



<b>AGENDA ITEM</b>
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<b>CTM BOARD</b>
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<b>BOARD ASSURANCE FRAMEWORK</b>
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<b>Date of meeting</b>	30/01/2020
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<b>FOI Status</b>	Open/Public
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<b>If closed please indicate reason</b>	Not Applicable - Public Report
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<b>Prepared by</b>	G Galletly, Director of Corporate Governance (Interim)
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<b>Presented by</b>	G Galletly, Director of Corporate Governance (Interim)
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<b>Approving Executive Sponsor</b>	Director of Corporate Governance
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<b>Report purpose</b>	FOR APPROVAL
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<b>Engagement (internal/external) undertaken to date (including receipt/consideration at Committee/group)</b>		
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<b>Committee/Group/Individuals</b>	<b>Date</b>	<b>Outcome</b>
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Audit & Risk Committee	20/01/20	ENDORSED FOR APPROVAL
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<b>ACRONYMS</b>	
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BAF	Board Assurance Framework
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## **1. SITUATION/BACKGROUND**

- 1.1 The Health Board's Board Assurance Framework was last approved in May 2017. Since then, the organisation has evolved to incorporate new boundary changes and is currently responding to an increase in Welsh Government's escalation status (Targeted Intervention and Special Measures) and it is timely to review the BAF to ensure it remains fit for purpose.

## **2. SPECIFIC MATTERS FOR CONSIDERATION BY THIS MEETING (ASSESSMENT)**

- 2.1 The development of the BAF will be on-going, but this review is the first step to ensure the framework is updated to incorporate the changes over recent months and years. A more thorough review will continue to be conducted over the next 6 months resulting in further iterations and continued strengthening of assurance mechanisms to support the Board in leading safe, quality, sustainable services for the population it serves.
- 2.2 The revised BAF was considered at the Audit & Risk Committee at their meeting on 20 January 2020 and endorsed the draft for Board approval.

## **3. KEY RISKS/MATTERS FOR ESCALATION TO BOARD/COMMITTEE**

- 3.1 As part of the on-going review of the BAF, it will be essential to;
- a) Agree the Organisational Objectives in the Health Board's IMTP 2020/23
  - b) Undertake assurance mapping to identify areas where sources of assurance can be enhanced, or better focused
  - c) Establish the principal risk domains associated with achieving these objectives
  - d) Establish the Board's Risk Appetite for each principal risk domain
  - e) Review the Risk Management Strategy and Policy and ensure it is embedded, with necessary training in place across the Health Board
  - f) Fully implement the new Operating Model for the Health Board and the associated reporting/accountability structures
  - g) Implement changes to the Committees structure as agreed by the Board (i.e. establish new, and amend portfolios of existing Committees) and review their effectiveness
  - h) Ensure reporting into Welsh Government (in response to Targeted Intervention and Special Measures) does not sit separately to the BAF



#### 4. IMPACT ASSESSMENT

<b>Quality/Safety/Patient Experience implications</b>	Yes (Please see detail below)
	A robust BAF is essential in supporting good quality services and patient safety.
<b>Related Health and Care standard(s)</b>	Governance, Leadership and Accountability
	If more than one Healthcare Standard applies please list below:
<b>Equality impact assessment completed</b>	Not required
<b>Legal implications / impact</b>	There are no specific legal implications related to the activity outlined in this report.
<b>Resource (Capital/Revenue £/Workforce) implications / Impact</b>	There is no direct impact on resources as a result of the activity outlined in this report.
<b>Link to Main Strategic Objective</b>	To provide strong governance and assurance
<b>Link to Main WBFG Act Objective</b>	Commitment to corporate social responsibility and improving health & social equity, work with our staff, partners and communities to build strong local relationships and solid foundations of the past

#### 5. RECOMMENDATION

5.1 The Board is asked to **APPROVE** the revised BAF for CTMuHB.