



AGENDA ITEM

5.1

HEALTH BOARD MEETING

HIGHLIGHT REPORT FROM THE AUDIT & RISK COMMITTEE

DATE OF MEETING

25/11/2021

PUBLIC OR PRIVATE REPORT

Public

**IF PRIVATE PLEASE
INDICATE REASON**

Not Applicable - Public Report

PREPARED BY

Emma Walters, Corporate Governance
Manager

PRESENTED BY

Patsy Roseblade, Audit & Risk Committee
Chair

**EXECUTIVE SPONSOR
APPROVED**

Sally May, Director of Finance
Georgina Galletly, Director of Corporate
Governance

REPORT PURPOSE

FOR NOTING

ACRONYMS

None Identified.

1. PURPOSE

- 1.1 This report had been prepared to provide the Board with details of the key issues considered by the Audit & Risk Committee at its meeting on 4 October 2021.
- 1.2 Key highlights from the meeting are reported in section 2.
- 1.3 The Sub Committee is requested to **NOTE** the report.



2. HIGHLIGHT REPORT

ALERT / ESCALATE	<ul style="list-style-type: none"> • There are no matters to escalate to the Board on this occasion.
ADVISE	<ul style="list-style-type: none"> • The Procurements and Scheme of Delegation report was received. Members noted that consideration was being given to providing budget holder and procurement training across the organisation in order to remind staff of the procurement rules that need to be followed. Members requested that this report was placed on the main agenda for discussion moving forward as a result of the issues identified; • Progress reports on Consultant Job Planning and Medical Rostering were received and the Committee confirmed that due to the complex issues contained within both areas of work, they still wished to continue to receive six monthly update reports on both matters; • The Committee discussed the Action Log and suggested a number of amendments be made to the log to accurately reflect the latest position; • The Audit Recommendations Tracker was received. Members noted that a further refinement of the format of the tracker would be undertaken for the next meeting to ensure high priority recommendations were featured at the start of the tracker. A discussion was also held in relation to some of the timescales that had been set and whether they were realistic and Members requested that a discussion was held with Executive colleagues to ensure that realistic timescales were set against recommendations moving forwards; • The Local Counter Fraud Update report was received. Members noted that some specific counter fraud awareness training sessions had been arranged for the Executive Team and noted that the overpayment of salary issues would feature as part of the training sessions moving forwards.
ASSURE	<ul style="list-style-type: none"> • The Committee received a presentation from the Bridgend Integrated Locality Group in relation to the review of risks that had been undertaken. Members welcomed the holistic approach that had been undertaken in a clinical area and extended their congratulations to all staff involved; • The Organisational Risk Register was received. The Committee felt assured that the risk register was now alerting the Board to issues as opposed to the risk register being updated as issues were being identified. The Committee note that the work being undertaken in relation

	<p>to the Board Assurance Framework would further strengthen the process;</p> <ul style="list-style-type: none"> • A JAG Accreditation Progress report was received. The Committee advised that they looked forward to receiving an update as to when closure would be expected; • The Clinical Audit Assurance Framework was received and endorsed for Board Approval. The Committee advised that the report provided much needed clarity on the process. <p>The following reports were received for assurance:</p> <ul style="list-style-type: none"> • Internal Audit Progress Report; • Internal Audit Review – Single Cancer Pathway; • Internal Audit Review – Integrated Locality Group; • Internal Audit Review – Welsh Language Act; • PCH Re-development: Validation of Management Action Final Internal Audit Report; • Audit Wales – Audit & Risk Committee Update; • Audit Wales Report WHSSC Committee Governance Arrangements including the Management Response • Post Payment Verification Report – Mid Year Update.
INFORM	<p>The Committee received the following reports on the consent agenda for approval/noting:</p> <ul style="list-style-type: none"> • Audit & Risk Committee Terms of Reference; • Model Standing Financial Instructions; • Forward Work Programme
APPENDICES	NOT APPLICABLE