

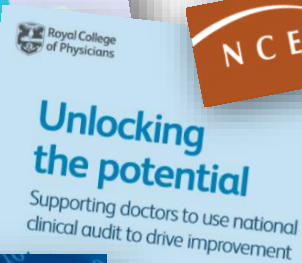
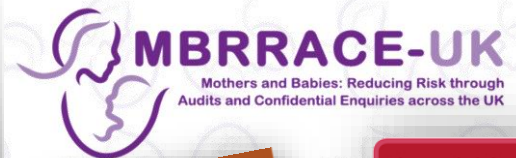
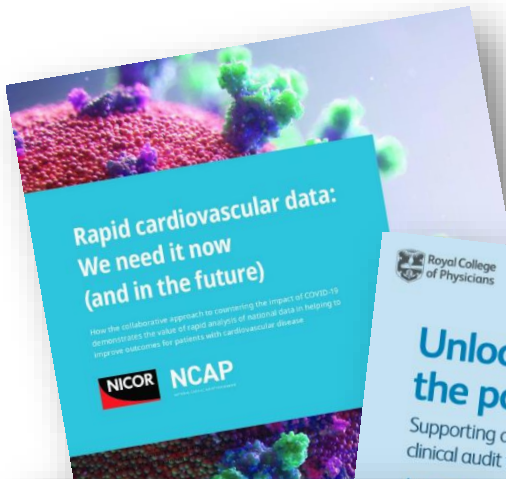


GIG  
CYMRU  
NHS  
WALES

Bwrdd Iechyd Prifysgol  
Cwm Taf Morgannwg  
University Health Board

Knowing How Well  
We Are Doing

# Clinical Audit Forward Plan 2021-22



TPDs  
investment  
expectation  
sustainability  
ARCP  
teaching  
support  
celebrate  
valued  
learn



Standard 3.1:  
Safe and Clinically Effective Care  
**Effective Care**



Version 1.0, 25 May 2021



## NHS Wales National Clinical Audit and Outcome Review Plan Annual Rolling Programme

### The following key criteria will also be used for judging success:

- 100% participation, appropriate levels of case ascertainment and submission of complete data sets by all health boards and trusts (where applicable) in the full programme of National Clinical Audits and Clinical Outcome Reviews.
- Improvements in the quality and safety of patient outcomes and experience brought about by learning and action arising from the findings of National Clinical Audit and Clinical Outcome Review reports.

**The findings and recommendations from national clinical audit, outcome reviews and all other forms of reviews and assessments will be one of the principal mechanisms for assessing the quality and effectiveness of healthcare services provided by health boards and trusts in Wales.**

The Welsh Government's COVID Planning and Response Group issued a national hospital pathway for the management and treatment of COVID-19 in March 2020. This pathway was developed to support health boards to respond to the COVID-19 pandemic in a consistent way and support staff with training and education. It has been widely adopted throughout the NHS in Wales and is subject to regular updates as international evidence emerges on the treatment of the disease. In May 2020 Welsh Government established a National COVID audit, which has now been included in the Health Board forward plan.

### Compliance Key

<b>RED</b>	Cause for concern. Full compliance not achieved by audit deadline.
<b>AMBER</b>	Tier 1: <b>National audit</b> delayed, backlog exists but plan in place to comply with national audit deadline.  Tier 2: <b>Organisation priority audit</b> delayed by one quarter, but plan in place to comply with revised audit deadline.
<b>GREEN</b>	Audit on track at 31/03/2021 or completed, evidence of audit compliance provided.
<b>BLUE</b>	Audit completed, audit report assurance proforma completed by audit leads and signed off by Clinical Lead, Clinical Audit and Quality Improvement on behalf of the Medical Director (nominated executive).

Due to COVID submission deadlines and planned report release deadlines are constantly changing and in most cases being delayed.

## NHS Wales National Clinical Audit and Outcome Review Plan Annual Rolling Programme 2021/22

**Outcome Reviews in which all Welsh Health Boards and Trusts must participate (across sites where services are provided)**

