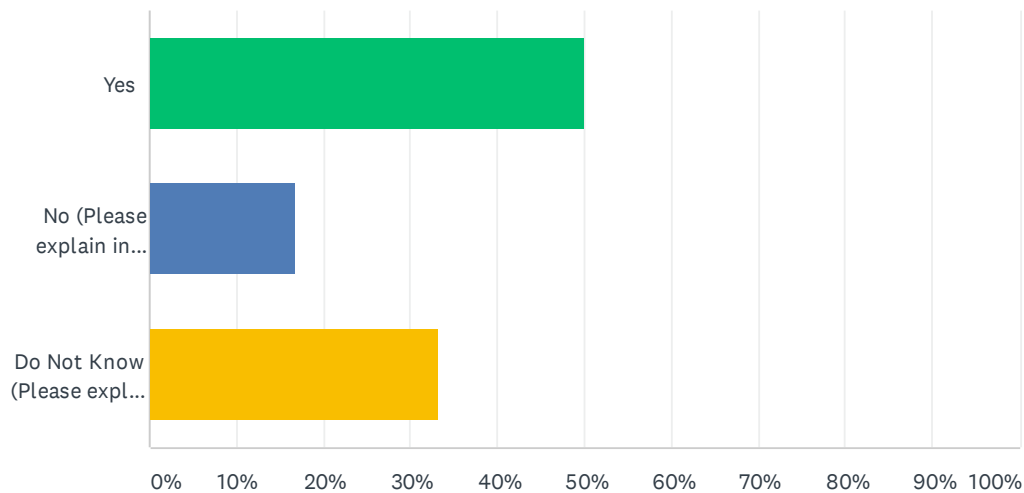
Answered: 8 Skipped: 0



ANSWER CHOICES	RESPONSES	
Yes	100.00%	8
No (Please explain in comment field below)	0.00%	0
Do Not Know (Please explain in comment field below)	0.00%	0
TOTAL		8

Q38 Does the Committee effectively monitor the implementation of management actions arising from Counter Fraud reports?

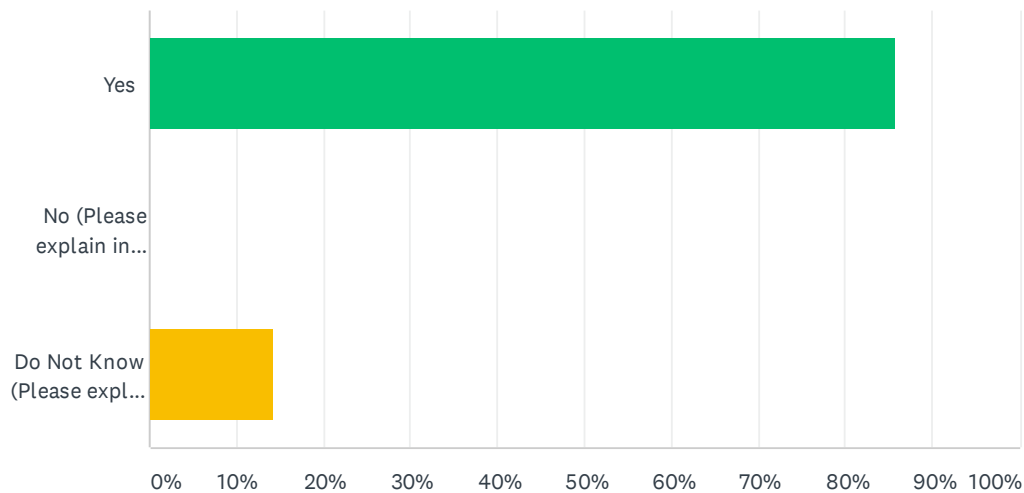
Answered: 6 Skipped: 2



ANSWER CHOICES	RESPONSES	
Yes	50.00%	3
No (Please explain in comment field below)	16.67%	1
Do Not Know (Please explain in comment field below)	33.33%	2
TOTAL		6

Q39 Does the Local Counter Fraud Specialist have a right of direct access to the Committee and its Chair?

