



AGENDA ITEM

7.2

AUDIT & RISK COMMITTEE

RISK MANAGEMENT IMPROVEMENT PLAN

Date of meeting

14/12/2020

FOI Status

PUBLIC

If closed please indicate reason

Not Applicable – Public Meeting

Prepared by

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Presented by

Cally Hamblyn, Assistant Director of Governance & Risk

Approving Executive Sponsor

Director of Corporate Governance

Report purpose

FOR NOTING

Engagement (internal/external) undertaken to date (including receipt/consideration at Committee/group)

Committee/Group/Individuals

Date

Outcome

Management Board

22/10/2020

NOTED

ACRONYMS

BAF

Board Assurance Framework

CSG's

Clinical Service Groups

ICT

Information Communication Technology

ILG's

Integrated Locality Groups

1. SITUATION/BACKGROUND

- 1.1 The purpose of this report is to update the Committee on the progress on the Risk Management Improvement Plan.
- 1.2 Inevitably the timescales for implementation have been impacted by the Health Boards response and focus to Covid-19, however, the intention of this report is to assure the Committee on the continued commitment to progressing the plan and the progress that has been made to date.
- 1.3 The Assistant Director of Governance & Risk commenced with the Health Board on the 27 April 2020 and is leading the risk management improvement plan in conjunction with Executive Leads, Risk Owners, ILG's and CSG's.

2. SPECIFIC MATTERS FOR CONSIDERATION BY THIS MEETING (ASSESSMENT)

- 2.1 A detailed risk management update was received by the Committee on the 10 August 2020. The following progress has been made since this meeting:
 - Board Development Session held on the 3 September to discuss risk management, the development of the organisations principal risks and the BAF.
 - Further alignment of service group risk registers to the Organisational Risk Register has been undertaken in the following areas where appropriate:
 - Facilities
 - ICT
 - ILG – high level risks
 - Information Governance
 - Medicines Management
 - A revised **Risk Domains Matrix** incorporated within the Risk Management Strategy.
 - A **Risk Appetite Statement** – drafted following discussions at the Board Development Session.
 - **Risk Appetite Matrix** developed.
 - The final iteration of the Health Board's **Principal Risk's** developed.
- 2.2 Evidence of the progress noted in 2.1 is evident from the Organisational Risk Register and Risk Management Strategy received as separate agenda items at this meeting of the Committee.

3 KEY RISKS/MATTERS FOR ESCALATION TO BOARD/COMMITTEE

- 3.1 Please refer to Appendix 2 – Risk Management Improvement Plan.
- 3.2 There is still a significant amount of work to align all risks held within the existing Datix Risk Module to the new operating model and management structures within the Health Board. As a result this is impacting the pace of the review of all risks by the ILG's and CSG's. The Datix Team are working with ILG and CSG leads to consider what adaptations can be made to the risk module to support this activity.

4. IMPACT ASSESSMENT

Quality/Safety/Patient Experience implications	Yes (Please see detail below)
	Aim to mitigate risks to patients and staff
Related Health and Care standard(s)	Governance, Leadership and Accountability
	All Health and Care Standards are included
Equality impact assessment completed	No
Legal implications / impact	There are no specific legal implications related to the activity outlined in this report.
Resource (Capital/Revenue £/Workforce) implications / Impact	There is no direct impact on resources as a result of the activity outlined in this report.
Link to Strategic Well-being Objectives	Provide high quality, evidence based, and accessible care

5. RECOMMENDATION

- 5.1 The Committee is asked to **NOTE** this update.