

# CTMUHB Audit & Risk Committee

Tue 07 December 2021, 10:15 - 12:15

Virtually via Microsoft Teams

## Agenda

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10:15 - 10:20  
5 min

1. PRELIMINARY MATTERS

Information

Patsy Roseblade

1.1. Welcome & Introductions

Information

Patsy Roseblade

1.2. Apologies for Absence

Information

Patsy Roseblade

1.3. Declarations of Interest

Information

Patsy Roseblade

10:20 - 10:25  
5 min

2. CONSENT AGENDA

2.1. FOR APPROVAL

2.1.1. Unconfirmed Minutes of the meeting held on 4 October 2021

2.2. FOR NOTING

2.2.1. Forward Work Programme

Information

Patsy Roseblade

2.2.2. Declarations of Interest and Gifts and Hospitality Report

Information

Georgina Galletly

10:25 - 10:40  
15 min

3. MAIN AGENDA

3.1. GOVERNANCE

3.1.1. Action Log

Discussion

Georgina Galletly

3.1.2. Matters Arising not considered within the Action Log

Discussion

Patsy Roseblade

### **3.1.3. Audit & Risk Committee Annual Self Assessment**

*Discussion*                      *Georgina Galletly*

### **3.1.4. Legislative Assurance Framework – Development Update - Oral Update**

*Discussion*                      *Georgina Galletly*

10:40 - 11:45  
65 min

## **4. IMPROVING CARE**

### **4.1. Integrated Locality Group Risk Register Discussion - Rhondda Taf Ely**

*Discussion*                      *Claire Appleton & Carole Tookey*

### **4.2. Organisational Risk Register**

*Discussion*                      *Georgina Galletly*

### **4.3. Audit Recommendations Tracker**

*Discussion*                      *Georgina Galletly*

## **4.4. AUDIT WALES**

### **4.4.1. Audit Wales Audit & Risk Committee Update**

*Discussion*                      *Sara Utley*

### **4.4.2. Audit Wales Review - Structured Assessment Phase 2**

*Discussion*                      *Sara Utley*

### **4.4.3. Audit Wales Annual Audit Report**

*Discussion*                      *Sara Utley*

### **4.4.4. Audit Wales All Wales Report - Taking Care of the Carers**

*Discussion*                      *Audit Wales*

## **4.5. INTERNAL AUDIT**

### **4.5.1. Internal Audit Progress Report**

*Discussion*                      *Paul Dalton*

### **4.5.2. Internal Audit Review - Fire Safety Management**

*Discussion*                      *Paul Dalton*

Potentially Limited Assurance

### **4.5.3. Internal Audit Review - CAMHS Follow Up - Workforce**

*Discussion*                      *Paul Dalton*

Potentially Limited Assurance

### **4.5.4. Internal Audit Review - CAMHS Follow Up - Governance & Risk**

*Discussion*                      *Paul Dalton*

#### **4.5.5. Internal Audit Review - Bridgend Transfer of IT**

*Discussion*                      *Paul Dalton*

Potentially Limited Assurance

#### **4.5.6. Internal Audit All Wales Review - Estates Assurance Control of Contractors**

*Discussion*                      *Paul Dalton*

#### **4.5.7. Internal Audit All Wales Review - Estates Assurance Fire Safety**

*Discussion*                      *Paul Dalton*

#### **4.5.8. Internal Audit All Wales Review - Estates Assurance Waste Management**

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### **11:45 - 12:05 5. SUSTAINING OUR FUTURE** 20 min

#### **5.1. Procurements and Scheme of Delegation Report**

*Discussion*                      *Sally May*

#### **5.2. Losses and Special Payments Report**

*Discussion*                      *Sally May*

#### **5.3. Local Counter Fraud Report**

*Discussion*                      *Matthew Evans*

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### **12:05 - 12:10 6. ANY OTHER BUSINESS** 5 min

*Discussion*                      *Patsy Roseblade*

#### **How did we do in this meeting?**

- Is there anything we should do more or less of?
- Have we managed our time well and allowed open and balanced discussion?
- Have we considered our values and acted in a way that supports embedding our values across CTM?
- Have we maintained a strategic focus?
- Have we received sufficient assurance from a range of sources?
- Has our discussion allowed us to better understand the risks that we are managing that may affect the achievement of our strategic goals?

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### **12:10 - 12:15 7. DATE AND TIME OF NEXT MEETING - THURSDAY 24 FEBRUARY AT 10.30AM** 5 min

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### **12:15 - 12:15 8. CLOSE OF MEETING** 0 min