



AGENDA ITEM

2.1

CTM BOARD

CTMUHB ANNUAL REPORT & ACCOUNTS 2020-2021

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| Date of meeting | 09/06/2021 |
| FOI Status | Open/Public |
| If closed please indicate reason | Not Applicable - Public Report |
| Prepared by | C.Hamblyn, Assistant Director of Governance & Risk W. Penrhyn-Jones, Head of Administration Owen James, Head of Corporate Finance |
| Presented by | G. Galletly, Director of Corporate Governance S. Webster, Director of Finance |
| Approving Executive Sponsor | G. Galletly, Director of Corporate Governance S. Webster, Director of Finance |
| Report purpose | APPROVAL |

Engagement (internal/external) undertaken to date (including receipt/consideration at Committee/group)

| Committee/Group/Individuals | Date | Outcome |
|---|-------------|-------------------------------|
| Management Board (Draft Annual Report) | 16/04/2021 | COMMENTS INCORPORATED |
| Audit & Risk Committee & Planning & Performance Committee (Draft Annual Report) | 26/04/2021 | COMMENTS INCORPORATED |
| Audit – Internal Audit & Audit Wales (Draft Annual Report) | 26/04/2021 | COMMENTS INCORPORATED |
| Welsh Government (WG) and Audit Wales (AW) Formal Draft Submission | 4/05/2021 | COMMENTS INCORPORATED FROM WG |



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| (Draft Annual Report and Remuneration Tables) | | REMAINING AW COMMENTS EXPECTED CIRCA 14 TH MAY 2021 |
| Full Board Members | 4/05/2021 | COMMENTS INCORPORATED |
| Audit & Risk Committee (Draft Annual Report and Accounts) | 17/05/2021 | COMMENTS INCORPORATED |
| <i>Audit & Risk Committee / Health Board Meeting (Final for Approval)</i> | 9/06/2021 | PENDING |

| ACRONYMS | |
|-----------------|---|
| AGM | Annual General Meeting |
| AW | Audit Wales |
| CTMUHB | Cwm Taf Morgannwg University Health Board |
| EASC | Emergency Ambulance Services Committee |
| NIA | National Imaging Academy |
| WHSSC | Welsh Health Specialised Services Committee |

1. SITUATION/BACKGROUND

- 1.1 In accordance with Welsh Government and HM Treasury Guidance, the Health Board has produced the Annual Report and Annual Accounts for the financial reporting period 2020-2021.
- 1.2 The Annual Report incorporating the Accountability Report at Chapter 2, (Incorporating the Governance Statement, Statement of Directors Responsibilities and the Remuneration Report), in conjunction with the Annual Accounts will be submitted to Welsh Government by midday on the 11th June 2021 as one unified document.
- 1.3 The Annual Report will be formally presented at the Health Boards Annual General Meeting on the 29th July 2021.



2. SPECIFIC MATTERS FOR CONSIDERATION BY THIS MEETING (ASSESSMENT)

2.1 The Health Board's Annual Governance Statement, which is contained within the Accountability Report, is supported by separate Annual Governance Statements from its hosted organisations attached as agenda items, 2.2.1, 2.2.2 and 2.2.3.

- WHSSC
- EASC
- NIA

2.3 The Annual Accounts captured from page 145 onwards in the Annual Report outline the financial performance up to year end 31st March 2021.

2.4 The Annual Report will be further complemented with a short video reflecting on the year 2020-2021 that will be shared at the AGM with the presentation of the Annual Report.

3. KEY RISKS/MATTERS FOR ESCALATION TO BOARD/COMMITTEE

3.1 The approach required for the Annual Report, and in particular the Performance Chapter, changed significantly for 2020-2021, with a predominant focus on how the Health Board performed in relation to the need to adapt and respond to the Covid-19 pandemic.

3.2 The Annual Report has been reviewed at each stage of its development as outlined on page 1. Following further revision the document was then subject to comment by External Audit (Audit Wales) and Welsh Government and circulated to the full Board.

3.3 The responses received were most welcome given the need to reflect the various challenges the organisation faced during 2020-2021. The comments enabled the document to be further refined.

3.4 The following key changes have been made to the Annual Report since it was received by the Board via email for comment:

- Elements of the Public Health section within the report were rephrased to ensure that they are accessible to the lay reader.
- Ambulance Handover target was amended to reference the national target of 15 minutes.
- Finance summary wording amended to align with changes to the accounts.

- Grading key added to the Putting Things Right section.
- Head of Internal Audit section updated to reflect the end of year position.
- Updated figures as at March 2021 in relation to Information Governance Training were added to the report.
- Auditor General for Wales audit report and certificate incorporated.
- Off Payroll Engagement figures incorporated.
- Salary and Pension tables incorporated.
- Key resources tables removed from the Accountability Report as captured in the Accounts and therefore duplication removed.
- Minor typographical and grammar amendments were also made.

4. IMPACT ASSESSMENT

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| Quality Impact (Quality, Safety, Patient Experience Implications) | The suite of Annual Report Documents are intended for the public, and provide information in an honest and transparent way about the services provided by the Health Board. |
| Related Health and Care standard(s) | Governance, Leadership and Accountability |
| | If more than one Healthcare Standard applies please list below: |
| Equality impact assessment completed | Not required |
| Legal implications / impact | There are no specific legal implications related to the activity outlined in this report. |
| Resource (Capital/Revenue £/Workforce) implications / Impact | There is no direct impact on resources as a result of the activity outlined in this report. |
| Link to Strategic Well-being Objectives (The Strategic objectives of the organisation have been developed in such a way that they can also be considered our well-being objectives) | Provide high quality, evidence based, and accessible care |

5. RECOMMENDATION

5.1 The Board is asked to:

- **APPROVE** the CTMUHB Annual Report & Accounts for 2020-2021 - Appendix 1, for approval by the Board.



- **APPROVE** the Letter of Representation (included in the ISA 260 – Agenda item 2.2)
- **NOTE** the Governance Statements received by the Health Board’s Hosted Organisations (Agenda items 2.1.2, 2.1.3 and 2.1.4).

NEXT STEPS:

- Submission to Welsh Government by midday on the 11th June 2021.