National Audit	Audit Period	Submission Deadline	Planned Report Publication	Specialty	Compliance Position 2020/21	RAG Status 2020/21
<b>Acute</b>						
National Joint Registry ( <a href="#">NJR</a> )	operates continuous data capture	<b>N/A</b>	October 2021	Trauma and Orthopaedics	Organisation wide compliance.	<b>GREEN</b>
National Emergency Laparotomy Audit ( <a href="#">NELA</a> )	operates continuous data capture	<b>January 2022</b>	November 2021	Surgery / Anaesthetics	Organisation wide compliance.	<b>GREEN</b>
Case Mix Programme ( <a href="#">CMP</a> ) ICNARC	operates continuous data capture	<b>Monthly</b>	TBC	Anaesthetics	Organisation wide compliance.	<b>GREEN</b>
Major Trauma Audit # ( <a href="#">TARN</a> )	operates continuous data capture	<b>Quarterly Dashboards</b>	TBC	Emergency Medicine	Organisation wide compliance.	<b>GREEN</b>
<b>Long Term Conditions</b>						
National Diabetes Audit *						
<b>Note this covers the following areas :</b> <ul style="list-style-type: none"> <li>National Diabetes Foot Care Audit (<a href="#">NDFCA</a>)</li> </ul>	operates continuous data capture	<b>July 2021</b>	TBC	Therapies	Organisation wide compliance.	<b>GREEN</b>

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• National Diabetes Inpatient Audit ( <a href="#">NaDia</a> )	TBC	N/A	TBC	General medicine	Non-compliance – RGH unable to complete due to time constraints	RED
• National Pregnancy in Diabetes Audit ( <a href="#">NPID</a> )	operates continuous data capture	<b>February 2022</b>	October 2021	Obstetrics and Gynaecology	Organisation wide compliance.	GREEN
• National Core Diabetes Audit ( <a href="#">NCDA</a> )	operates continuous data capture	N/A	TBC	Primary Care	Organisation wide compliance.	GREEN
• National Diabetes Transition Audit ( <a href="#">NCDA</a> )	N/A	<b>Data will be collated from existing submissions</b>	TBC	Primary / Secondary Care	New Audit 2019/20 data to be reviewed from NDA and NPDA existing data	N/A
National Diabetes Paediatric Audit ( <a href="#">NPDA</a> ) * #	operates continuous data capture	N/A	TBC	Paediatrics	Organisation wide compliance.	GREEN
National Asthma and COPD Audit Programme ( <a href="#">NACAP</a> ) * # <b>Note this covers the following areas:</b>	operates continuous data capture	N/A	TBC	General medicine	Organisation wide compliance.	GREEN
COPD	operates continuous data capture	<b>May 2021</b>	June 2021	General medicine	Organisation wide compliance.	GREEN
Adult Asthma	operates continuous data capture	<b>May 2021</b>	June 2021	General medicine	Organisation wide compliance.	GREEN

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National Audit	Audit Period	Submission Deadline	Planned Report Publication	Specialty	Compliance Position 2020/21	RAG Status 2020/21
Children and Young People Asthma	operates continuous data capture	<b>January 2022</b>	May 2021	Paediatrics	Organisation wide compliance.	<b>GREEN</b>
Pulmonary Rehabilitation	(Delayed due to COVID)	<b>N/A</b>	TBC	General medicine	<b>Delayed due to COVID</b>	<b>N/A</b>
National Early Inflammatory Arthritis Audit * # ( <a href="#">NEIRT</a> )	operates continuous data capture	<b>N/A</b>	October 2021	Rheumatology	Organisation wide compliance.	<b>GREEN</b>
All Wales Audiology Audit #	operates continuous data capture	<b>N/A</b>	TBC	Ears, Nose and Throat	Organisation wide compliance.	<b>GREEN</b>
<b>Older People</b>						
Stroke Audit ( <a href="#">SSNAP</a> ) *	operates continuous data capture	<b>Quarterly deadlines</b>	October 2021	General medicine / Therapies	Organisation wide compliance.	<b>GREEN</b>
Falls and Fragility Fractures Audit Programme Including: • Inpatient Falls * ( <a href="#">IFA</a> )	operates continuous data capture	<b>January 2022</b>	May 2021	General Medicine / Trauma & Orthopaedics	Organisation wide compliance.	<b>GREEN</b>
• National Hip Fracture Database ( <a href="#">NHFD</a> )	operates continuous data capture	<b>January 2022</b>	January 2022	General Medicine / Trauma & Orthopaedics	Organisation wide compliance.	<b>GREEN</b>
• Fracture Liaison Service Database  <i>(Currently no fracture liaison service established with CTMUHB)</i>	N/A	<b>N/A</b>	N/A	General Medicine / Trauma & Orthopaedics	Proforma submitted for this audit to WG, but POWH/ RGH/PCH currently no service established.  Business Case Submitted for review as part of IMTP process.	<b>N/A</b>



