

AUDIT & RISK COMMITTEE FORWARD WORK PLAN 2020/2021

Meeting	Standing items/Governance	Internal control and risk management	Part II meeting – hosted bodies	Internal control and risk management
8 February 2021 1pm Virtually via Microsoft Teams	<p>Minutes</p> <p>Action Log</p> <p>Declarations of Interest</p> <p>Forward Work Programme</p> <p>Audit Recommendation Tracker Report</p> <p>Losses and special payments Report</p> <p>Procurements and Scheme of Delegation Report</p> <p>Organisational Risk Register</p> <p>Local Counter Fraud update (to include a review of resource allocation to the Counter Fraud Service)</p> <p>Head of Internal Audit Progress Report</p> <p>Internal Audit Reviews (any limited or no assurance reports require lead director presence)</p> <p>Audit Wales Audit & Risk Committee Update</p> <p>Audit Wales Review Reports (as relevant)</p> <p>Items for information (not normally for discussion)</p> <p>Referrals to other committees</p> <p>Any other urgent business</p>	<p>6 Monthly Update on Consultant Job Planning</p> <p>Clinical Audit Quarterly Update Report</p> <p>Declarations of Interest Register and Gifts and Hospitality Report</p> <p>Internal Audit Review into Medical Rostering – Update report on progress</p> <p>Audit Wales Audit Plan 2021</p>	<p>Minutes</p> <p>Action Log</p> <p>Declarations of Interest</p>	<p>Audit Recommendation tracker report</p> <p>WHSSC CRAF update</p> <p>EASC Risk Register Update</p> <p>Receipt of any hosted body internal / external audit report and management responses</p>

Agenda item 2.2.2

Meeting	Standing items/Governance	Internal control and risk management	Part II meeting – hosted bodies	Internal control and risk management
13 April 2021 9.30am Virtually via Microsoft Teams	<p>Minutes Action Log Declarations of Interest Forward Work Programme</p> <p>Audit Recommendation Tracker Report</p> <p>Losses and special payments Report</p> <p>Procurements and Scheme of Delegation Report</p> <p>Organisational Risk Register</p> <p>Local Counter Fraud update (to include a review of resource allocation to the Counter Fraud Service)</p> <p>Head of Internal Audit Progress Report</p> <p>Internal Audit Reviews (any limited or no assurance reports require lead director presence)</p> <p>Audit Wales Audit & Risk Committee Update</p> <p>Audit Wales Review Reports (as relevant)</p> <p>Items for information (not normally for discussion)</p> <p>Referrals to other committees</p> <p>Any other urgent business</p>	<p>Counter Fraud Annual Report</p> <p>Counter Fraud Annual Self Review</p> <p>Counter Fraud Draft Work Plan</p> <p>Declarations of Interest Register and Gifts and Hospitality Report</p> <p>Post Payment Verification Annual Report</p> <p>Internal Audit Annual Audit Plan</p> <p>FCP Medical Variable Pay – Summary of all Authorised Breaches (Bi-Monthly Update)</p> <p>Action Plan in response to the Committee Effectiveness Survey</p>	<p>Minutes Action Log Declarations of Interest</p>	<p>Audit Recommendation tracker report</p> <p>WHSSC CRAF update</p> <p>EASC Risk Register Update</p> <p>Receipt of any hosted body internal / external audit report and management responses (WHSSC Governance Review)</p> <p>National Imaging Academy Hosted Compliance Statement.</p>

Agenda item 2.2.2

Meeting	Standing items/Governance	Internal control and risk management	Part II meeting – hosted bodies	Internal control and risk management
17 May 2021 2.30pm Virtually via Microsoft Teams	Minutes Action Log Declarations of Interest Forward Work Programme Items for information (not normally for discussion) Referrals to other committees Any other urgent business	Draft Annual Financial Accounts Draft Accountability Report	Minutes Action Log Declarations of Interest	Draft WHSSC Annual Governance Statement Draft EASC Annual Governance Statement Draft WHSSC and EASC Annual Accounts
Meeting	Standing items/Governance	Internal control and risk management	Part II meeting – hosted bodies	Internal control and risk management
9 June 2021 9.30am Virtually via Microsoft Teams	Minutes Action Log Declarations of Interest Forward Work Programme Items for information (not normally for discussion) Referrals to other committees Any other urgent business	Final Annual Financial Accounts Final Accountability Report Audit Wales Audit of the Financial Statements (ISA 260) Report (Including the letter of representation and Audit Opinion)	Minutes Action Log Declarations of Interest	WHSSC Annual Governance Statement EASC Annual Governance Statement WHSSC and EASC Annual Accounts

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Meeting	Standing items/Governance	Internal control and risk management	Part II meeting – hosted bodies	Internal control and risk management
15 June 2021 9.30am Virtually via Microsoft Teams	<p>Minutes</p> <p>Action Log</p> <p>Declarations of Interest</p> <p>Forward Work Programme</p> <p>Audit Recommendation Tracker Report</p> <p>Losses and special payments Report</p> <p>Procurements and Scheme of Delegation Report</p> <p>Organisational Risk Register</p> <p>Local Counter Fraud update (to include a review of resource allocation to the Counter Fraud Service)</p> <p>Head of Internal Audit Progress Report</p> <p>Internal Audit Reviews (any limited or no assurance reports require lead director presence)</p> <p>Audit Wales Audit & Risk Committee Update</p> <p>Audit Wales Review Reports (as relevant)</p> <p>Items for information (not normally for discussion)</p> <p>Referrals to other committees</p> <p>Any other urgent business</p>	<p>Audit & Risk Committee Annual Report</p> <p>Audit & Risk Committee Annual Self-Assessment</p> <p>Audit & Risk Committee Terms of Reference</p> <p>Clinical Audit Annual Plan</p> <p>FCP Medical Variable Pay – Summary of all Authorised Breaches (Bi-Monthly Update)</p>	<p>Minutes</p> <p>Action Log</p> <p>Declarations of Interest</p>	<p>Audit Recommendation tracker report</p> <p>WHSSC CRAF update</p> <p>EASC Risk Register Update</p> <p>Receipt of any hosted body internal / external audit report and management responses (WHSSC Governance Review)</p>

