

AUDIT & RISK COMMITTEE ACTION LOG
FOLLOWING MEETINGS HELD ON 14 DECEMBER 2020

NO.	MEETING DATE	SUBJECT	ACTION	TIMESCALE	RESPONSIBLE OFFICER	STATUS AS AT February 2021
18/099	8/10/2018	Endoscopy JAG Accreditation	Closure report to be presented to a future meeting.	January 2019 Revised to: October 2020 Ongoing - Action being led by Director of Operations. This matter is linked to JAG accreditation and updates will be provided to the Committee through the action log at each meeting	Director of Operations	In progress One of the key reasons for not obtaining JAG Accreditation related to capacity issues. A proposal looking to address the capacity issues has been submitted to Welsh Government. JAG were due to undertake a revisit again this year but have not been able to as a result of COVID. The other issue where JAG accreditation cannot be provided is associated with the ingress and egress and as such we do not have a definitive position from JAG. We are actively pursuing acquiring more capacity and are awaiting JAG dialogue in regard to the built environment, with a date yet to be received from JAG.
19/103	15/07/2019	Financial Control Procedure Medical Variable Pay	Matter to be escalated to the Executive Team due to the concerns raised in relation to the high levels of Agency Locum Costs. Update to be provided to the October Audit Committee meeting	October 2019 January 2020 March 2020 July 2020 Revised to: October 2020	Director of Finance / Medical Director	Completed Financial Control Procedure for Medical Variable Pay approved at the December meeting of the Committee
20/137	19/10/2020		Chairs Action to be taken outside of the meeting to approve the procedure following a number of questions raised regarding the content and accuracy	Revised to: December 2020		
20/029	25/02/ 2020	Audit Wales Report – Implementing the Wellbeing of Future Generations Act	Discussion held on the management response which had been difficult to complete and could have been strengthened further. Agreed that work would be undertaken with colleagues to ensure responses provided were more robust	April 2020 Revised to: February 2021	Director of Public Health	In progress Further discussion is required with Audit Wales and the Director of Corporate Governance on this action. Once this has been completed a revised date will be provided. This has not been progressed as a result of the Covid-19 pandemic.

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20/070	16/06/2020	Internal Audit Review – Princess of Wales Hospital Fire Safety – Follow Up Review	Executive Lead to be invited to attend a future meeting to discuss the content of the report. Committee Chair to consider the most appropriate time for a discussion to take place.	October 2020 Revised to: April 2021	Director of Therapies & Health Sciences	In progress The scope of the review has now been agreed. Management have requested that the review is undertaken in Quarter 4 as a result of Covid-19 and staffing pressures. A meeting was due to be held between Internal Audit and the Director of Corporate Governance in January 2021 to agree the commencement of fieldwork. This meeting was subsequently cancelled.
20/149	14/12/202	Losses and Special Payments Report	Discussion to be held at the February meeting regarding progress made against Welsh Risk Pool Claims. Director of Nursing/Deputy Director of Nursing to be invited to attend to provide an update on progress.	February 2021	Director of Finance	On Agenda Assistant Director of Nursing & People's Experience will be in attendance to take part in the discussion.
20/155	14/12/2020	Internal Audit Review – Head & Neck Governance Arrangements – Position Statement	Report to be shared with the Quality & Safety Committee for information so that they are aware of the recommendation made in relation to Policies and Procedures.	January 2021	Director of Corporate Governance	Completed Report presented to the January meeting of the Quality & Safety Committee
20/158	14/12/2020	Audit Wales Progress Report	Confirmation to be provided to the Committee Chair outside of the meeting as to whether the WHSSC Governance Review was likely to have any implications for the Health Board	February 2021	Audit Wales	Completed Audit Wales have confirmed that CTM Chief Executive & Director of Governance will form part of the clearance process for the report due to being Host organisation.
20/159	14/12/2020	Audit Wales Report – Follow Up of Operating Theatres	Review to be undertaken of the older recommendations in relation to Operating Theatres which were still contained on the tracker	April 2021	Audit Wales	In progress Meetings being arranged for late Feb/March 2021 for Audit Wales and Internal Audit colleagues to meet with the Director of Governance and Exec leads for all actions currently sitting on the Audit Tracker to undertake a comprehensive review. These actions will be picked up in the process.
20/159	14/12/2020	Audit Wales Report – Follow Up of Operating Theatres	Report to be shared with the Quality & Safety Committee for awareness	January 2021	Director of Corporate Governance	Completed Report presented to the January meeting of the Quality & Safety Committee
20/161	14/12/2020	Audit Recommendations Tracker	The two recommendations contained within the Audit of Addendum report to be added into the Tracker.	February 2021	Director of Corporate Governance	Completed Recommendations have now been added to the tracker.

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20/161	14/12/2020	Audit Recommendations Tracker	Discussion to be held with the Executive Team regarding the lack of progress made against some areas contained within the tracker. Support to be provided from Internal & External Audit colleagues in relation to the content of the tracker.	February 2021	Director of Corporate Governance	In progress Meetings being arranged for late Feb/March 2021 for Audit Wales and Internal Audit colleagues to meet with the Director of Governance and Exec leads for all actions currently sitting on the Audit Tracker to undertake a comprehensive review. These actions will be picked up in the process.
20/161	14/12/2020	Audit Recommendations Tracker	Review to be undertaken of the Audit Tracker process in other Health Board areas to determine whether they were managing to keep the number of open recommendations to a minimum.	February 2021	Internal Audit/Audit Wales	In progress Verbal update to be provided at the February meeting by the Head of Internal Audit following discussions held with colleagues.