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National Audit	Audit Period	Submission Deadline	Planned Report Publication	Specialty	Compliance Position 2020/21	RAG Status 2020/21
National Dementia Audit * ( <a href="#">NDA</a> )	(delayed due to COVID)	N/A	N/A	Mental Health	N/A	N/A
<b>End of Life</b>						
National Audit for Care at the End of Life ( <a href="#">NACEL</a> ) *	(delayed due to COVID)	<b>October 2021</b>	TBC	Palliative Care / Medicine	Organisation wide compliance.	<b>BLUE</b>
<b>Heart</b>						
National Cardiac Audit Programme ( <a href="#">NCAP</a> )	operates continuous data capture	<b>June 2021</b>	December 2020	Cardiology	Organisation wide compliance.	<b>BLUE</b>
<ul style="list-style-type: none"> <li>National Heart Failure Audit * (<a href="#">NHFA</a>)</li> </ul>	operates continuous data capture	<b>N/A</b>	December 2020	Cardiology	Organisation wide compliance. (excludes Bridgend)	<b>BLUE</b>
<ul style="list-style-type: none"> <li>Cardiac Rhythm Management * (<a href="#">CRM</a>)</li> </ul>	operates continuous data capture	<b>N/A</b>	December 2020	Cardiology	Organisation wide compliance. (excludes Bridgend)	<b>BLUE</b>
<ul style="list-style-type: none"> <li>Myocardial Ischaemia National Audit Project (<a href="#">MINAP</a>) *</li> </ul>	operates continuous data capture	<b>May 2021</b>	December 2020	Cardiology	Organisation wide compliance.	<b>GREEN</b>
<ul style="list-style-type: none"> <li>National Vascular Registry Audit (includes Carotid Endarterectomy Audit) * (<a href="#">NVRA</a>)</li> </ul>	operates continuous data capture	<b>May 2021</b>	July 2021	Surgery	Organisation wide compliance. (RGH ONLY)	<b>BLUE</b>
Cardiac Rehabilitation Audit ( <a href="#">CRA</a> )	operates continuous data capture	<b>N/A</b>	November 2021	Cardiology	Organisation wide compliance.	<b>GREEN</b>

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National Audit	Audit Period	Submission Deadline	Planned Report Publication	Specialty	Compliance Position 2020/21	RAG Status 2020/21
<b>Cancer</b>						
National Lung Cancer Audit * ( <a href="#">NLCA</a> )	operates continuous data capture Closing in summer 2020	<b>N/A</b>	January 2022	Respiratory Medicine	Organisation wide compliance. Managed through cancer services.	<b>GREEN</b>
National Prostate Cancer Audit * ( <a href="#">NPCA</a> )	operates continuous data capture	<b>N/A</b>	January 2022	Surgery	Organisation wide compliance. Managed through cancer services.	<b>GREEN</b>
National Gastrointestinal Cancer Audit Programme * ( <a href="#">NGCAP</a> )	operates continuous data capture	<b>N/A</b>	June 2021	Surgery	Organisation wide compliance. Managed through cancer services.	<b>GREEN</b>
National Audit of Breast Cancer in Older People ( <a href="#">NABCOP</a> )	operates continuous data capture	<b>N/A</b>	August 2021	General Medicine	Organisation wide compliance. Managed through cancer services.	<b>BLUE</b>
<b>Women's and Children's Health</b>						
National Neonatal Audit Programme Audit * # ( <a href="#">NNAPA</a> )	operates continuous data capture	<b>N/A</b>	January 2022	Paediatrics	Organisation wide compliance. (Action Plan completed July 2020)	<b>GREEN</b>
National Maternity and Perinatal Audit * # ( <a href="#">NM&amp;PA</a> )	operates continuous data capture	<b>N/A</b>	October 2021	Obstetrics / Midwifery	Organisation wide compliance.	<b>GREEN</b>
Perinatal Mortality Review Tool	operates continuous data capture	<b>TBC</b>	TBC		New for 2020/21	<b>GREEN</b>

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National Audit	Audit Period	Submission Deadline	Planned Report Publication	Specialty	Compliance Position 2020/21	RAG Status 2020/21
<b>Other</b>						
National Audit of Seizures and Epilepsies in Children and Young People (Epilepsy12) *# ( <a href="#">NASECYP</a> )	TBC	<b>Various deadlines for different cohorts</b>	July 2021	Paediatrics	Non-compliant due data submission issues (M&C and RTE ILGs)	<b>RED</b>
National Clinical Audit of Psychosis * ( <a href="#">NCAP</a> )	TBC	<b>TBC</b>	July 2021	Mental Health	Organisation wide compliance. (M&C and RTE only, Bridgend ILG SLA with Swansea Bay UHB)	<b>GREEN</b>