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Meeting	Standing items/Governance	Internal control and risk management	Part II meeting – hosted bodies	Internal control and risk management
17 August 2021 9.30am Virtually via Microsoft Teams	<p>Minutes</p> <p>Action Log</p> <p>Declarations of Interest</p> <p>Forward Work Programme</p> <p>Audit Recommendation Tracker Report</p> <p>Losses and special payments Report</p> <p>Procurements and Scheme of Delegation Report</p> <p>Organisational Risk Register</p> <p>Local Counter Fraud update (to include a review of resource allocation to the Counter Fraud Service)</p> <p>Head of Internal Audit Progress Report</p> <p>Internal Audit Reviews (any limited or no assurance reports require lead director presence)</p> <p>Audit Wales Audit & Risk Committee Update</p> <p>Audit Wales Review Reports (as relevant)</p> <p>Items for information (not normally for discussion)</p> <p>Referrals to other committees</p> <p>Any other urgent business</p>	<p>Declarations of Interest and Gifts & Hospitality Report</p> <p>6 Monthly Update on Consultant Job Planning</p> <p>Deep Dive into a specific ILG Risk Register (likely to be August)</p> <p>Internal Audit Review into Medical Rostering – Update report on progress</p> <p>Audit of Financial Statements Addendum Report (if required)</p> <p>FCP Medical Variable Pay – Summary of all Authorised Breaches (Bi-Monthly Update)</p>	<p>Minutes</p> <p>Action Log</p> <p>Declarations of Interest</p>	<p>Audit Recommendation tracker report</p> <p>WHSSC CRAF update</p> <p>EASC Risk Register Update</p> <p>Receipt of any hosted body internal / external audit report and management responses (WHSSC Governance Review)</p>

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Meeting	Standing items/Governance	Internal control and risk management	Part II meeting – hosted bodies	Internal control and risk management
4 October 2021 2.00pm Virtually via Microsoft Teams	<p>Minutes</p> <p>Action Log</p> <p>Declarations of Interest</p> <p>Forward Work Programme</p> <p>Audit Recommendation Tracker Report</p> <p>Losses and special payments Report</p> <p>Procurements and Scheme of Delegation Report</p> <p>Organisational Risk Register</p> <p>Local Counter Fraud update (to include a review of resource allocation to the Counter Fraud Service)</p> <p>Head of Internal Audit Progress Report</p> <p>Internal Audit Reviews (any limited or no assurance reports require lead director presence)</p> <p>Audit Wales Audit & Risk Committee Update</p> <p>Audit Wales Review Reports (as relevant)</p> <p>Items for information (not normally for discussion)</p> <p>Referrals to other committees</p> <p>Any other urgent business</p>	<p>Post Payment Verification Mid-Year Update</p> <p>Clinical Audit Quarterly Update Reports</p> <p>FCP Medical Variable Pay – Summary of all Authorised Breaches (Bi-Monthly Update)</p>	<p>Minutes</p> <p>Action Log</p> <p>Declarations of Interest</p>	<p>Audit Recommendation tracker report</p> <p>WHSSC CRAF update</p> <p>EASC Risk Register Update</p> <p>Receipt of any hosted body internal / external audit report and management responses (WHSSC Governance Review)</p>

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Meeting	Standing items/Governance	Internal control and risk management	Part II meeting – hosted bodies	Internal control and risk management
7 December 2021 9.30am Virtually via Microsoft Teams	<p>Minutes</p> <p>Action Log</p> <p>Declarations of Interest</p> <p>Forward Work Programme</p> <p>Audit Recommendation Tracker Report</p> <p>Losses and special payments Report</p> <p>Procurements and Scheme of Delegation Report</p> <p>Organisational Risk Register</p> <p>Local Counter Fraud update (to include a review of resource allocation to the Counter Fraud Service)</p> <p>Head of Internal Audit Progress Report</p> <p>Internal Audit Reviews (any limited or no assurance reports require lead director presence)</p> <p>Audit Wales Audit & Risk Committee Update</p> <p>Audit Wales Review Reports (as relevant)</p> <p>Items for information (not normally for discussion)</p> <p>Referrals to other committees</p> <p>Any other urgent business</p>	<p>Declarations of Interest and Gifts & Hospitality Report</p> <p>FCP Medical Variable Pay – Summary of all Authorised Breaches (Bi-Monthly Update)</p> <p>Audit Wales Annual Audit Report</p> <p>Audit Wales Structured Assessment Report</p>	<p>Minutes</p> <p>Action Log</p> <p>Declarations of Interest</p>	<p>Audit Recommendation tracker report</p> <p>WHSSC CRAF update</p> <p>EASC Risk Register Update</p> <p>Receipt of any hosted body internal / external audit report and management responses (WHSSC Governance Review)</p>