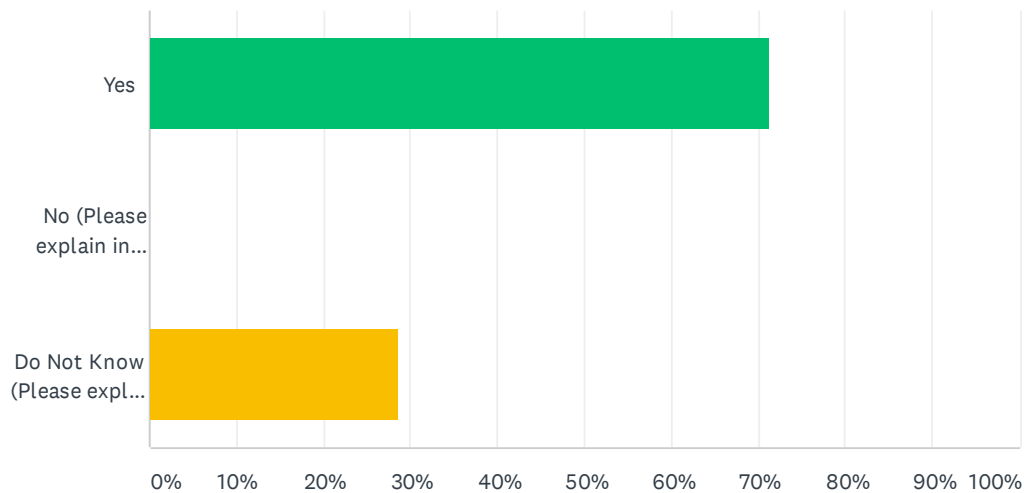
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ANSWER CHOICES	RESPONSES	
Yes	85.71%	6
No (Please explain in comment field below)	0.00%	0
Do Not Know (Please explain in Comment field below)	14.29%	1
TOTAL		7

Q40 Does the Committee review the effectiveness of the Local Counter Fraud Service and the adequacy of its staffing resources?

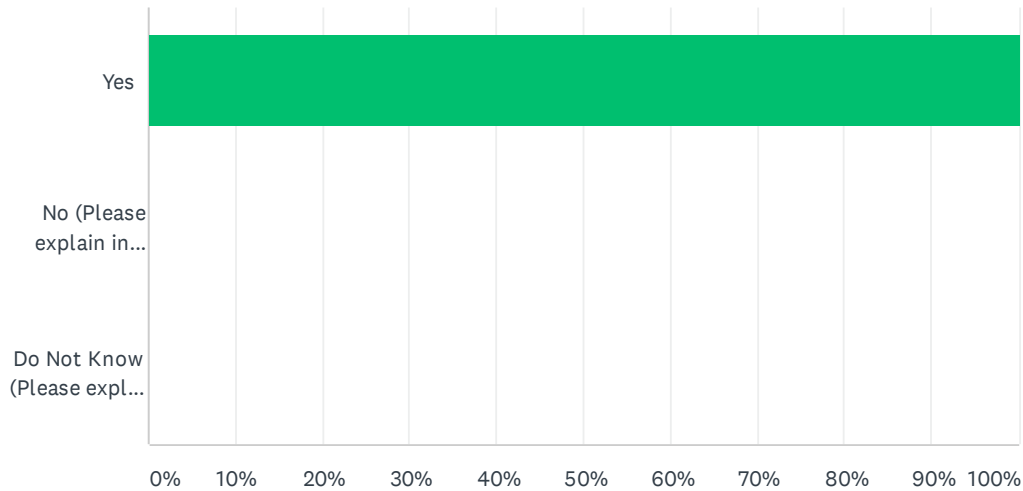
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ANSWER CHOICES	RESPONSES	
Yes	71.43%	5
No (Please explain in comment field below)	0.00%	0
Do Not Know (Please explain in comment field below)	28.57%	2
TOTAL		7

Q41 Does the Committee receive and review the Local Counter Fraud Specialist's Annual Report of Counter Fraud Activity and Qualitative Assessment?

