

**AGENDA ITEM**

2.2.2

**AUDIT & RISK COMMITTEE**

**DECLARATIONS OF INTERESTS, GIFTS, SPONSORSHIP, HOSPITALITY & HONORARIA**

**Date of meeting**

07/12/2021

**FOI Status**

Open/Public

**If closed please indicate reason**

Not Applicable - Public Report

**Prepared by**

Lynn Hudson, Corporate Services Support Officer

**Presented by**

Karl Carpenter, Digital Services Manager

Georgina Galletly, Director of Corporate Governance

**Approving Executive Sponsor**

Director of Corporate Governance

**Report purpose**

FOR NOTING

**Engagement (internal/external) undertaken to date (including receipt/consideration at Committee/group)**

**Committee/Group/Individuals**

**Date**

**Outcome**

Management Board

17.11.2021

Reviewed

**ACRONYMS**

DOI

Declarations of Interest

**1. SITUATION/BACKGROUND**

- 1.1 In accordance with the requirements of the Health Board's Standing Orders and Standards of behaviour Framework Policy, a report is required to be received by the Audit & Risk Committee as a standing agenda item which will detail the Declarations of Interest, Gifts, Hospitality and Sponsorship etc. activities approved within each

Health Board. A similar report will also be considered by the Management Board.

## **2. SPECIFIC MATTERS FOR CONSIDERATION BY THIS MEETING (ASSESSMENT)**

- 2.1 The form in the Standards of Behaviour Framework policy or online via SharePoint should be used to declare interests and/or seek approval for receiving hospitality/sponsorship/gifts and this should help or prevent the omission of crucial information that the authorising officer requires making an informed decision on approval or rejection. The authorised signatories should also be scrutinising the declarations prior to authorisation, in order to ensure the correct information is captured on the form before it is submitted to the Corporate Governance Team.
- 2.2 An email to all Board Members requesting the annual Declarations of Interest was sent on the 10 May 2021. All returns have been received. Board Members compliance – 100%.
- 2.3 A reminder email was issued to all Consultants and Workforce (Bands 8a and above) on the 17 September, 2021, which is a total of 1,341 members of staff. To date we have received 436 returns equalling a 33% return rate. It should be noted that a further 58 returns have been received in this period, however, they are awaiting manager approval which will be followed up by the Corporate Governance Team.
- 2.4 The appendices to this report include the new entries received up to the 31 October, 2021.
- 2.5 In summary there have been:
  - 173 new declarations of interest received and entered on the Declarations of Interest Register.

There have been 3 new entries received for the Gifts, Hospitality and Sponsorship Register.

It should also be noted that donations received by the public in response to the Covid-19 pandemic have not been captured as previously agreed by the Audit & Risk Committee and Board.



### 3. KEY RISKS/MATTERS FOR ESCALATION TO BOARD/COMMITTEE

- 3.1 Please refer to the register for the Declarations of Interest Register Declaration included at Appendix 1 and the Gifts and Hospitality Register included at Appendix 2.

### 4. IMPACT ASSESSMENT

<b>Quality/Safety/Patient Experience implications</b>	Yes (Please see detail below)
	<p>The Register and Declaration of Interests is the method by which the Health Board safeguards against conflict or potential conflict of interest where private interests and public duties of members of staff do not concur.</p> <p>The Health Board must be impartial and honest in the conduct of its business and must ensure that employees remain beyond suspicion at all times.</p>
<b>Related Health and Care standard(s)</b>	Governance, Leadership and Accountability
	If more than one Healthcare Standard applies please list below:
<b>Equality Impact Assessment (EIA) completed - Please note EIAs are required for <u>all</u> new, changed or withdrawn policies and services.</b>	No (Include further detail below)
	<p>If yes, please provide a hyperlink to the location of the completed EIA or who it would be available from in the box below.</p> <p>If no, please provide reasons why an EIA was not considered to be required in the box below.</p>
<b>Legal implications / impact</b>	There are no specific legal implications related to the activity outlined in this report.
<b>Resource (Capital/Revenue £/Workforce) implications / Impact</b>	There is no direct impact on resources as a result of the activity outlined in this report.
<b>Link to Strategic Goals</b>	Improving Care



## 5. RECOMMENDATION

- 5.1 The Audit and Risk Committee is asked to review and **NOTE** the entries on the registers at Appendix 1 and 2.