



AGENDA ITEM

3.2.3 Appendix 1

HEALTH BOARD MEETING

HIGHLIGHT REPORT FROM THE AUDIT & RISK COMMITTEE

DATE OF MEETING

29/07/2021

PUBLIC OR PRIVATE REPORT

Public

**IF PRIVATE PLEASE
INDICATE REASON**

Not Applicable - Public Report

PREPARED BY

Emma Walters, Corporate Governance
Manager

PRESENTED BY

Cally Hamblyn, Assistant Director of
Governance & Risk

**EXECUTIVE SPONSOR
APPROVED**

Director of Corporate Governance and Risk

REPORT PURPOSE

TO NOTE

ACRONYMS

WHSSC

Welsh Health Specialised Services Committee

EASC

Emergency Ambulance Services Committee

1. PURPOSE

- 1.1 This report had been prepared to provide the Board with details of the key issues considered by the Audit & Risk Committee at its meeting on 9 June 2021.
- 1.2 Key highlights from the meeting are reported in section 2.
- 1.3 The Board is requested to **NOTE** the report.

2. HIGHLIGHT REPORT

ALERT / ESCALATE	<p>Positive Escalation:</p> <ul style="list-style-type: none"> The Committee received and welcomed the ISO14001 External Audit Report and asked for their thanks to be extended to the Team for achieving the accreditation which was a significant achievement
ADVISE	<ul style="list-style-type: none"> The CTMUHB Annual Report for 2020/2021 was received and endorsed for onward submission to Board for approval; The WHSSC and EASC Governance Statements for 2020/2021 were received and noted alongside the National Imaging Academy Governance Compliance Statement; The CTMUHB Annual Accounts for 2020/2021 were received and endorsed for onward submission to Board for approval. The WHSSC and EASC Final Accounts for 2020/2021 were also endorsed; The Audit Wales: Audit of the Financial Statement (ISA 260) Report (including the Letter of Representation and Audit Opinion) was received and noted by the Committee The Committee received the Audit Recommendations Tracker. Concerns were raised by Committee Members regarding 'mission drift' against some of the recommendations, and the number of recommendations that have been allocated to one Director specifically. The Committee also requested that future versions of the report include a chart which highlights the original target dates set against the proposed revised target dates; The Committee received the Local Counter Fraud Update and noted that further work was required to increase awareness of and visitors to the Counter Fraud Sharepoint page and noted that further work was required to address the overpayments of salary issues being experienced. The Committee welcomed the announcement that G Galletly had been appointed as the Health Board's new Counter Fraud Champion; The Committee received an In Committee report on Financial Control Procedures Medical Variable Pay – Summary of Authorised Breaches. The Committee requested that an Executive Team discussion was held

	<p>to identify the most appropriate arena for scrutiny moving forwards.</p> <p><u>Part 2 Hosted Bodies</u></p> <ul style="list-style-type: none"> The Committee received the WHSSC Corporate Risk Assurance Framework and Risk Register and were advised that work was underway to re-evaluate the risk target scores.
ASSURE	<ul style="list-style-type: none"> The Committee received the Organisational Risk Register and noted that reporting timelines were in the process of being adjusted to ensure the Committees were being presented with the most recent version of the report.
INFORM	<p>The Committee received the following items for information/approval on the consent agenda:</p> <ul style="list-style-type: none"> Clinical Audit Forward Plan 2021-2022; Clinical Audit & Effectiveness Policy & Strategy; Procurement and Scheme of Delegation Report; Outcome Report – Audit & Risk Committee Effectiveness Survey; <p>The Committee received and noted the following Internal and External Audit Reports:</p> <ul style="list-style-type: none"> Internal Audit Progress Report; Internal Audit Review – Targeted Intervention; Internal Audit Review – Digital Response to Covid; Head of Internal Audit Opinion and Annual Report 2020-2021; Audit Wales – Audit & Risk Committee Update; Audit Wales – Structured Assessment Phase 1 Report; Audit Wales National Report – Personal Protective Equipment <p><u>Part 2 Hosted Bodies</u></p> <ul style="list-style-type: none"> The Committee received and approved the WHSSC Review of Financial Control Procedures; The Committee received and noted the WHSSC Internal Audit Recommendations Tracker; The Committee received and noted the EASC Risk Register;



	<ul style="list-style-type: none">The Committee received and noted the Internal Audit Review into EASC Recruitment
APPENDICES	Choose an item.