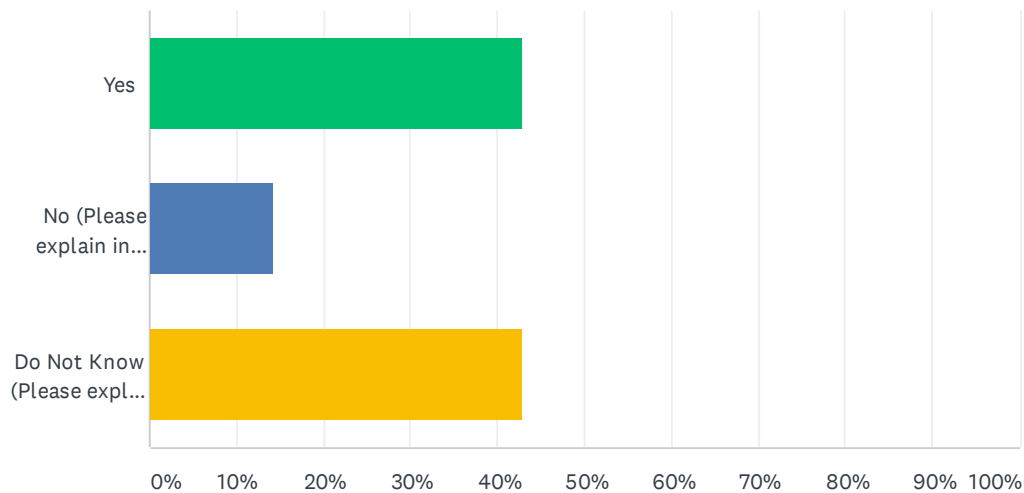
Answered: 7 Skipped: 1



ANSWER CHOICES	RESPONSES	
Yes	100.00%	7
No (Please explain in comment field below)	0.00%	0
Do Not Know (Please explain in comment field below)	0.00%	0
TOTAL		7

Q42 Does the Committee receive and discuss reports arising from quality inspections by NHS Counter Fraud Authority?

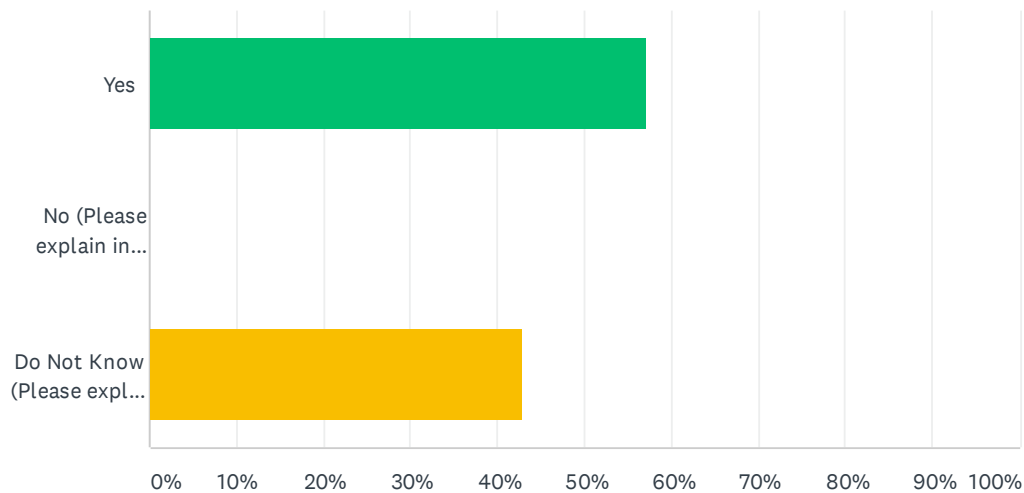
Answered: 7 Skipped: 1



ANSWER CHOICES	RESPONSES	
Yes	42.86%	3
No (Please explain in comment field below)	14.29%	1
Do Not Know (Please explain in comment field below)	42.86%	3
TOTAL		7

Q43 Does the Committe review assurance and regulatory/legislative compliance reporting processes?