(\* denotes NCAPOP Audits)

(# denotes reports likely to include information on children and / or maternity services)

Other National Priority Audits (Tier 1)	Audit Period	Submission Deadline	Report Publication	Specialty	Compliance Position 2020/21	RAG Status 2020/21
National COVID Audit	Mar – Jun 2020 Jan – Mar 2021	<b>October 2020 March 2021</b>	TBC	Medicine	New for 2020/21	<b>GREEN</b>



## NHS Wales National Clinical Audit and Outcome Review Plan Annual Rolling Programme 2021/22

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### Clinical Outcomes Review Programme (2021/22)

The Clinical Outcome Review Programme (CORP) is designed to help assess the quality of healthcare, and stimulate improvement in safety and effectiveness by enabling learning from adverse events and other relevant data. It aims to complement and contribute to the work of other agencies such as NICE, the Royal Colleges and academic research studies which support changes to improve NHS healthcare.

<b>The Clinical Outcome Review Programme (Tier 1)</b>	<b>Audit Period</b>	<b>Completion Deadline</b>	<b>Report Publication</b>	<b>Programme</b>	<b>Compliance Position 2020/21</b>	<b>RAG Status 2020/21</b>
• NCEPOD - Dysphagia in Parkinson's Disease	N/A	<b>N/A</b>	August 2021	Medical & Surgical programme	Organisation wide compliance.	<b>GREEN</b>
• NCEPOD - Physical Healthcare of Inpatients in Mental Health Hospitals	Feb 2020 Delayed due to COVID	<b>June 2021</b>	TBC	Medical & Surgical programme	Delayed due to COVID	<b>GREEN</b>
• NCEPOD - In Hospital Management of Out of Hospital Cardiac Arrests	N/A	<b>N/A</b>	February 2021	Medical & Surgical programme	Organisation wide compliance.	<b>GREEN</b>
• National Confidential Inquiry into Suicide and Safety in Mental Health	N/A	<b>N/A</b>	May 2021	Mental Health Programme	Organisation wide compliance.	<b>GREEN</b>
• NCEPOD – Transition from Child to Adult Services	TBC	<b>TBC</b>	TBC	Child Health Clinical Outcome Review Programme	N/A	<b>N/A</b>
• MBRRACE – Perinatal Mortality Surveillance	operates continuous data capture	<b>TBC</b>	TBC	Maternal, Newborn and Infant Clinical Outcome Review Programme	Organisation wide compliance.	<b>GREEN</b>

## NHS Wales National Clinical Audit and Outcome Review Plan Annual Rolling Programme 2021/22

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The Clinical Outcome Review Programme (Tier 1)	Audit Period	Completion Deadline	Report Publication	Programme	Compliance Position 2020/21	RAG Status 2020/21
<ul style="list-style-type: none"> <li>MBRRACE – Saving Lives Improving Mothers Care</li> </ul>	operates continuous data capture	<b>TBC</b>	TBC	Maternal, Newborn and Infant Clinical Outcome Review Programme	Organisation wide compliance.	<b>GREEN</b>

## Cwm Taf Morgannwg University Health Board Organisation (Tier 2) Priority Annual Audit Programme 2021/22

Organisation Priority Audits (Tier 2)	Audit Period	Completion Deadline	Report Publication	Specialty	Compliance Position 2020/21	RAG Status 2020/21
Case Note Documentation Audits:						N/A
• Acute Hospital Documentation Audit	Quarter 2	<b>TBC</b>	TBC	Acute Medicine		N/A
• Community Hospital Documentation Audit	Quarter 3	<b>TBC</b>	TBC	Primary Care		N/A
• A&E Documentation Audit	Quarter 2	<b>TBC</b>	TBC	Acute Medicine		N/A
Consent to Treat Audit	N/A	<b>N/A</b>	N/A	Surgery	Organisation wide compliance.	<b>BLUE</b>
National Ophthalmology Audit (Adult Cataract surgery) * ( <a href="#">NOD</a> )	Under Review	<b>TBC</b>	TBC	Ophthalmology	CTM agreed as an organisation priority audit, but due to COVID-19 Bridgend inclusion delayed until 2022-23	<b>GREEN</b>
Appendectomy Audit	Quarter 2	<b>September 2021</b>	TBC	Surgery (Bridgend ILG only)		N/A
Tracheostomy Care Audit	Quarter 2	<b>TBC</b>	TBC	Surgery		N/A