



AGENDA ITEM

2.2.5

AUDIT & RISK COMMITTEE

AUDIT WALES – ANNUAL AUDIT ENQUIRIES LETTER RESPONSE

Date of meeting	13/04/2021
FOI Status	Open/Public
If closed please indicate reason	Not Applicable - Public Report
Prepared by	Cally Hamblyn, Director of Corporate Governance
Presented by	Georgina Galletly, Director of Corporate Governance
Approving Executive Sponsor	Georgina Galletly, Director of Corporate Governance Steve Stark, Audit Wales
Report purpose	FOR NOTING

Engagement (internal/external) undertaken to date (including receipt/consideration at Committee/group)

Committee/Group/Individuals	Date	Outcome
(Insert Name)	(DD/MM/YYYY)	Choose an item.

ACRONYMS

CTMUHB	Cwm Taf Morgannwg University Health Board
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1. SITUATION/BACKGROUND

- 1.1 In accordance with Audit Wales Financial audit activity CTMUHB is asked to complete a checklist on an annual basis regarding matters such as the risk of fraud, laws and regulations and related parties which informs the Annual Audit Enquiries Letter.
- 1.2 The Health Board has completed the Audit Enquiries Letter checklist for the period 2020-2021 and the purpose of this report is to present a copy of the response prepared by CTMUHB to the Committee for noting and assurance.

2. SPECIFIC MATTERS FOR CONSIDERATION BY THIS MEETING (ASSESSMENT)

- 2.1 Please refer to Appendix 1 which includes the response provided by CTMUHB in relation to the enquiries letter checklist.

3. KEY RISKS/MATTERS FOR ESCALATION TO BOARD/COMMITTEE

- 3.1 The response to the enquiries letter makes reference to temporary changes to CTMUHB governance systems and processes in response to the Covid-19 Pandemic.

4. IMPACT ASSESSMENT

Quality/Safety/Patient Experience implications	Yes (Please see detail below)
	Robust internal processes aligned with a strong governance framework is essential to ensuring patients experience the greatest possible levels of safety and quality.
Related Health and Care standard(s)	Governance, Leadership and Accountability
	If more than one Healthcare Standard applies please list below:
Equality impact assessment completed	Not required
Legal implications / impact	Yes (Include further detail below)
	There may be an adverse effect on the organisation if the UHB does not fully



	implement learning and improvements identified as part of audit arrangements.
Resource (Capital/Revenue £/Workforce) implications / Impact	There is no direct impact on resources as a result of the activity outlined in this report.
Link to Strategic Well-being Objectives	Provide high quality, evidence based, and accessible care

5. RECOMMENDATION

- 5.1 The Audit & Risk Committee is being asked **NOTE** the Health Boards response to the Audit Enquiries Letter included at Appendix 1.