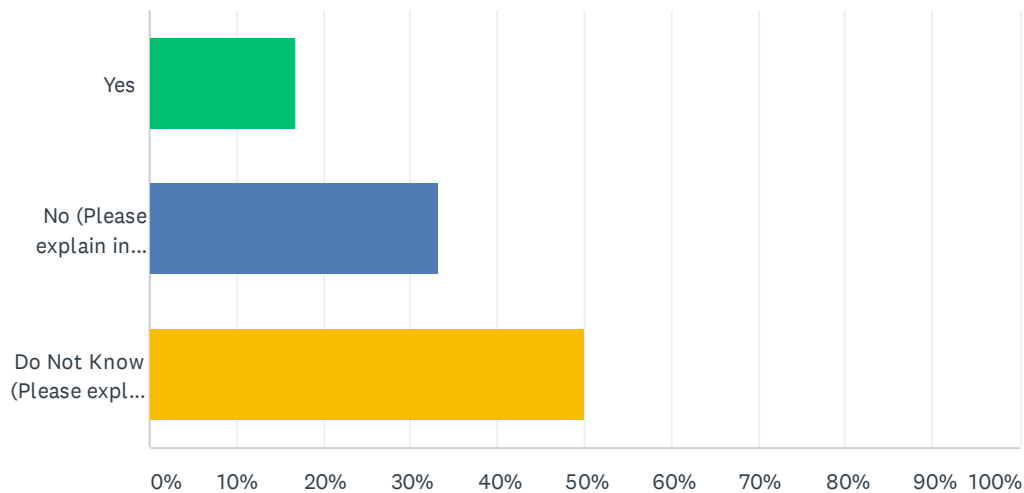
Answered: 7 Skipped: 1



ANSWER CHOICES	RESPONSES	
Yes	57.14%	4
No (Please explain in comment field below)	0.00%	0
Do Not Know (Please explain in comment field below)	42.86%	3
TOTAL		7

Q44 Does the Committee have a mechanism to ensure awareness of topical, legal and regulatory issues?

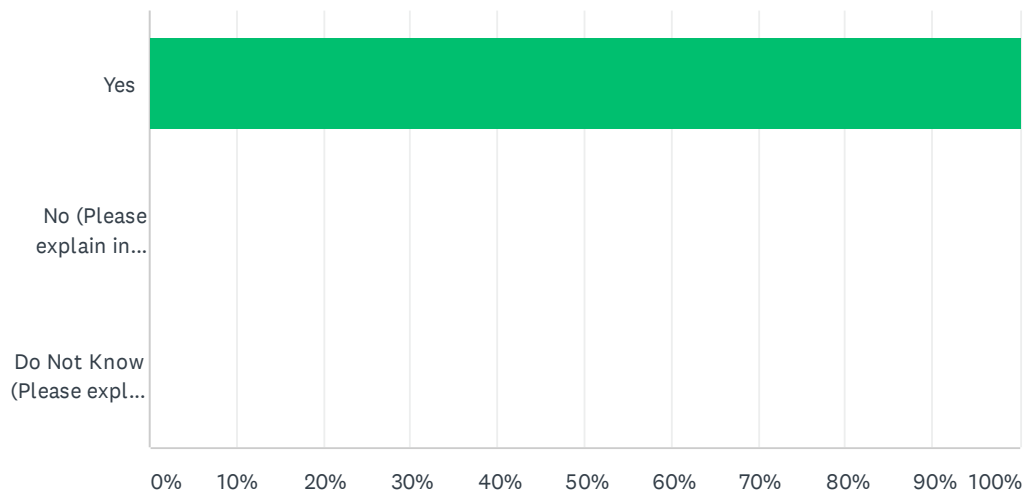
Answered: 6 Skipped: 2



ANSWER CHOICES	RESPONSES	
Yes	16.67%	1
No (Please explain in comment field below)	33.33%	2
Do Not Know (Please explain in comment field below)	50.00%	3
TOTAL		6

Q45 Do you consider that the Audit & Risk Committee meetings are chaired effectively and with clarity of purpose and outcome?

