



CTM BOARD

HIGHLIGHT REPORT FROM THE CHAIR OF THE AUDIT COMMITTEE

DATE OF MEETING

28/05/2020

PUBLIC OR PRIVATE REPORT

Public

**IF PRIVATE PLEASE
INDICATE REASON**

Not Applicable - Public Report

PREPARED BY

Georgina Galletly, Director of Corporate
Governance/Board Secretary

PRESENTED BY

Georgina Galletly, Director of Corporate
Governance/Board Secretary

**EXECUTIVE SPONSOR
APPROVED**

Georgina Galletly, Director of Corporate
Governance/Board Secretary

REPORT PURPOSE

FOR NOTING

ACRONYMS

1. PURPOSE

- 1.1 This paper had been prepared to provide the Board with details of the key issues considered by the Audit & Risk Committee at its meeting on the 6 April 2020.
- 1.2 Key highlights from the meeting are reported in section 2.

1.3 The Board is requested to **NOTE** the contents of the report and actions being taken.

2. HIGHLIGHT REPORT

<p>ALERT / ESCALATE</p>	<p>The Committee received and APPROVED the following reports for onwards submission to Board for approval:</p> <ul style="list-style-type: none"> • COVID-19 Board and Committees – Variation to Standing Orders during COVID-19; • Corporate Governance Arrangements During COVID-19 <p>The Committee received and noted the Organisational Risk Register and raised concerns regarding the three 'high' fire risks contained on the register and the timescales identified to complete issues, which varied from between two to six years. It was agreed that a thorough review would be undertaken of the position.</p> <p>A detailed discussion was held in relation to COVID-19 risks and the Committee noted that consideration would need to be given as to how sustainable the risk management plan was to enable the Health Board to get back on track once the pressures of COVID-19 had eased. Members noted that Board Members would be kept up to date on the risks being highlighted by Gold Command and that the Risks and Issues Log would be uploaded to IBABS for Independent Members to view.</p>
<p>ADVISE</p>	<p>The Committee received an update on the Audit Recommendations Tracker and were advised that a revised tracker format would be presented to the May meeting of the Committee.</p> <p>The Committee received and APPROVED the Scheme of Delegation Report – Amendments to the Existing Scheme of Delegation.</p> <p>The Committee received the Internal Audit Progress Report, where the following key points were noted:</p> <ul style="list-style-type: none"> • Fieldwork had now been paused as a result of COVID-19, which would impact on obtaining management responses

prior to year-end. This would not have an impact on the Annual Opinion;

- The Committee **agreed** to continue to receive Internal Audit Reviews without the inclusion of a Management Response and agreed to receive management responses later in the year. Members **noted** that agreement would need to be sought from Management leads that reports were factually correct prior to submission and requested that the Committee were made aware of any reports being delayed without good reason

The Committee **received** the Wales Audit Office (WAO) Progress report, where the following key points were **noted**:

- Fieldwork had now been paused in light of COVID-19 which would have an impact on WAO timescales, including completion of the Annual Accounts;
- Consideration was being given to capturing the learning from COVID-19;
- There had been a change to Welsh Government reporting deadlines, with draft accounts preparation and submission deadlines now being changed to 22 May and 30 June 2020 respectively. A Board meeting would now need to take place on 29 June to sign off the accounts.

The Committee **received** and **noted** the Health Board Risk Management Strategy and **noted** that the Board Development Session on Risk Appetite would now be held in August 2020 as opposed to April 2020.

Part 2 – Welsh Health Specialised Services Committee (WHSSC) and Emergency Ambulance Services Committee (EASC)

The Committee **received** and **noted** the WHSSC Corporate Risk and Assurance Framework. Concern was raised by Members regarding the following issues:

- The data included within the report was of limited value to the Committee as it related to performance data for December 2019. Members **noted** that WHSSC incorporated the information available from its most up to date Integrated Performance Report at the date when Audit Committee reports were produced. There was

	<p>inevitably a delay between real time actions and publication of reports;</p> <ul style="list-style-type: none"> • Cochlea Implants – Members were concerned that they were only now being made aware of this issue, despite the issue first arising last year. The Committee expressed the importance of receiving up to date information and requested a review to be undertaken of what went wrong and identify opportunities to minimise delays in the reporting of information moving forward. <p>Members received and approved the Changes to the WHSSC Scheme of Delegation in response to COVID-19.</p>
<p>ASSURE</p>	<p>The Committee received and noted for assurance the following Internal Audit & Assurance reports:</p> <ul style="list-style-type: none"> • Nurse Agency Usage • Prince Charles Hospital Technical Compliance • Prince Charles Hospital Audit Plan • Follow Up Review of Deprivation of Liberty Safeguards; • Draft Internal Audit Plan 2020/2021 <p>The Committee received the Post Payment Verification Report which was noted for assurance. The Committee requested that the report was referred to the Primary, Community, Population Health & Partnerships Committee for further discussion.</p> <p>The Committee received the Local Counter Fraud Progress report and were assured by the much better distribution of effort over the last year, particularly in relation to resource allocation.</p> <p><u>Part 2 – Welsh Health Specialised Services Committee and Emergency Ambulance Services Committee</u></p> <p>The Committee received the WHSSC Internal Audit Recommendations Tracker and noted that subject to COVID-19 issues, WHSSC aimed to complete the three overdue actions relating to Information Governance by June 2020.</p>

<p>INFORM</p>	<p>The Committee received and noted the following reports:</p> <ul style="list-style-type: none"> • Procurements and Scheme of Delegation report; • Losses and Special Payments report; • Committee Forward Work Plan 2020/21. <p><u>Part 2 – Welsh Health Specialised Services Committee and Emergency Ambulance Services Committee</u></p> <p>The Committee were advised that Welsh Government had asked WHSSC to co-ordinate an agreement to secure all Independent Hospital facilities across Wales through WHSSC commissioning. Members noted that the Vale Hospital had been secured for Cwm Taf Morgannwg and noted that the beds would be utilised for non-COVID activities, for example, urgent cancer care.</p>
<p>APPENDICES</p>	<p>NOT APPLICABLE</p>