



		AGENDA ITEM
		3.2.3b
CTM BOARD		
HIGHLIGHT REPORT FROM THE AUDIT AND RISK COMMITTEE		
DATE OF MEETING	27/01/2022	
PUBLIC OR PRIVATE REPORT	Public	
IF PRIVATE PLEASE INDICATE REASON	Not Applicable - Public Report	
PREPARED BY	Emma Walters, Corporate Governance Manager	
PRESENTED BY	Ian Wells, Independent Member and Vice Chair of the Audit & Risk Committee	
EXECUTIVE SPONSOR APPROVED	Georgina Galletly, Director of Corporate Governance Sally May, Executive Director of Finance	
REPORT PURPOSE	NOTING	
ACRONYMS		

1. INTRODUCTION

- 1.1 This report had been prepared to provide the Board with details of the key issues considered by the Audit & Risk Committee at its meeting on the 7 December 2021.
- 1.2 Key highlights from the meeting are reported in section 3.

2. PURPOSE OF THE AUDIT & RISK COMMITTEE

- 2.1 The Committee will function in accordance with the NHS Audit Committee Handbook as appropriate.
- 2.2 The Committee will also consider issues in respect of the roles and responsibilities of Committees hosted by the CTMUHB on behalf of NHS Wales as appropriate. These are the Welsh Health Specialised Services

Committee, Emergency Ambulance Services Committee and the National Imaging Academy Wales. The meeting will be split into two parts with Cwm Taf Morgannwg CTMUHB business and hosted Committee business discussed and recorded separately.

- 2.3 The purpose of the Committee is to advise and assure the Board on whether effective arrangements are in place – through the design and operation of the Health Board system of risk and assurance – to support it in its decision taking and in discharging the accountabilities for securing the achievement of the Health Board objectives in accordance with the standards of good governance determined for the NHS in Wales.
- 2.4 Where appropriate, the Committee will advise the Board and the Accountable Officer on where, and how, its system of assurance may be strengthened and developed further.

3. HIGHLIGHT REPORT FROM THE LAST COMMITTEE MEETING

ALERT / ESCALATE	No items identified for alert/escalation.
ADVISE	<ul style="list-style-type: none"> • The Committee Annual Self-Assessment was received. Members noted that a 100% response rate had been achieved. A discussion was held in relation to the way in which the question regarding welsh language had been posed where it was agreed that some questions needed to be made clearer. Members noted that the obligations of welsh language could be built into the Board Development Programme to assist with raising members awareness of the position; • A verbal update was provided in relation to the Development of the Legislative Assurance Framework, which was being progressed at an All Wales level for completion in May 2022; • The Organisational Risk Register was received. Concerns had been raised by Members prior to and during the meeting in relation to the timeliness of updates being provided, with a number of risks contained within the register which were due to be completed some time ago. Members noted that the concerns expressed would be cascaded to the Executive Team; • The Audit Recommendations Tracker was received. Members welcomed the further work that had been undertaken in relation to improving the format of the tracker. Members noted that there were a number of implementation dates which had now passed their original date for completion, and noted that work continued to be undertaken to ensure timely updates were being received; • The Losses and Special Payments report was received. Members noted that redress costs were likely to increase as a result of a realignment made within the Claims Team. This should result in a reduction in Claims costs;

	<ul style="list-style-type: none"> The Local Counter Fraud Report was received. A discussion was held in relation to overpayment of salary issues. Members sought clarity as to whether the Health Board was now in a position to review the controls in place. Members noted that pay arrangements over the last 18 months had been complex which impacted on the position.
ASSURE	<ul style="list-style-type: none"> A presentation was received from the Rhondda Taf Ely Integrated Locality Group on the work undertaken regarding the review of risks within the ILG. Members were pleased to see the progress that had been made and the consistency in approach being taken across all three ILGs and were encouraged by the process outlined which was continuing to mature; The Audit Wales Audit & Risk Committee update was received and noted; The Audit Wales All Wales Report – Taking Care of the Carers was received. Members noted that a further review of the management response would be undertaken prior to its final submission; The Audit Wales Review – Structured Assessment Phase 2 report was received and welcomed by the Committee who felt that this formed part of the triangulation for Independent Members; The Audit Wales Annual Report was received and noted; The Internal Audit Progress report was received. Members noted that the Covid-19 Pandemic was still impacting on the programme of work, with two reviews being delayed into next year; The Internal Audit Review into Fire Safety Management was received. Members noted that the review had been allocated a Limited Assurance rating and had also been discussed at the Health, Safety & Fire Sub Committee on 30 November 2021 along with the draft management response. Members noted that a final report and management response would be presented to the February 2022 Audit & Risk Committee; The Internal Audit Review into CAMHS Workforce was received. Members noted that the review had been allocated a Limited Assurance rating. The Bridgend Integrated Locality Group Director provided an update to members on progress made to date against a number of key areas identified within the report; The Internal Audit Review into the Bridgend Transfer of IT was received. Members noted that this review had been allocated a Limited Assurance rating. Members raised concern at the lack of funding in terms of Information Governance resources and advised that this had also been highlighted as an area of concern at the Digital & Data Committee; The follow Internal Audit reviews were received and noted: <ul style="list-style-type: none"> Follow Up Review CAMHS Governance & Risk; All Wales Review – Estates Assurance Control of Contractors;



	<ul style="list-style-type: none">○ All Wales Review – Estates Assurance Fire Safety;○ All Wales Review – Estates Assurance Water Management.• The Procurements and Scheme of Delegation report was received. Members noted that the 95% target for purchase to pay performance was being achieved.
INFORM	<p>The following reports were received for information:</p> <ul style="list-style-type: none">○ Forward work programme;○ Declarations of Interest and Gifts and Hospitality Report <p>The Committee Action Log was received with relevant updates noted.</p>
APPENDICES	Choose an item.

4. RECCOMENDATION

4.1 The Board is requested to **NOTE** the report.