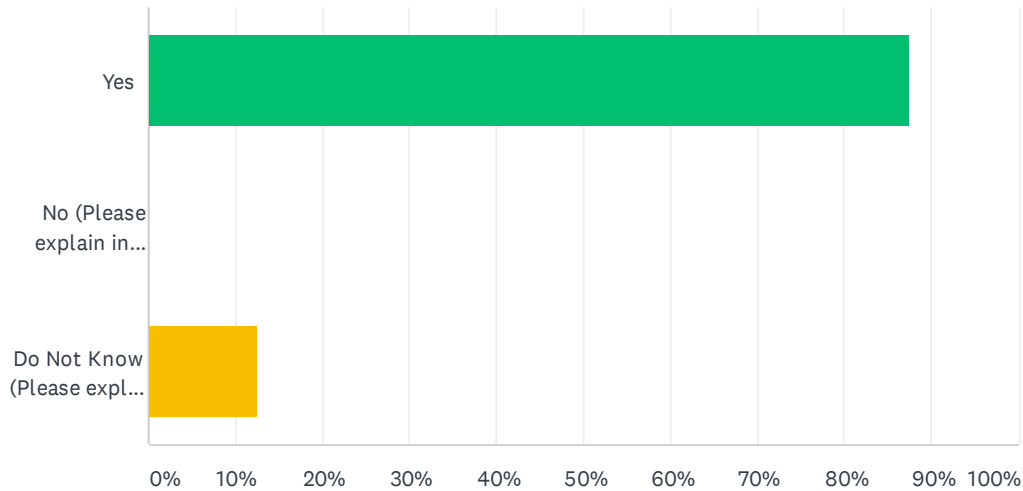
Answered: 8 Skipped: 0



ANSWER CHOICES	RESPONSES	
Yes	100.00%	8
No (Please explain in comment field below)	0.00%	0
Do Not Know (Please explain in comment field below)	0.00%	0
TOTAL		8

Q46 Do you consider that the Committee Chair provides clear and concise information to the Board on the activities of the Committee and the implication of all identified gaps in assurance and/or control?

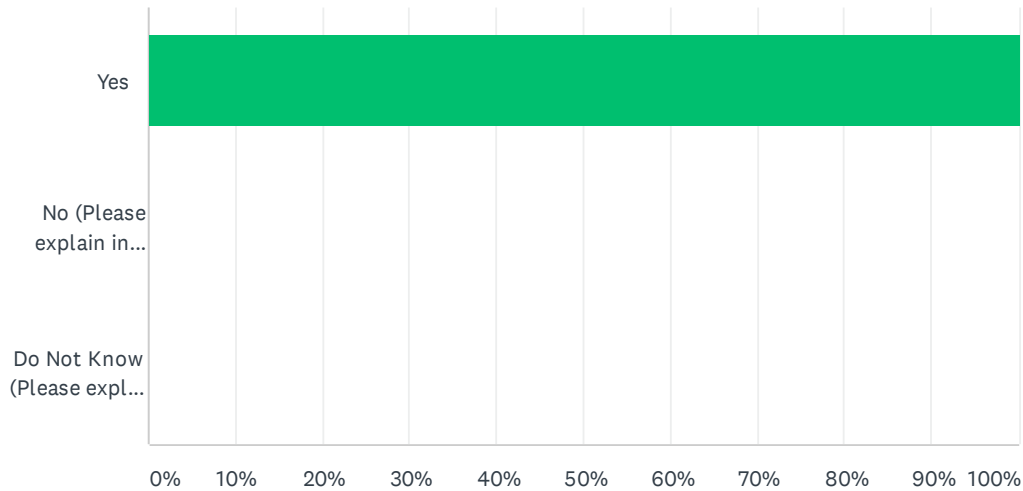
Answered: 8 Skipped: 0



ANSWER CHOICES	RESPONSES	
Yes	87.50%	7
No (Please explain in comment field)	0.00%	0
Do Not Know (Please explain in comment field)	12.50%	1
TOTAL		8

Q47 Is the committee adequately supported by Executive Directors in terms of attendance, quality and length of papers and response to challenges/questions?

