



AGENDA ITEM

3.2.4 Appendix 6

CTM BOARD

HIGHLIGHT REPORT FROM THE CTMUHB AUDIT AND RISK COMMITTEE

DATE OF MEETING

29/09/2022

PUBLIC OR PRIVATE REPORT

Public

IF PRIVATE PLEASE INDICATE REASON

Not Applicable - Public Report

PREPARED BY

Emma Walters, Corporate Governance Manager

PRESENTED BY

Patsy Roseblade, Independent Member and Chair of the Audit & Risk Committee

EXECUTIVE SPONSOR APPROVED

Georgina Galletly, Director of Corporate Governance
Sally May, Executive Director of Finance

REPORT PURPOSE

NOTING

ACRONYMS

1. INTRODUCTION

1.1 This report had been prepared to provide the Board with details of the key issues considered by the Audit & Risk Committee at its meeting on the 22 August 2022.

1.2 Key highlights from the meeting are reported in section 3.

2. PURPOSE OF THE AUDIT & RISK COMMITTEE

2.1 The Committee will function in accordance with the NHS Audit Committee Handbook as appropriate.

The Committee will also consider issues in respect of the roles and

responsibilities of Committees hosted by the CTMUHB on behalf of NHS Wales as appropriate. These are the Welsh Health Specialised Services Committee and the Emergency Ambulance Services Committee. The meeting will be split into two parts with Cwm Taf Morgannwg CTMUHB business and hosted Committee business discussed and recorded separately.

The purpose of the Committee is to advise and assure the Board on whether effective arrangements are in place – through the design and operation of the Health Board system of risk and assurance – to support it in its decision taking and in discharging the accountabilities for securing the achievement of the Health Board objectives in accordance with the standards of good governance determined for the NHS in Wales.

Where appropriate, the Committee will advise the Board and the Accountable Officer on where, and how, its system of assurance may be strengthened and developed further.

3. HIGHLIGHT REPORT FROM THE LAST COMMITTEE MEETING

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| ALERT / ESCALATE | There are no items to escalate this period. |
| ADVISE | <ul style="list-style-type: none"> • The Local Counter Fraud Report was received and noted. Members noted that there should be an uptick in the number of days spent on prevent and deter by the next meeting; • The Losses and Special Payments report was received. Members noted that completion of Learning From Events Reports in a timely manner remained challenging as a result of capacity issues within the Team and noted that an improvement plan was in place which was being supported by the Welsh Risk Pool; • The Procurement and Scheme of Delegation report was received and approved; • The Audit Recommendations Tracker was received. Members noted that an Audit Recommendations workshop is being arranged for Sept/Oct. Members agreed that focus would need to be placed on the high priority recommendations where the implementation dates had been extended by more than 24 months; • The Organisational Risk Register was received. A number of queries were raised in relation to individual risks which were responded to outside the meeting. Following questions posed by the Committee Chair as to whether the Committee’s scrutiny of the Risk Register needed to change, the Director of Corporate Governance agreed to discuss this further with the Committee Chair outside the meeting; • The Internal Audit Follow Up Review – Princess of Wales Hospital Fire Safety Works was received. Members noted that regular updates were being received by the Health, Safety & Fire |

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| | <p>Sub Committee and noted that regular discussions were being held with the South Wales Fire & Rescue Service on this matter;</p> <ul style="list-style-type: none"> The Internal Audit Follow Up Review – Medical & Dental Rostering Updated Management Response was received. Following concerns raised by Members regarding the content of the management response, the Director of People agreed to discuss further with the Medical Director outside the meeting. Members requested that a further update on progress was presented to the next meeting. |
| ASSURE | <ul style="list-style-type: none"> The Audit Wales Audit & Risk Committee update was received and noted; The Audit Wales Audit of Accounts Addendum was received and noted; The Internal Audit Progress Report was received and noted. Members welcomed the Business Intelligence information that had been included in the report; The Internal Audit Review on Risk Management was received which had been given a reasonable assurance rating; The Internal Audit Review – Clinical Service Group and Integrated Locality Group Assurance was received which had been given a reasonable assurance rating; The Internal Audit Review – CAMHS Workforce was received which had been given a Substantial Assurance rating; The Internal Audit Follow Up Review – Single Cancer Pathway Data Quality & Integrity. Members welcomed the progress that had been made in this area. |
| INFORM | <ul style="list-style-type: none"> The Audit & Risk Committee Annual Report was received and approved; The Declarations of Interest and Gifts and Hospitality Report was received and noted; |
| APPENDICES | NOT APPLICABLE |

4. RECCOMENDATION

4.1 The Board is requested to **NOTE** the report.