



AGENDA ITEM

2.8

CTM BOARD

CTM APPROACH TO RISK MANAGEMENT

Date of meeting	26/03/2020
FOI Status	Open/Public
If closed please indicate reason	Choose an item.
Prepared by	Chris Darling, Head of Executive Business
Presented by	Georgina Galletly, Director of Corporate Governance
Approving Executive Sponsor	Director of Corporate Governance
Report purpose	FOR APPROVAL

Engagement (internal/external) undertaken to date (including receipt/consideration at Committee/group)

Committee/Group/Individuals	Date	Outcome
Exec Team Meeting	27/01/2020	SUPPORTED
Management Board	20/02/2020	SUPPORTED

ACRONYMS

HIW	Healthcare Inspectorate Wales
WAO	Wales Audit Office
CTMUHB	Cwm Taf Morgannwg University Health Board



1. SITUATION/BACKGROUND

- 1.1 A review of the Health Boards risk management arrangements has taken place. Firstly, taking into account the recommendations from the HIW/WAO joint review into Quality and Governance, which specifically asked that CTMUHB strengthen how we identify and manage risk.
- 1.2 The review says that the Health Board needs to take a strategic and planned approach to improve risk management across the breadth of its services. This must ensure that all key strategies and frameworks are reviewed, updated and aligned to reflect the latest governance arrangements.
- 1.3 Secondly to ensure the process in place works effectively under the new Operating Model, which comes into effect from the 1 April 2020.
- 1.4 In considering the roll out of a new approach to risk management within CTMUHB, the current pressures faced by the COVID-19 pandemic must be recognised and acknowledged, which will affect the timetable for implementation.

2. SPECIFIC MATTERS FOR CONSIDERATION BY THIS MEETING (ASSESSMENT)

- 2.1 A revised risk management strategy has been developed, and this can be seen as Appendix 1. The Board Assurance Framework (BAF) was approved by the Board in January 2020, which is complimentary and is attached as Appendix 2.
- 2.2 The new risk management strategy proposes that all risks from the 1 April 2020 are recorded on Datix. Datix is currently used for recording Directorate risks, but is not used for the Corporate Risk Register. Depending on the capacity available, and focus of resource over the coming months the timeline for moving all risks onto Datix is likely to slip.
- 2.3 The Risk Management Reporting Structure and Risk Thresholds and Escalation, Appendix 2 of the Risk Management Strategy will ensure that risks are all logged via Datix and then dependent on the risk score will trigger a review to add to the Locality Risk Register (score of over 12), and Corporate Risk Register (score of over 15).



2.4 Transferring up to the Locality and Corporate Risk Registers will not happen automatically, these risks will need to be 'approved' for escalating by the lead Officer e.g. Integrated Locality Group (ILG) Director for the Locality Risk Register, and the relevant Executive Director for the Corporate Risk Register. This will all happen via Datix, ensuring a clear audit trail and ward to board approach to risk management and escalation.

2.5 Key to making the threshold escalation process work is consistency of scoring. The approach to risk scoring table must be used as a reference when scoring risks to ensure consistency of scoring. Appendix 3 documents the high level milestones to roll out the new approach.

3. KEY RISKS/MATTERS FOR ESCALATION TO BOARD/COMMITTEE

3.1 To ensure the new approach to risk management under the new operating arrangements is understood and embedded across localities, training support is being explored. The timeline for implementing this training support will need to be considered in the context of COVID-19.

4. IMPACT ASSESSMENT

Quality/Safety/Patient Experience implications	Yes (Please see detail below)
	Ensure Q&S risks are identified and managed.
Related Health and Care standard(s)	Governance, Leadership and Accountability
	If more than one Healthcare Standard applies please list below:
Equality impact assessment completed	Not required
Legal implications / impact	There are no specific legal implications related to the activity outlined in this report.
Resource (Capital/Revenue £/Workforce) implications / Impact	There is no direct impact on resources as a result of the activity outlined in this report.



Link to Main Strategic Objective	To Improve Quality, Safety & Patient Experience
Link to Main WCFG Act Objective	Provide high quality care as locally as possible wherever it is safe and sustainable

5. RECOMMENDATION

- 5.1 Board members are asked to **APPROVE** the Risk Management strategy, Appendix 1, so that work can commence to bring all risks onto Datix.
- 5.2 **NOTE** the proposed timelines associated with refreshing the risk management arrangements (Appendix 3), but note these are fluid and subject to change as CTMUHB responds to COVID-19.