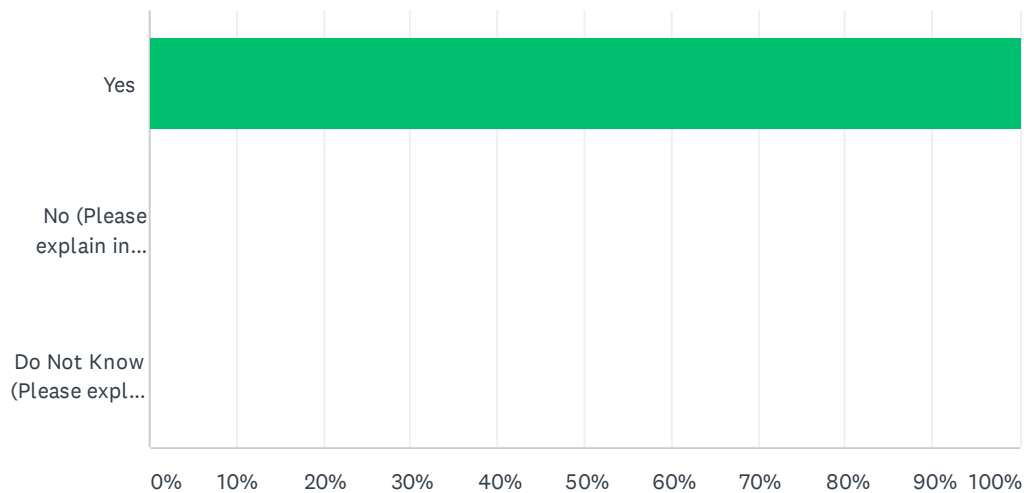
Answered: 7 Skipped: 1



ANSWER CHOICES	RESPONSES	
Yes	100.00%	7
No (Please explain in comment field below)	0.00%	0
Do Not Know (Please explain in comment field below)	0.00%	0
TOTAL		7

Q48 Is the Committee adequately supported by the Committee Meeting Secretariat?

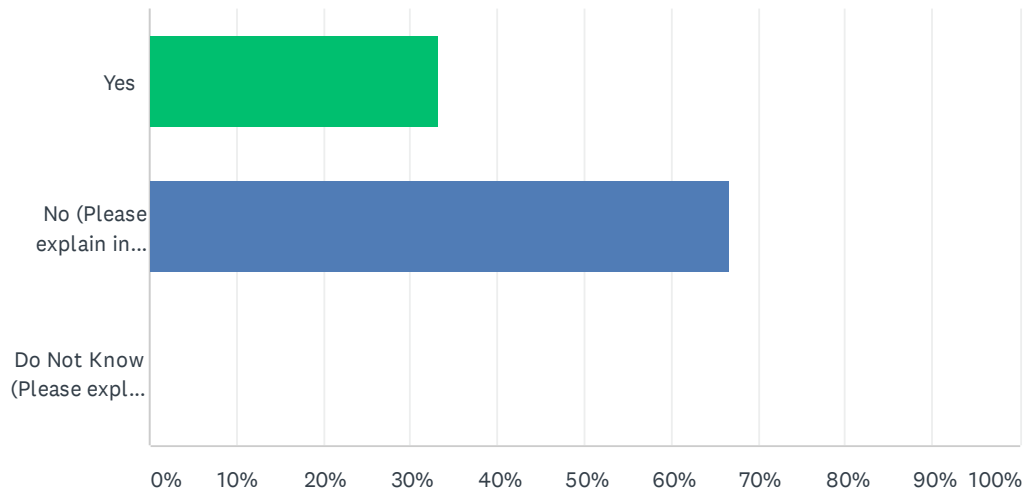
Answered: 8 Skipped: 0



ANSWER CHOICES	RESPONSES	
Yes	100.00%	8
No (Please explain in comment field below)	0.00%	0
Do Not Know (Please explain in comment field below)	0.00%	0
TOTAL		8

Q49 Do you need additional training to fulfil your role as a member (or attendee) of the Audit & Risk Committee?

Answered: 6 Skipped: 2



ANSWER CHOICES	RESPONSES	
Yes	33.33%	2
No (Please explain in comment field below)	66.67%	4
Do Not Know (Please explain in comment field below)	0.00%	0
TOTAL		6

Q50 Do you have any general comments about the Committee's effectiveness that you would wish to make? If you had two suggestions for improvement what would they be?

Answered: 4 Skipped: 4